



# Introduction to the outcomes of Expert Group on Pew/ISSF Compliance Workshops

July 2022

Brief to TCN/PPFCN

# This work was first initiated in 2020 by...



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## under the guidance of a Steering Committee

- **Gerry Leape**, principal officer at The Pew Charitable Trusts;
- **Adriana Fabra**, special adviser to Pew;
- **Holly Koehler**, vice president for policy and outreach at ISSF;
- **Lara Manarangi-Trott**, compliance manager at WCPFC
- **Oswaldo Urrutia**, senior fisheries advisor, Government of Chile; and
- **Mark Young**, executive director of the International MCS Network.

# 3 Expert workshops on best practices

2020 -2021

- Attended by Chairs of Compliance Committees, Compliance Officers and Executive Secretaries of 10 RFMOs & other experts

## Themes

- Information management and reporting
- Compliance review and assessment procedures
- Outcomes of compliance review procedures
- Transparency

## Outcomes

- Workshop reports presented at some RFMOs



- Recommendations



# Experts Review Group

March – July 2022

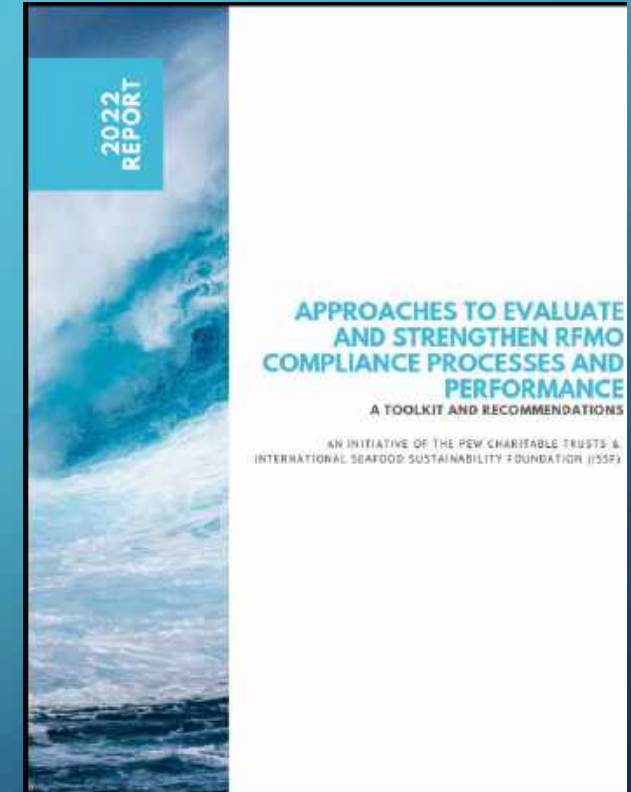
- Duncan Currie
- Robert Day
- Jung-re Riley Kim
- Holly Koehler
- Sarah Lenel
- Masa Miyahara
- Penelope Ridings
- Gerry Leape

## **Task:**

To review the collection of Workshop recommendations and findings and prepare a compliance assessment model to strengthen and enhance the RFMO compliance assessment processes

# Recommended Approaches and Tools to evaluate and strengthen RFMO compliance processes and performance

- This Report contains those recommended approaches, tools and guidance, which are intended to be used to develop new compliance assessment processes and serve as a model against which existing RFMO compliance assessment processes can be evaluated and strengthened



# Three sections

## 1. context :

- overarching purpose of compliance assessment processes
- principles for implementation

## 2. guidance for ten (10) elements of compliance assessment processes

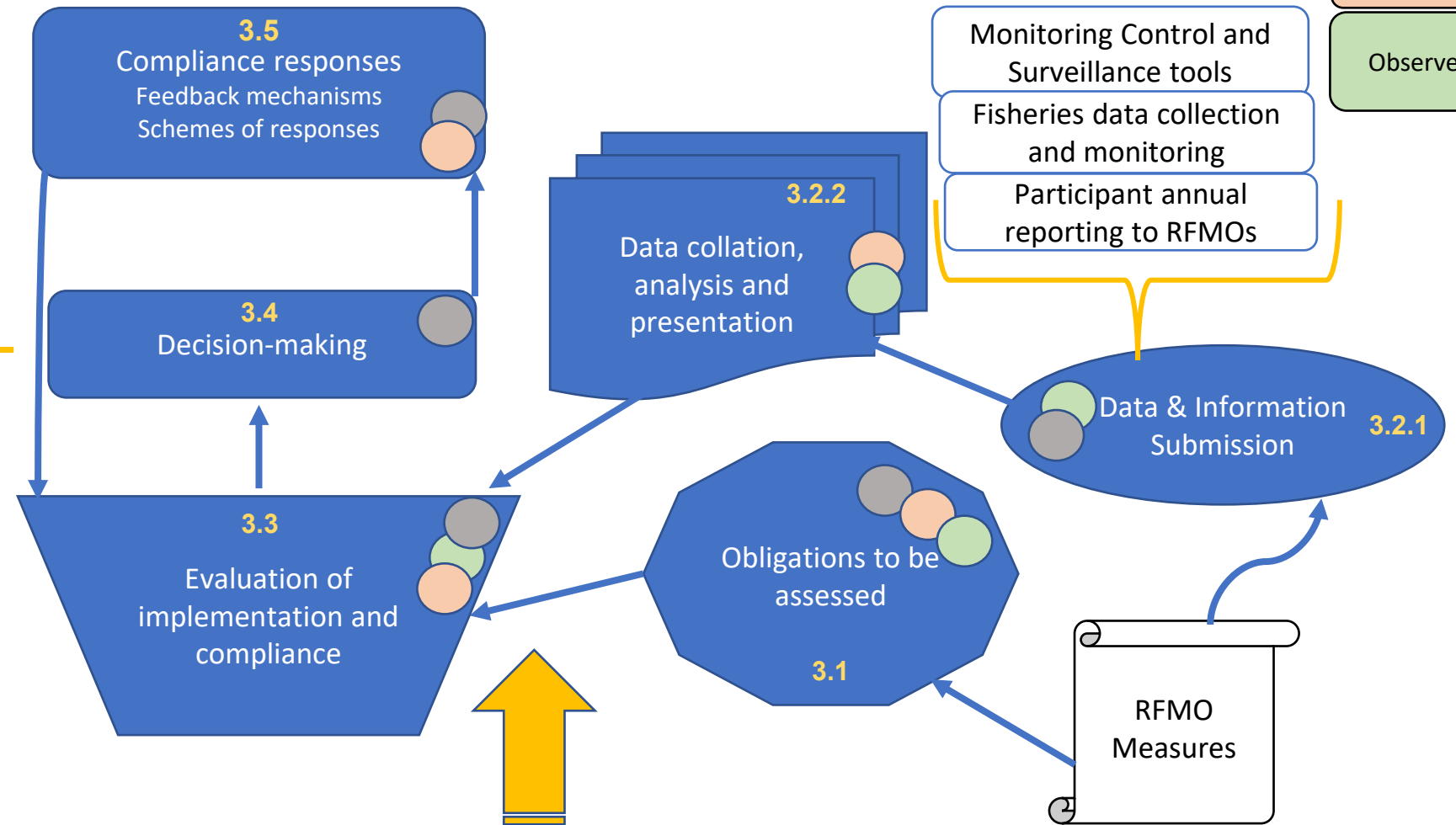
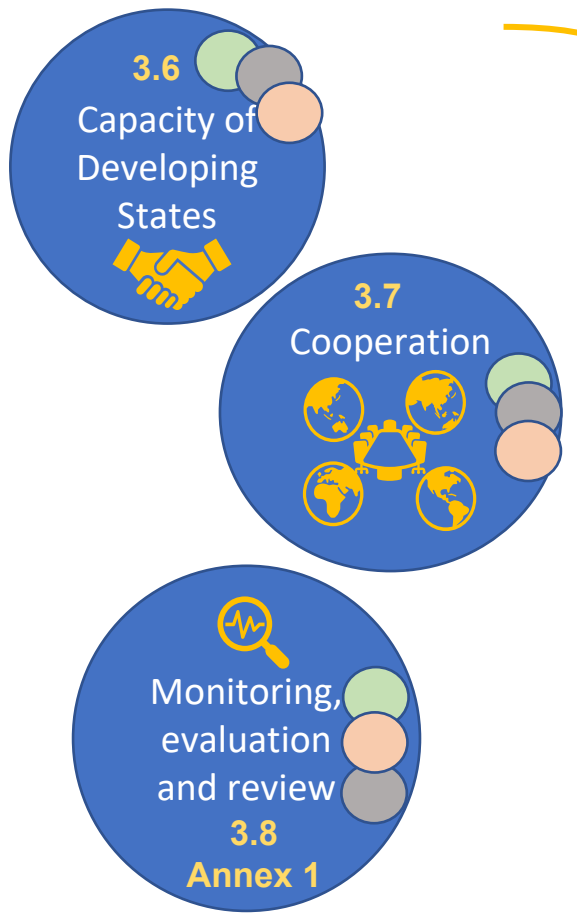
## 3. Methodology to assess the effectiveness of an RFMO compliance process

- Annex 1



# ELEMENTS OF COMPLIANCE ASSESSMENT PROCESSES

- RFMO Participants
- Secretariat
- Observers



- Monitoring Control and Surveillance tools
- Fisheries data collection and monitoring
- Participant annual reporting to RFMOs

## RFMO COMPLIANCE ASSESSMENT FUNDAMENTALS

**2.2**  
Purpose aligned to RFMO objectives

- 2.3**
- Principles
- Fair and impartial
  - Legitimate
  - Transparent
  - Targeted
  - Effective
  - Efficient
  - Cooperative

**2.4**  
Establishment and Governance of Compliance Assessment Processes in RFMOs

# Recommended general areas for RFMOS to consider in evaluating and strengthening their compliance assessment processes:

Ensure governance process is well defined

Operate transparently and with a goal of demonstrating effectiveness of and continual improvement of agreed management actions

Have robust follow up process to demonstrate progress over time

Base compliance processes on clearly defined priority obligations

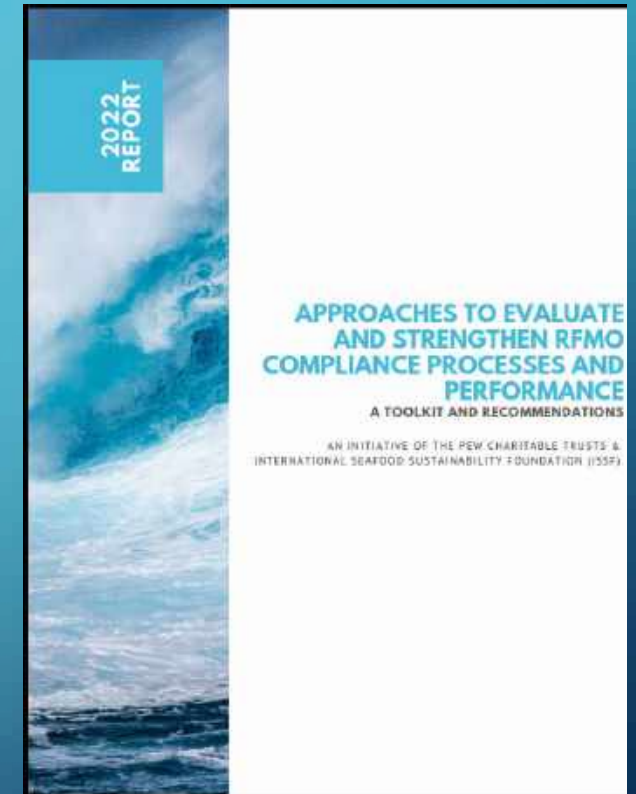
Have pre-agreed responses to non-compliance

Annex 2 provides a summary of the key recommendations developed through the course of the workshops



# Recommended Approaches and Tools to evaluate and strengthen RFMO compliance processes and performance

- identifies key attributes of an effective and well-designed RFMO compliance assessment process
- provides a menu of recommendations and tools provided in this paper as a means of improving and strengthening RFMO compliance processes
- Annex 1 describes a methodology to assess RFMO compliance processes
- a synthesis of the key recommendations and tools developed through the course of the Workshops is set out in Annex 2



Thank you!

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