EXPLANATORY MEMORANDUM

Lack of transparency on ‘beneficial ownership’, who ultimately benefits from the profits of the fishery, in the fishing industry prevents authorities from determining the ultimate owner of one or several vessels and to establish the links between those vessels and their operations. This is particularly relevant when the vessel/s is/are found to be fishing illegally or unsustainably, which poses a serious threat to the sustainable management of the ocean.

The purpose of the amendment proposed by the EU is to make information on who the real owners of a fishing vessel are (i.e. beneficial ownership) publicly available. Such information would facilitate the work of authorities in cases of involvement in IUU fishing operations, amongst others, and improve the transparency in the management of the fishing companies.

RESOLUTION (AMENDED) ON A REGIONAL VESSEL REGISTER

The Inter-American Tropical Tuna Commission (IATTC), gathered in Phoenix, Arizona (USA) on the occasion of its 100th Meeting:

Affirming the importance of ensuring that all vessels fishing in the Antigua Convention Area comply with the conservation and management measures agreed by the Commission;

Reaffirming the need to have pertinent information relative to the operations of vessels fishing in the eastern Pacific Ocean (EPO);

Recalling that Article XII, paragraph 2 (k), of the Antigua Convention stipulates that the Director shall maintain the record of vessels fishing in the Convention Area based, inter alia, on the information provided pursuant to Annex 1 of the Convention;

Concerned that the current IATTC Regional Vessel Register includes fishing vessels not from Members and Co-operating Non-Members of the Commission (CPCs) and the Commission cannot confirm whether these vessels are complying with relevant IATTC resolutions;

Further recalling that the Commission has been taking various measures to prevent, deter and eliminate illegal, unreported and unregulated (IUU) fishing in the Convention Area,

Noting that large-scale fishing vessels are highly mobile and easily change fishing grounds from one ocean to another, and have high potential of operating in the Convention area without timely registration with the Commission,

Recalling that the FAO Council adopted on June 23, 2001, an International Plan of Action (IPOA) aiming to prevent, to deter and to eliminate illegal, unreported and unregulated fishing, that this plan stipulates that the regional fisheries management organization should take action to strengthen and develop innovative ways, in conformity with international law, to prevent, deter and eliminate IUU fishing and in particular to establish
records of vessels authorized and records of vessels engaged in IUU fishing,

*Further noting* that the International Maritime Organization, at its 30th Assembly meeting in December 2017, adopted Resolution A.1117(30), which amends the IMO Ship Identification Number Scheme to expand fishing vessels’ eligibility for IMO numbers from such vessels 100 GT and above to include motorized inboard fishing vessels below 100 GT down to 12 meters in length overall authorized to operate outside waters under national jurisdiction of the flag State,

*Recognizing* the utility and practicality of using IMO numbers as a unique vessel identifier (UVI) for fishing vessels and the benefits to provide information on the beneficial owner of the vessel, and

*Aware* of the need to amend its Resolution C-14-01 on a Regional Vessel Register:

Agrees that:

The Director shall establish and maintain a record of vessels that have been authorized to fish in the Antigua Convention Area for species covered by the Convention, on the basis of the information detailed in paragraph 2. The record shall contain only vessels that fly the flags of CPCs.

Each CPC shall supply to the Director the following information with respect to each vessel under its jurisdiction to be included in the record established pursuant to paragraph 1:

a. name of vessel, registration number, previous names (if known), and port of registry;
b. a photograph of the vessel showing its registration number;
c. previous flag (if known and if any);
d. International Radio Call Sign (if any);
e. name and address of owner or owners;
f. where and when built;
g. length, beam, and moulded depth;
h. freezer type and freezer capacity, in cubic meters;
i. number and capacity of fish holds, in cubic meters and, in the case of purse-seine vessels, capacity breakdown by fish hold if possible;
j. name and address of operator(s) and/or manager(s)(if any);
k. name and address of the beneficial owner(s) (if different from operator(s) and/or manager(s);
l. type of vessel;
m. type of fishing method or methods;
n. gross tonnage;
o. power of main engine or engines;
p. the nature of the authorization to fish granted by the flag CPC (such as main target species);
and
q. International Maritime Organization (IMO) or Lloyd’s Register (LR) number, if issued.¹

Each CPC shall promptly notify the Director of any modifications to the information listed in paragraph 2.

Each CPC shall also promptly notify the Director of:

a. any additions to the record;
b. any deletions from the record by reason of:
   i. the voluntary relinquishment or non-renewal of the fishing authorization by the owner or

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¹ Effective 1 January 2016, flag CPC’s shall ensure that all their fishing vessels (except for recreational fishing vessels) authorized to fish in the Convention Area that are at least 100 gross tons (GT) or 100 gross registered tons (GRT) in size have an IMO or LR number issued. Effective 1 January 2020, flag CPCs shall ensure that all their motorized inboard fishing vessels (except for recreational fishing vessels) of less than 100 GT or 100 GRT down to a size limit of 12 meters in length overall (LOA) or registered length, authorized to fish in the high seas of the Convention Area have an IMO or LR number issued. In assessing compliance with this requirement, the Commission shall take into account extraordinary circumstances in which a vessel owner is not able to obtain an IMO or LR number despite following the appropriate procedures. Flag CPCs shall report any such extraordinary situations in their annual reports.
operator of the vessel;
ii. the withdrawal of the fishing authorization issued to the vessel in accordance with Article XX, paragraph 2, of the Convention;
iii. the fact that the vessel is no longer entitled to fly its flag;
iv. the scrapping, decommissioning or loss of the vessel; and
v. any other reason, specifying which of the reasons listed above are applicable.

CPCs shall notify the Director by 30 June each year of their vessels\(^2\) on the Regional Vessel Register flying their flag that were actively fishing in the IATTC Convention Area for species covered by the Convention from 1 January to 31 December of the previous year.

The Director shall request each CPC to provide complete data for its vessels in accordance with paragraph 2 if the CPC does not provide all the required information.

The Commission shall review this resolution in 2022 and consider revisions to improve its effectiveness, including revisions to the vessel information required in paragraph 2 of this resolution.

This resolution replaces Resolution C-14-01.

\(^2\) Excluding recreational fishing vessels.