

# **INTERNATIONAL DOLPHIN CONSERVATION PROGRAM**

## **INTERNATIONAL REVIEW PANEL**

### **ANNUAL REPORT**

**1998**

#### **1. INTRODUCTION**

The International Dolphin Conservation Program (IDCP) and the International Review Panel (IRP) were established by a resolution passed at a special meeting of the Inter-American Tropical Tuna Commission (IATTC) held in April 1992. This resolution was reaffirmed at the IATTC's 50<sup>th</sup> meeting in June 1992. In addition, a similar resolution, the Agreement for the Conservation of Dolphins (the La Jolla Agreement), was passed by 10 nations (Colombia, Costa Rica, Ecuador, Mexico, Nicaragua, Panama, Spain, the United States of America, Vanuatu, and Venezuela) at the 24<sup>th</sup> Intergovernmental Meeting (IGM), held in conjunction with the IATTC meeting. (The separate IGM was necessary because some of these nations were not members of the IATTC.) The La Jolla Agreement is specific to the tuna purse-seine fishery in the eastern Pacific Ocean (EPO), and its principal objectives are to "(1) progressively reduce dolphin mortality in the EPO fishery to levels approaching zero through the setting of annual limits, and (2) with a goal of eliminating dolphin mortality in this fishery, seeking ecologically sound means of capturing large yellowfin tunas not in association with dolphins, while maintaining the populations of yellowfin tuna in the EPO at a level which will permit maximum sustained catches." The IRP's main objective is to review and report on the compliance of the vessels of the international tuna purse-seine fleet covered by the La Jolla Agreement with the operational requirements and dolphin mortality limits set forth in the Agreement, and to make recommendations, as appropriate, to the nations participating in that Agreement. The functions and responsibilities of the IRP are described in the Agreement.

At the end of the period covered by this report the IRP consisted of 17 members: 11 participating governments (Colombia, Costa Rica, Ecuador, El Salvador, Mexico, Nicaragua, Panama, Spain, the United States, Vanuatu, and Venezuela), and 6 representing non-governmental organizations (NGOs): 3 representatives of environmental organizations (the Center for Marine Conservation, the Fundación para la Defensa de la Naturaleza (FUDENA), and the World Wildlife Fund), and 3 representatives from the tuna industry (the Cámara Nacional de Enlatadores de la Pesca (CAVENPESCA) of Venezuela, the Cámara Nacional de la Industria Pesquera (CANAINPES) of Mexico, and Sardimar, S.A. The environmental organizations' alternate representative was from the Whale and Dolphin Conservation Society, and the industry alternate was from Seatrading International. Only government members have voting rights, and the IATTC provides a non-voting Secretariat for the IRP.

During 1998 the IATTC and the Mexican Programa Nacional de Aprovechamiento del Atún y de Protección de Delfines (PNAAPD) collected data pertaining to dolphin mortality in the fishery. The IATTC covered 52 percent of the trips made by the Mexican fleet and all trips by all other national fleets operating in the EPO, and the PNAAPD covered the remaining Mexican trips. The coverage of the fleet during 1998 is summarized in Appendix 1. All nations listed in that appendix except Belize, Cyprus, and Honduras are party to the La Jolla Agreement. Data for both programs were collected by trained observers, using standardized forms and data-collection procedures. The IRP reviews the operations of these programs and the data they collect.

The IATTC had observers aboard trips of vessels operating under the jurisdictions of Belize, Colombia, Ecuador, El Salvador, Honduras, Mexico, Panama, Spain, the United States, Vanuatu, and Venezuela. Also, sampling was conducted aboard two vessels that the IATTC staff believed to be properly regis-

tered, but this later proved not to be the case. Those six trips were assigned to the category of “unknown” registry.

This Annual Report, which reviews the sixth year of operation of the IDCP, summarizes all infractions of the La Jolla Agreement identified by the IRP and the actions and decisions it took during its 18<sup>th</sup>, 19<sup>th</sup>, and 20<sup>th</sup> meetings. Also included are actions and resolutions of the participating nations during three IGMs (36<sup>th</sup>-38<sup>th</sup>) which affect the operation of the IRP and the IDCP, the dolphin mortality levels in the fishery during 1998, and business that was pending before the IRP at the end of this reporting period. Minutes of the IRP meetings and IGMs are recorded by the Secretariat and distributed to the attendees. Documents referred to in this report are also included in those minutes.

### **1.1. Dolphin mortality in 1998**

The total dolphin mortality in the fishery in 1998 was 1,877 animals, or 29 percent of the overall limit of 6,500. The total number of intentional sets on tunas associated with dolphins was 10,644, and the average mortality in these sets was approximately 0.18 dolphins.

### **1.2. Agreement on the International Dolphin Conservation Program (AIDCP)**

A binding agreement, the Agreement on the International Dolphin Conservation Program (AIDCP), was adopted at the 35<sup>th</sup> IGM (February 2-7, 1998). It was signed in Washington, D.C., USA, by representatives of Colombia, Costa Rica, Ecuador, Mexico, Nicaragua, Panama, the United States, and Venezuela on May 21, 1998 (and shortly thereafter by representatives of the European Community, Honduras, and Vanuatu), and it was to go into effect as soon as it was ratified by four signatories. It was ratified by the United States on July 21, 1998, by Panama on December 23, 1998, by Ecuador on February 4, 1999, and by Mexico on February 15, 1999, and thus came into force on February 15, 1999. The principal differences between the AIDCP and the 1992 La Jolla Agreement are that: (1) the AIDCP is binding, whereas the La Jolla Agreement is not; (2) the AIDCP establishes mortality limits for individual stocks of dolphins in addition to a DML for all species combined, whereas the La Jolla Agreement calls for a single DML for all species combined; (3) the AIDCP includes, as one of its objectives, “avoiding, reducing and minimizing bycatch and discards of juvenile tunas and non-target species,” whereas the La Jolla Agreement does not mention bycatches and discards; (4) the AIDCP provides for “certification for fishing captains and crews,” whereas the La Jolla Agreement does not; and (5), the AIDCP provides for “the establishment of a system for the tracking and verification of tuna harvested with and without mortality or serious injury of dolphins,” whereas the La Jolla Agreement does not. Also, the AIDCP applies to the area bounded by the coastline of the Americas, 40°N latitude, 150°W longitude, and 40°S latitude, whereas the La Jolla Agreement applies to “the eastern Pacific Ocean.” The transition from the 1992 La Jolla Agreement to the AIDCP was discussed at the 38<sup>th</sup> IGM (March 15-16, 1999), and it will be discussed again on June 11, 1999, at a meeting of the parties to the AIDCP.

## **2. IRP MEETINGS**

The IRP held its 18<sup>th</sup>, 19<sup>th</sup>, and 20<sup>th</sup> meetings during the period covered by this report: on June 8-9 and October 13-14, 1998, in La Jolla, California, USA, and on January 25-26, 1999, in Ensenada, Mexico. Representatives from all of the eligible parties attended some or all of the meetings. Dr. William Hogarth, of the United States, Ms. Wanda Cain, of the United States, and Ms. Mara Murillo, of Mexico, served as Presiders of the 18<sup>th</sup>, 19<sup>th</sup>, and 20<sup>th</sup> meetings, respectively.

### **3. DOLPHIN MORTALITY LIMITS (DMLs)**

#### **3.1. 1998 DMLs:**

The overall dolphin mortality limit for the international fleet in 1998 was 6,500, as stipulated in the La Jolla Agreement. Ninety-eight vessels were allocated DMLs of 66 animals, four vessels having their DMLs reduced by 1, 8, 18, and 24 respectively, the amounts by which they had exceeded their 1997 DMLs. The total of the individual-vessel DMLs was 6,417.

At its 18<sup>th</sup> meeting the IRP allocated second-semester DMLs of 33 animals to five vessels.

Eighty-one of the full-year DMLs issued to the 98 vessels were utilized; the 17 others were forfeited by not being utilized by June 1, 1998. One of the five second-semester DMLs was utilized. No vessel exceeded its DML in 1998. The distributions of the mortality caused in 1998 by vessels with full-year and second-semester DMLs are shown in Appendices 2a and 2b, respectively.

#### **3.2. 1999 DMLs:**

The overall dolphin mortality limit for the international fleet in 1999 is 5,000, as stipulated in the La Jolla Agreement. At its 18<sup>th</sup> meeting the IRP allocated DMLs of 39 dolphins to 126 vessels. Subsequently, the number of vessels was reduced to 125 and the per-vessel DML was adjusted to 40 dolphins (5,000/125).

The 38<sup>th</sup> IGM noted that the assignment of Dolphin Mortality Limits (DMLs) under the AIDCP would begin in October 1999 for the parties to the Agreement, and thus the first DMLs issued under the AIDCP would be those for the year 2000. DMLs set under the La Jolla Agreement apply for 1999, including for those states which had ratified the AIDCP, using the rules of the La Jolla Agreement for all participating countries.

#### **3.3. Other DML issues:**

During the year, the Secretariat sent 22 quarterly performance letters, 12 at the end of the first quarter, 6 at the end of the second quarter, and 4 at the end of the third quarter, to vessels that risked exceeding their assigned DML if their mortality levels continued to accumulate at the current rate.

At its 18<sup>th</sup> meeting the IRP reviewed a set in which dolphin mortality occurred, but the Panel determined that the mortality was caused by the actions of a third party over which the fishing captain had no control. The Panel decided that the mortality would count towards the fleet's DML, but not towards the vessel's DML.

### **4. INFRACTIONS**

At its 18<sup>th</sup> meeting the IRP approved a procedure to follow in determining whether a particular night set case should be presented to the Panel for review (Appendix 3). It agreed that it would maintain its existing definition of a night set as a set during which the backdown procedure is not completed within 30 minutes after sunset. It also decided that, in cases in which the observer's estimate of the time of sunset did not agree with the time calculated by a computer program from almanac data, the computer time should be regarded as correct. The Panel decided that governments should be informed automatically if a pattern of behavior is detected of a vessel or captain taking apparently unjustified risks when setting on dolphins close to sunset, even when this resulted in no mortality of dolphins and no infraction was identified.

The Panel also decided at this meeting that any requests to review cases with special circumstances would only be considered if presented by governments.

At its 20<sup>th</sup> meeting, the IRP noted that any vessel threatened with withdrawal of its flag for repeated violations should not be allowed to change to another flag unless the new flag state was informed of the vessel's history. With regard to cases of observer harassment or interference, the Panel agreed that it is the responsibility of the governments to support the observers and act on the information they report. However, since any eventual action on these issues is the responsibility of individual governments, the matter should be considered in the framework of the IGM.

## **5. SPECIAL PROBLEM SETS**

At the 36<sup>th</sup> IGM (June 11, 1998) a working group to examine special problem sets was established. At its 19<sup>th</sup> meeting the IRP agreed to recommend to the IGM that it continue using the special problem set procedures adopted in 1995 (Appendix 4) while the La Jolla Agreement remained in effect, and that the working group would continue studying the matter with a view to adapting these procedure to the framework of the AIDCP. This recommendation was approved by the 37<sup>th</sup> IGM (October 17, 1998).

## **6. USE OF DIVERS IN DOLPHIN RESCUE**

At its 18<sup>th</sup> meeting, the IRP decided to establish a working group to study the various aspects of the use of divers for dolphin rescue. At its 19<sup>th</sup> meeting the IRP agreed to recommend to the IGM the adoption of the following recommendations made by the working group: 1) that the safety of human life at sea is paramount, and that crewmen should not be placed in situations that present unnecessary risks to their personal safety; 2) to take note of the positive effect of the use of divers in reducing dolphin mortality, and ask the observer programs to continue monitoring this practice and provide information to the IRP on its results and implications; and 3) that concealing dolphin mortality from observers, including removing dead dolphins from the net underwater, constitutes an infraction under the general heading of observer interference, and should be specified as such on the list of possible infractions. These recommendations were approved by the 37<sup>th</sup> IGM.

## **7. CERTIFICATION OF FISHING CAPTAINS**

The AIDCP calls for the establishment of a system of technical training and certification for fishing captains and crews on the use of gear and techniques for the rescue and safety of dolphins. At its 18<sup>th</sup> meeting the IRP approved such a system and agreed to ask the IGM to establish a working group to study the matter further and determine how this system could be put into effect. The 36<sup>th</sup> IGM established such a working group, which would report to the IRP. The 37<sup>th</sup> IGM accepted the proposals of the working group (Appendix 5), as recommended by the IRP at its 19<sup>th</sup> meeting.

## **8. CONFIDENTIALITY OF INFORMATION**

At its 18<sup>th</sup> meeting the IRP decided that, in reference to requests for information about DMLs for individual vessels, the existing policy of not releasing such information should be followed until rules governing the release of confidential information called for in the AIDCP were adopted.

## **9. FUNDING OF THE IDCP**

At its 19<sup>th</sup> meeting the IRP agreed to recommend to the IGM an increase in vessel assessments for funding the IDCP during 1999, from \$US12 per short ton of carrying capacity to the equivalent of US\$15.05 per short ton of carrying capacity, to cover increased costs. These costs include higher observer wages, organization and coordination of meetings and working groups, simultaneous interpretation at IRP meetings, and costs that the IATTC incurs in net alignments and captain training and performance monitoring. The Panel also agreed to recommend that the basis for the assessments for 1999 should be the volume of a vessel's wells, measured in cubic meters. These recommendations were approved by the 37<sup>th</sup> IGM. Subsequently, the Secretariat determined that the 1999 assessment rate would be US\$14.17 per cubic

meter. The Panel further agreed to establish a working group to consider options for distributing the assessments.

At the 20<sup>th</sup> meeting of the IRP the Secretariat reported that this rate had resulted in an excess over the amount budgeted for 1999; the rate should have been US\$12.552/m<sup>3</sup>. The Panel decided that the excess payments be returned to the vessels.

## **10. UNFINISHED BUSINESS**

The status of a number of items of business discussed by the IRP during meetings covered in this report and in prior meetings is as follows:

- (a) An enforcement mechanism to deal with non-compliance by party and non-party nations of the La Jolla Agreement has yet to be developed.
- (b) A system of recognizing outstanding performance by individual fishermen has yet to be developed.
- (c) Due to a lack of data, the IRP has yet to determine whether releasing captured dolphins by means of opening the bow end of the net is an acceptable alternative to the backdown procedure. It is hoped a determination can be made when sufficient data become available to do a meaningful analysis.
- (d) An IRP subgroup is to be established to study the extent to which DMLs can effectively be reduced, given current fishing technology.
- (e) The IRP postponed a decision on whether a vessel of a Party state with a DML would be able to keep its DML if it obtained temporary registration and flagging to a non-Party state.
- (f) The IRP postponed until its 21st meeting a decision on provisional guidelines for determining possible infractions in cases in which not all of the recommended dolphin-safety and rescue requirements had been complied with.
- (g) The working group to consider options for distributing vessel assessments has yet to be established.

## **11. SUMMARY OF POSSIBLE INFRACTIONS**

Appendix 6 is a summary of the possible infractions identified by the IRP during its 18<sup>th</sup>, 19<sup>th</sup>, and 20<sup>th</sup> meetings, by infraction type. During these meetings the IRP reviewed data from 591 fishing trips, including 10,498 intentional dolphin sets and 13 other sets with accidental capture of dolphins. A total of 1,082 possible infractions were identified and reported to governments, of which 151 were considered major infractions, 919 were considered other infractions, and 12 were cases of observer harassment or interference. As of June 4, 1999, the Secretariat had received a total of 215 responses from governments to those possible infractions, of which 27 related to major infractions, 181 to other infractions, and 7 to cases of observer harassment or interference.

Appendix 7 lists all the possible infractions identified during the period covered by this report, and reported by the Secretariat to the pertinent governments under whose jurisdiction the vessels operate. Each country's vessels, identified only as 1, 2, *etc.*, are listed in random order with the trips during which possible infractions occurred. Only vessels identified as having committed possible infractions are included. Each trip is identified by its IRP record number (example: 98-123), followed by the IRP meeting date (year/month) at which the possible infractions were identified. Each possible infraction is listed, followed by a brief description of the action taken by the government, as reported to the Secretariat; if no action is listed, this indicates that the Secretariat has not received a response from the government.

Appendix 8 includes summary tables of responses, by country, regarding three types of possible infractions (observer harassment or interference, night sets, and use of explosives) identified by the IRP during the three meetings.

**Appendix 1.** Sampling coverage by the IATTC and PNAAPD programs during 1998.

National fleet	Number of trips	Trips sampled by program			Percent sampled
		IATTC	PNAAPD	Total	
Belize	16	16	-	16	100.0
Colombia	23	23	-	23	100.0
Cyprus	1	1	-	1	100.0
Ecuador	175	175	-	175	100.0
El Salvador	2	2	-	2	100.0
Honduras	4	4	-	4	100.0
Mexico	229	118	111	229	100.0
Panama	6	6	-	6	100.0
Spain	26	26	-	26	100.0
USA	27	27	-	27	100.0
Vanuatu	55	55	-	55	100.0
Venezuela	96	96	-	96	100.0
Unknown	6	6	-	6	100.0
<b>Total</b>	<b>666</b>	<b>555<sup>1</sup></b>	<b>111<sup>2</sup></b>	<b>666<sup>3</sup></b>	<b>100.0</b>

<sup>1</sup> Includes 57 trips which departed in late 1997 and ended in 1998, and 498 trips which departed in 1998

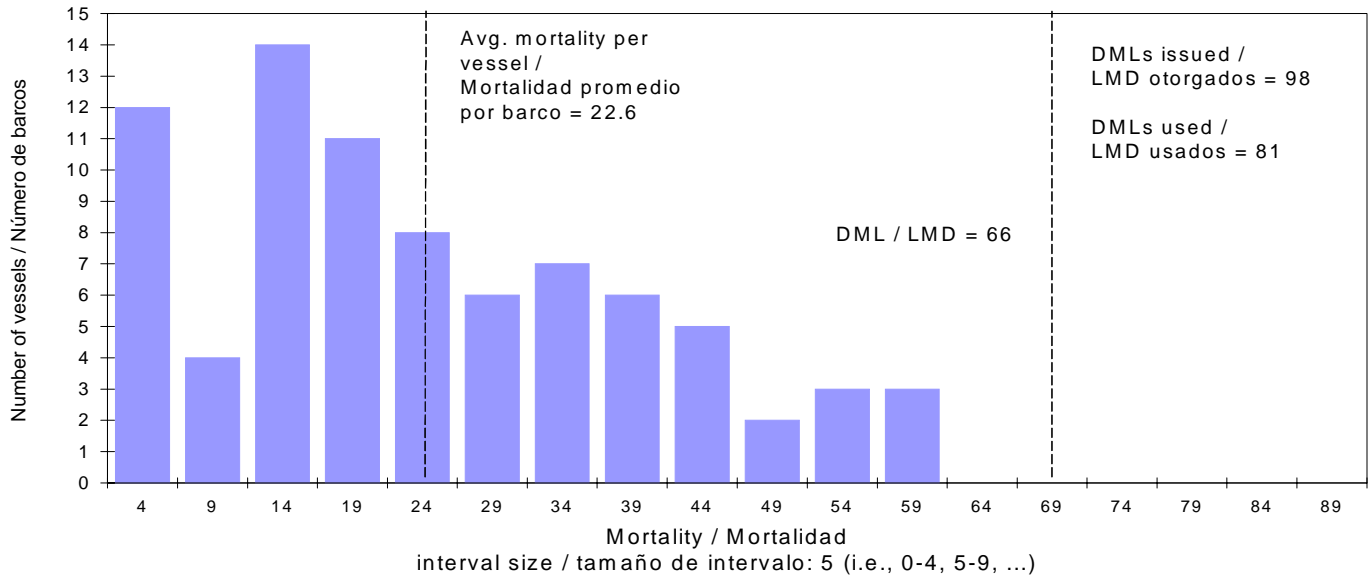
<sup>2</sup> Includes 3 trips which departed in late 1997 and ended in 1998, and 108 trips which departed in 1998

<sup>3</sup> Includes 60 trips which departed in late 1997 and ended in 1998, and 606 trips which departed in 1998

## Appendix 2a.

### MORTALITY CAUSED BY DML VESSELS - 1998 MORTALIDAD CAUSADA POR BARCOS CON LMD - 1998

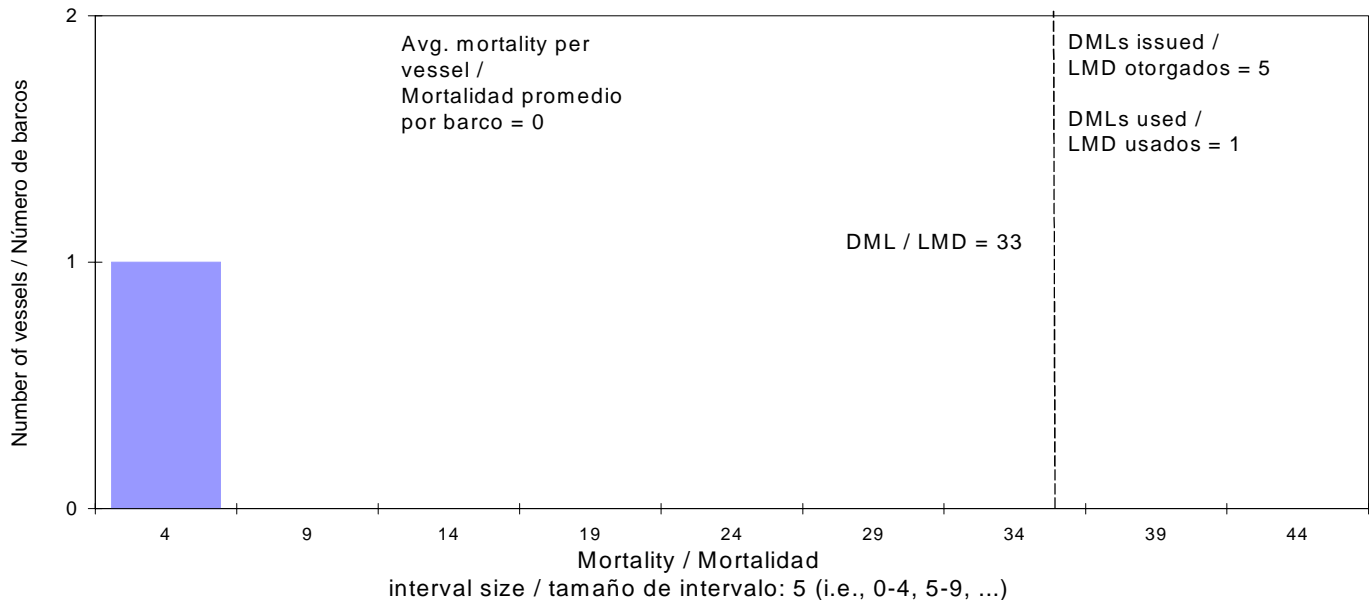
(DML use = 1 or more intentional sets on dolphins; experimental set mortality excluded /  
Uso de LMD = 1 o más lances intencionales sobre delfines; mortalidad en lances experimentales excluida)



## Appendix 2b.

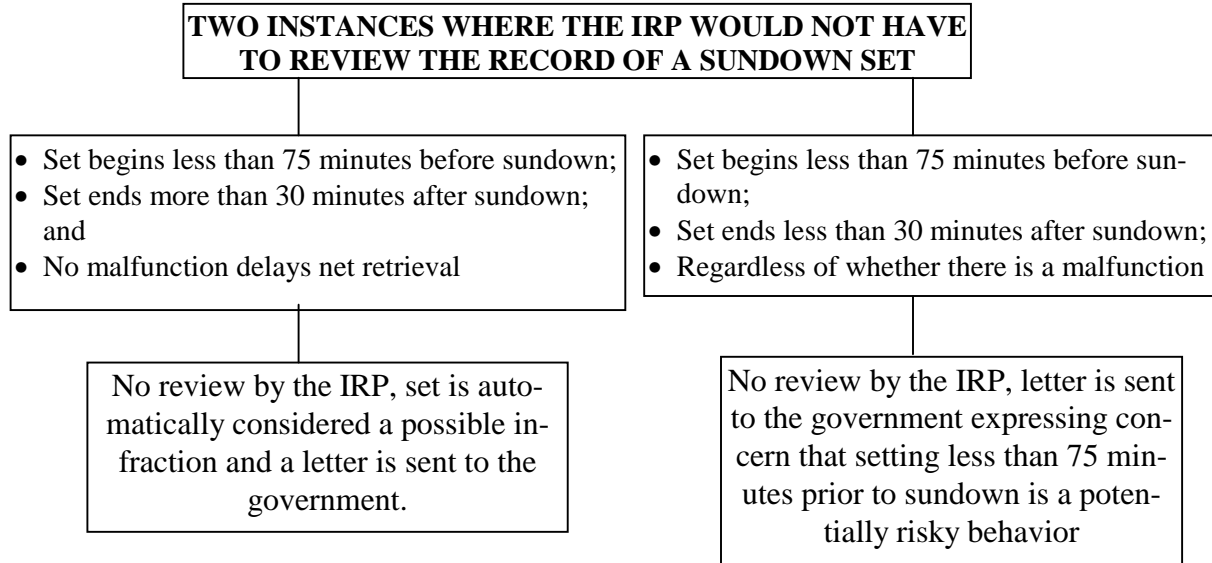
### MORTALITY CAUSED BY SECOND-SEMESTER DML VESSELS MORTALIDAD CAUSADA POR BARCOS CON LMD DE SEGUNDO SEMESTRE

(DML use = 1 or more intentional sets on dolphins; experimental set mortality excluded /  
Uso de LMD = 1 o más lances intencionales sobre delfines; mortalidad en lances experimentales excluida)



### Appendix 3.

## CRITERIA FOR REVIEW OF SUNDOWN SETS BY THE INTERNATIONAL REVIEW PANEL



### Appendix 4.

## INTERNATIONAL REVIEW PANEL

### PROCEDURES FOR DEALING WITH SPECIAL PROBLEM SETS

#### 1. DEFINITION

A special problem set is a set in which:

- (a) the mortality exceeds 50% of the individual-vessel DML for the year of the event and affects that vessel's DML for the following year;
- (b) the mortality is not caused by or contributed to by:
  - i) an infraction committed by the fishing captain, or
  - ii) a gear failure or malfunction resulting from a lack of proper maintenance of the vessel and its gear,
- (c) taking account of all the circumstances, the fishing captain acted with the degree of skill and care that would be expected of a reasonably competent fishing captain, and did not take unreasonable risks, and
- (d) Every reasonable effort and/or efforts beyond those normally required were made, in the course of the set, to reduce or eliminate the mortality.



## **2. DETERMINATION**

- (a) In the course of its regular review of sets, the IRP shall be solely responsible for determining whether any set qualifies as a special problem set and making the appropriate recommendations to the Intergovernmental Meeting.
- (b) The IRP should, as appropriate, obtain and hear expert evidence, to be given by fishing captains, gear technicians, and such other qualified persons as deemed necessary.
- (c) The flag state or the state responsible for the vessel's fishing operations shall be given an opportunity to carry out its own investigation and to make such representations to the IRP as it wishes.
- (d) For the purposes of making its determination, the IRP shall not consider the past performance of the fishing captain.
- (e) Notwithstanding d) above, the IRP may take into account sets made during the same trip for the purpose of determining whether the fishing captain continued fishing after having experienced similar environmental conditions or gear malfunctions which should have put him on notice of the risks.

## **3. ACTION TO BE TAKEN**

- (a) If at any time after making a special problem set or as a consequence of such a set, the vessel exceeds its DML, it must immediately cease fishing on dolphins for the remainder of that year.
- (b) When a vessel exceeds its DML due to a special problem set, its DML for the following year will be reduced by 40% or by the difference between the actual mortality and the DML in the year of the event, whichever is less. If that difference is not fully covered in the year after the event, then the DML for the following year will be reduced by 20% or by the remaining difference, whichever is less. As an incentive, if the vessel achieves a reduction of 50% or more from the DML for the year after the event (before any reduction due to the special problem set), it will be exempted from any further DML reductions in the following year.
- (c) If the total mortality caused by the fleet exceeds the overall DML for the year of the event, the excess imputable to the special problem sets will be deducted from the DMLs for the year after the event assigned to the vessels responsible in proportion to the level of mortality caused in the respective special problem sets. Provided that the reduction shall in no case be less than the reduction that would have been made under paragraph (b) had the overall DML for the year of the event not been exceeded. If this deduction exceeds an individual vessel's DML, that vessel shall not be permitted to fish on dolphins in the year after the event.

## **4. RECOMMENDATIONS**

- (a) The analysis and opinions of a captains' panel, to be constituted by the IATTC, should be requested in all cases of special problem sets.
- (b) The conclusions of the captains' panel shall be circulated to all members of the IRP, who shall make a final determination as to whether the set qualifies as a special problem set.
- (c) The captains' panel shall be bound by the same rules as the IRP, including that of absolute confidentiality.

## **Appendix 5.**

### **INTERNATIONAL REVIEW PANEL**

#### **TRAINING AND IDENTIFICATION OF FISHING CAPTAINS QUALIFIED TO FISH ON VESSELS UNDER THE AGREEMENT ON THE INTERNATIONAL DOLPHIN CONSERVATION PROGRAM**

**La Jolla, California, USA**

**October 14, 1998**

### **1. INTRODUCTION**

This document describes methods to be used to train, and monitor the performance of, fishing captains of tuna purse-seine vessels fishing under the Agreement on the International Dolphin Conservation Program (IDCP). It is prepared for consideration by the International Review Panel (IRP), and once the Panel makes whatever changes it deems necessary, is to be presented to the Intergovernmental Meeting (IGM) for action.

If these methods are approved by the IGM, the IATTC staff will be responsible for preparing and maintaining a list of all captains who are or have been active in the eastern Pacific Ocean (EPO). The names of those captains who meet the requirements will be supplied to the IRP for approval and circulation to the Parties. The staff will inform the IRP of captains qualified to be added to the list, and also of those who may no longer meet the requirements, based on information supplied by the Parties, and the Panel will make any necessary changes to the list. The staff will also report to the IRP any captain fishing on dolphins on a vessel with a DML who is not on the list. The initial list of captains will be prepared using the lists supplied by the nations.

### **2. DEFINITION**

For the purposes of this document, the fishing captain (or simply "captain"), also called the skipper, is the person aboard the vessel who is responsible for making decisions during fishing operations. That individual shall be the principal authority for fishing operations aboard that vessel, and all other persons involved in fishing operations aboard such vessel while at sea shall be under his authority and responsibility. That individual shall be so identified by the national authority under whose flag the vessel operates, or by the owner of the vessel on which he serves, in that order. That person is also responsible for the availability and use of all dolphin safety gear, and for all interactions with the observers.

### **3. REQUIREMENTS FOR NEW CAPTAINS**

All new captains appointed to command purse-seine vessels fishing in the EPO for the first time must have attended a training seminar organized by the IATTC staff, or by the pertinent national program in coordination with the IATTC staff. The seminar shall include detailed information on the international agreements and regulations currently in force, as well as participation in a trial set in which the captain will, in conjunction with personnel of the IATTC or of the corresponding national program, examine in detail the dolphin safety gear, including direct observations of the backdown channel.

This seminar shall also include a practical training component, which will consist of a trip during which it is intended to fish for tuna associated with dolphins aboard a vessel with a DML. This vessel may be:

- (a) A vessel whose captain is on the list of qualified captains and whose captain and crew have proven experience in setting on dolphins; or

- (b) A vessel on which the new captain is the fishing captain, and on which he will be accompanied by a technical adviser, who will provide him the necessary advice, and who has proven experience in setting on dolphins, recognized by the scientific staff of the IATTC.

#### **4. REQUIREMENTS FOR ACTIVE CAPTAINS**

In order to remain on the list, a captain must:

1. Attend a seminar organized by the IATTC staff, or by the pertinent national program in coordination with the IATTC staff, if the cumulative mortality of dolphins of a vessel or vessels under his command exceeded the average DML for the fleet during the current or previous calendar year.
2. Not have made intentional sets on dolphins on a vessel after it has reached its DML, or other limit imposed under the IDCP Agreement, either during the previous or the current calendar year.
3. Not have made intentional sets on dolphins without a DML either during the previous or the current calendar year.
4. Not have fished without an observer on board either during the previous or the current calendar year.
5. Not have been the fishing captain on a vessel of a non-Party state that was not complying with the management measures of the IDCP Agreement during the previous year, nor be the fishing captain on such a vessel during the current year.
6. Not have committed one of the following infractions, with which the pertinent Party concurs, either during the previous or the current calendar year: obstructing, intimidating, interfering with, influencing, bribing, or attempting to bribe an observer in the course of his duties.
7. Not have infractions with which the pertinent Party concurs, which are determined by the IRP to form a pattern and are accepted as such by the Meeting of the Parties.

#### **5. REINSTATEMENT OF DISQUALIFIED CAPTAINS**

A disqualified captain shall be reinstated on the list after:

1. Any sanctions imposed on him by the pertinent Party have been complied with;
2. His reinstatement is requested by a Party; and
3. He has attended a re-training course organized by the IATTC staff, or by the pertinent national program in coordination with the IATTC staff.

A captain may not be reinstated to the list more than twice, unless the Meeting of Parties, taking into consideration the recommendations of the IRP, determines otherwise.

#### **6. MONITORING THE PERFORMANCE OF ACTIVE CAPTAINS**

The Secretariat of the Agreement shall collect the information necessary for monitoring the performance of active captains, as follows:

1. Using standardized performance measurements, recommended by the IRP and approved by the Meeting of the Parties, that take into account variables outside the captain's control (*e.g.*, unforeseeable gear malfunctions or environmental factors, such as the presence of strong subsurface currents), and also differences among fishing areas and seasons and among dolphin species and stocks;

2. Attendance at seminars organized by the IATTC staff, or by the pertinent national program in coordination with the IATTC staff, and participation in related activities; and
3. Record of possible or confirmed infractions and sanctions.

Each year the three captains with the best performances will be recognized. Rankings will be based on standardized performance measures approved by the Meeting of the Parties, proportion of sets with zero mortality, and compliance with the IDCP. Only captains with a sufficient number of dolphin sets (more than 100/year) will be considered.

## 7. APPLICATION

These provisions shall not be applied retroactively.

### Appendix 6.

#### INTERNATIONAL REVIEW PANEL

#### SUMMARY OF POSSIBLE INFRACTIONS IDENTIFIED DURING THE 18<sup>TH</sup>, 19<sup>TH</sup>, AND 20<sup>TH</sup> MEETINGS

##### MAJOR INFRACTIONS:

Trips without an observer .....	0
Trips with dolphin sets but no DML assigned .....	4
Trips with an unlicensed or suspended fishing captain .....	0
Trips without a dolphin safety panel .....	129
Intentional sets made after reaching the DML .....	0
Sets on banned stocks or school types .....	0
Sets without a required backdown (occurred in 9 trips) .....	11
Sets with dolphin sack-up or brail (occurred in 7 trips).....	7

##### OTHER INFRACTIONS:

Trips without a required raft .....	145
Trips with speedboats lacking towing bridles .....	26
Trips without a required high intensity floodlight .....	194
Trips without required diving masks and snorkels .....	118
Night sets (occurred in 43 trips).....	61
Sets with use of explosives (occurred in 50 trips).....	372
Sets without required rescue (occurred in 3 trips).....	3
Cases of observer interference .....	12
Trips reviewed in these meetings .....	591
Dolphin sets reviewed in these meetings .....	10498
Accidental sets reviewed in these meetings .....	13

## Appendix 7.

### POSSIBLE INFRACTIONS IDENTIFIED BY THE IRP

Brief descriptions of government actions taken, as reported to the Secretariat by June 4, 1999, are included. If no action is listed for a possible infraction, the Secretariat has not received a response from the government.

The "Other" category includes all fleets with three vessels or less (Honduras, Panama, and Unknown registry).

Abbreviations: DSP = Dolphin Safety Panel

<b>BELIZE</b>				
<i>Vessel</i>	<i>IRP recno</i>	<i>Review date</i>	<i>Identified infractions</i>	
BEL 1	1997-625	1998/06	1) 1 Fishing without a DSP	
		1998/06	2) 1 No raft	
		1998/06	3) 1 No floodlight	
		1998/06	4) 1 No mask/snorkel	
	1998-063	1998/06	1) 1 Fishing without a DSP	
		1998/06	2) 1 Explosives use	
		1998/06	3) 1 No raft	
		1998/06	4) 1 No floodlight	
	1998-200	1998/06	5) 1 No mask/snorkel	
		1998/06	1) 1 Fishing without a DSP	
		1998/06	2) 1 No raft	
	1998-241	1998/06	3) 1 No floodlight	
		1998/10	1) 1 Fishing without a DSP	
		1998/10	2) 1 No raft	
		1998/10	3) 1 No floodlight	
			1998/10	4) 1 No mask/snorkel
			<b>Action taken:</b> 1) 2) 3) 4) No action was taken since the vessel has left the national fleet.	
BEL 2	1997-639	1998/06	1) 1 Fishing without a DSP	
		1998/06	2) 1 No raft	
		1998/06	3) 1 No floodlight	
		1998/06	4) 1 No mask/snorkel	
	1998-076	1998/06	1) 1 Fishing without a DSP	
		1998/06	2) 1 No raft	
		1998/06	3) 1 No floodlight	
		1998/06	4) 1 No mask/snorkel	
	1998-155	1998/06	1) 1 Fishing without a DSP	
		1998/06	2) 1 No raft	
		1998/06	3) 1 No floodlight	
		1998/06	4) 1 No mask/snorkel	
	1998-246	1998/10	1) 1 Fishing without a DSP	
		1998/10	2) 1 No raft	
		1998/10	3) 1 No floodlight	
		1998/10	4) 1 No mask/snorkel	
				<b>Action taken:</b> 1) 2) 3) 4) No action was taken since the vessel has left the national fleet.
	1998-292	1998/10	1) 1 Fishing without a DSP	
		1998/10	2) 1 No raft	
		1998/10	3) 1 No floodlight	
1998/10		4) 1 No mask/snorkel		
			<b>Action taken:</b> 1) 2) 3) 4) No action was taken since the vessel has left the national fleet.	

	1998-340	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No raft
		1998/10	3) 1 No floodlight
		1998/10	4) 1 No mask/snorkel
			<b>Action taken:</b> 1) 2) 3) 4) No action was taken since the vessel has left the national fleet.
	1998-439	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No mask/snorkel
BEL 3	1998-426	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No floodlight
		1999/01	4) 1 No mask/snorkel
BEL 4	1998-028	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No raft
		1998/06	3) 1 No mask/snorkel
	1998-184	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No raft
		1998/10	3) 1 No floodlight
		1998/10	4) 1 No mask/snorkel
			<b>Action taken:</b> 1) 2) 3) 4) No action was taken since the vessel has left the national fleet.
	1998-367	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No floodlight

#### COLOMBIA

<i>Vessel</i>	<i>IRP recno</i>	<i>Review date</i>	<i>Identified infractions</i>
COL 1	1998-053	1998/06	1) 1 No backdown
		1998/06	2) 1 Observer interference
		1998/06	3) 1 Night sets
		1998/06	4) 28 Explosives use
	1998-204	1998/10	1) 1 No backdown
		1998/10	2) 1 Sets sackup/brail
		1998/10	3) 2 Explosives use
		1998/10	4) 1 No floodlight
	1998-373	1999/01	1) 1 Night sets
COL 2	1998-088	1998/10	1) 4 Explosives use
	1998-256	1998/10	1) 1 Explosives use
COL 3	1997-652	1998/06	1) 1 No mask/snorkel
	1998-386	1999/01	1) 1 No floodlight
COL 4	1997-613	1998/06	1) 1 Sets sackup/brail
		1998/06	2) 1 Explosives use
	1998-083	1998/06	1) 13 Explosives use
		1998/06	2) 1 No mask/snorkel
COL 5	1998-253	1998/10	1) 4 Explosives use

#### ECUADOR

<i>Vessel</i>	<i>IRP recno</i>	<i>Review date</i>	<i>Identified infractions</i>
ECU 1	1997-645	1998/06	1) 1 Fishing without a DSP
	1998-123	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No raft
		1998/06	3) 1 No floodlight
		1998/06	4) 1 No mask/snorkel
	1998-230	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No raft
		1998/10	3) 1 No mask/snorkel

	1998-358	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No raft
		1998/10	3) 1 No mask/snorkel
	1998-471	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No mask/snorkel
	1998-552	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No mask/snorkel
ECU 2	1998-201	1998/10	1) 1 No mask/snorkel
	1998-455	1999/01	1) 1 No mask/snorkel
ECU 3	1998-052	1998/06	1) 1 No floodlight
	1998-187	1998/06	1) 1 No floodlight
	1998-232	1998/10	1) 1 No floodlight
	1998-361	1998/10	1) 1 No raft
ECU 4	1998-007	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No raft
		1998/06	3) 1 No floodlight
		1998/06	4) 1 No mask/snorkel
	1998-096	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No raft
		1998/06	3) 1 No floodlight
	1998-235	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No raft
		1998/10	3) 1 No floodlight
	1998-331	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No raft
		1998/10	3) 1 No floodlight
		1998/10	4) 1 No mask/snorkel
	1998-459	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No floodlight
		1999/01	4) 1 No mask/snorkel
ECU 5	1997-675	1998/06	1) 1 Fishing without a DML
		1998/06	2) 1 Fishing without a DSP
		1998/06	3) 1 No raft
		1998/06	4) 1 No floodlight
		1998/06	5) 1 No mask/snorkel
	1998-271	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No raft
		1998/10	3) 1 No floodlight
		1998/10	4) 1 No mask/snorkel
	1998-474	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No floodlight
ECU 6	1998-039	1998/06	1) 1 No floodlight
	1998-174	1998/10	1) 1 No floodlight
	1998-423	1999/01	1) 1 Sets sackup/brail
ECU 7	1998-044	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No floodlight
	1998-144	1998/06	1) 1 No floodlight
ECU 8	1997-662	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No raft
		1998/06	3) 1 No floodlight

	1998-162	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No raft
		1998/10	3) 1 No floodlight
		1998/10	4) 1 No mask/snorkel
	1998-341	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No floodlight
		1999/01	4) 1 No mask/snorkel
	1998-493	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No floodlight
		1999/01	4) 1 No mask/snorkel
ECU 9	1997-677	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No raft
		1998/06	3) 1 No floodlight
	1998-135	1998/10	1) 1 No raft
		1998/10	2) 1 No floodlight
		1998/10	3) 1 No mask/snorkel
	1998-294	1998/10	1) 1 No raft
		1998/10	2) 1 No floodlight
		1998/10	3) 1 No mask/snorkel
	1998-318	1998/10	1) 1 No raft
		1998/10	2) 1 No floodlight
		1998/10	3) 1 No mask/snorkel
	1998-380	1999/01	1) 1 No raft
		1999/01	2) 1 No floodlight
		1999/01	3) 1 No mask/snorkel
	1998-512	1999/01	1) 1 No raft
		1999/01	2) 1 No floodlight
		1999/01	3) 1 No mask/snorkel
ECU 10	1998-236	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 Explosives use
		1998/10	3) 1 No floodlight
		1998/10	4) 1 No mask/snorkel
	1998-389	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No floodlight
	1998-503	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No floodlight
		1999/01	4) 1 No mask/snorkel
ECU 11	1997-667	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No raft
	1998-109	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No raft
	1998-223	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No mask/snorkel
	1998-355	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No raft
		1998/10	3) 1 No mask/snorkel
	1998-410	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No mask/snorkel
	1998-525	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No mask/snorkel
ECU 12	1997-659	1998/06	1) 1 No raft
	1998-110	1998/06	1) 1 No raft
	1998-119	1998/10	1) 1 No raft



ECU 13	1997-666	1998/06	1) 1 No mask/snorkel
	1998-113	1998/10	1) 1 No raft
	1998-272	1998/10	1) 1 Fishing without a DML
		1998/10	2) 1 Observer interference
		1998/10	3) 1 No floodlight
<b>Action taken:</b> 1) 2) The fishing captain was dismissed, the vessel owner admonished, and the vessel placed on a provisional fishing permit.			
ECU 14	1998-153	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No raft
		1998/10	3) 1 No floodlight
		1998/10	4) 1 No mask/snorkel
	1998-283	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No raft
		1998/10	3) 1 No floodlight
		1998/10	4) 1 No mask/snorkel
	1998-406	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No floodlight
		1999/01	4) 1 No mask/snorkel
	1998-500	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No floodlight
		1999/01	4) 1 No mask/snorkel
1998-586	1999/01	1) 1 Fishing without a DSP	
	1999/01	2) 1 No raft	
	1999/01	3) 1 No floodlight	
	1999/01	4) 1 No mask/snorkel	
ECU 15	1998-221	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No raft
		1998/10	3) 1 No floodlight
		1998/10	4) 1 No mask/snorkel
	1998-425	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No floodlight
	1998-560	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No floodlight
ECU 16	1998-186	1998/10	1) 1 No floodlight
	1998-315	1998/10	1) 1 No floodlight
	1998-449	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No floodlight
ECU 17	1998-002	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No raft
	1998-101	1998/06	1) 1 No raft
ECU 18	1997-641	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No raft
		1998/06	3) 1 No floodlight
		1998/06	4) 1 No mask/snorkel
	1998-060	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No raft
		1998/06	3) 1 No floodlight
	1998-156	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No raft
		1998/10	3) 1 No floodlight
		1998/10	4) 1 No mask/snorkel

	1998-208	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No raft
		1998/10	3) 1 No floodlight
		1998/10	4) 1 No mask/snorkel
	1998-414	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No floodlight
		1999/01	4) 1 No mask/snorkel
ECU 19	1998-057	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No raft
		1998/06	3) 1 No floodlight
	1998-203	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No floodlight
	1998-234	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No floodlight
	1998-375	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No floodlight
	1998-487	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No floodlight
	1998-501	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No floodlight
ECU 20	1997-660	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No raft
		1998/06	3) 1 No floodlight
		1998/06	4) 1 No mask/snorkel
	1998-071	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No raft
		1998/06	3) 1 No floodlight
		1998/06	4) 1 No mask/snorkel
	1998-212	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No raft
		1998/10	3) 1 No floodlight
		1998/10	4) 1 No mask/snorkel
	1998-369	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No raft
		1998/10	3) 1 No floodlight
		1998/10	4) 1 No mask/snorkel
	1998-466	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No floodlight
		1999/01	4) 1 No mask/snorkel
	1998-546	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No floodlight
		1999/01	4) 1 No mask/snorkel
ECU 21	1998-051	1998/06	1) 1 No floodlight
		1998/06	2) 1 No mask/snorkel
	1998-092	1998/06	1) 1 No raft
		1998/06	2) 1 No floodlight
		1998/06	3) 1 No mask/snorkel
	1998-147	1998/06	1) 1 No floodlight
		1998/06	2) 1 No mask/snorkel
	1998-266	1998/10	1) 1 No raft
		1998/10	2) 1 No floodlight
		1998/10	3) 1 No mask/snorkel
ECU 22	1997-661	1998/06	1) 1 No raft
		1998/06	2) 1 No floodlight

	1998-065	1998/06	1) 1 No raft
		1998/06	2) 1 No floodlight
		1998/06	3) 1 No mask/snorkel
	1998-276	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No floodlight
		1998/10	3) 1 No mask/snorkel
	1998-368	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No floodlight
	1998-526	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No floodlight
		1999/01	4) 1 No mask/snorkel
ECU 23	1998-447	1999/01	1) 1 No floodlight
ECU 24	1997-650	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No raft
	1998-054	1998/06	1) 1 No raft
		1998/06	2) 1 No mask/snorkel
	1998-192	1998/10	1) 1 No mask/snorkel
	1998-387	1999/01	1) 1 No speedboat bridles
ECU 25	1998-011	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No raft
		1998/06	3) 1 No floodlight
		1998/06	4) 1 No mask/snorkel
	1998-219	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No raft
		1998/10	3) 1 No floodlight
		1998/10	4) 1 No mask/snorkel
	1998-327	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No raft
		1998/10	3) 1 No floodlight
	1998-430	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No floodlight
		1999/01	4) 1 No mask/snorkel
	1998-535	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No floodlight
		1999/01	4) 1 No mask/snorkel
ECU 26	1997-673	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No raft
ECU 27	1997-594	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No raft
		1998/06	3) 1 No floodlight
		1998/06	4) 1 No mask/snorkel
	1998-108	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No raft
		1998/06	3) 1 No floodlight
		1998/06	4) 1 No mask/snorkel
	1998-388	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No floodlight
ECU 28	1997-670	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No raft
		1998/06	3) 1 No floodlight
		1998/06	4) 1 No mask/snorkel

	1998-090	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No raft
		1998/06	3) 1 No floodlight
		1998/06	4) 1 No mask/snorkel
	1998-175	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No raft
		1998/10	3) 1 No floodlight
	1998-317	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No raft
		1998/10	3) 1 No floodlight
		1998/10	4) 1 No mask/snorkel
	1998-436	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No floodlight
		1999/01	4) 1 No mask/snorkel
ECU 29	1997-648	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No raft
		1998/06	3) 1 No floodlight
		1998/06	4) 1 No mask/snorkel
	1998-126	1998/10	1) 1 No raft
		1998/10	2) 1 No floodlight
		1998/10	3) 1 No mask/snorkel
	1998-138	1998/10	1) 1 No raft
		1998/10	2) 1 No floodlight
		1998/10	3) 1 No mask/snorkel
	1998-311	1998/10	1) 1 No raft
		1998/10	2) 1 No floodlight
		1998/10	3) 1 No mask/snorkel
	1998-464	1999/01	1) 1 No raft
		1999/01	2) 1 No floodlight
		1999/01	3) 1 No mask/snorkel
	1998-568	1999/01	1) 1 No raft
		1999/01	2) 1 No floodlight
		1999/01	3) 1 No mask/snorkel
ECU 30	1998-026	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No raft
		1998/06	3) 1 No mask/snorkel
	1998-185	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No raft
	1998-300	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No raft
		1998/10	3) 1 No floodlight
		1998/10	4) 1 No mask/snorkel
	1998-437	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No floodlight
ECU 31	1998-041	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No raft
		1998/06	3) 1 No mask/snorkel
	1998-165	1998/10	1) 1 Fishing without a DSP
	1998-305	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No mask/snorkel
	1998-342	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No mask/snorkel
	1998-491	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No speedboat bridles
		1999/01	4) 1 No mask/snorkel

ECU 32	1998-127	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No raft
		1998/06	3) 1 No speedboat bridles
		1998/06	4) 1 No floodlight
		1998/06	5) 1 No mask/snorkel
	1998-245	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No raft
		1998/10	3) 1 No floodlight
		1998/10	4) 1 No mask/snorkel
	1998-356	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No raft
		1998/10	3) 1 No floodlight
		1998/10	4) 1 No mask/snorkel
	1998-461	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No floodlight
1999/01		4) 1 No mask/snorkel	
1998-565	1999/01	1) 1 Fishing without a DSP	
	1999/01	2) 1 No raft	
	1999/01	3) 1 No floodlight	
	1999/01	4) 1 No mask/snorkel	
ECU 33	1998-141	1998/10	1) 1 No floodlight
	1998-287	1998/10	1) 1 No floodlight
	1998-468	1999/01	1) 1 No floodlight
ECU 34	1998-370	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No floodlight
		1999/01	4) 1 No mask/snorkel
	1998-517	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No floodlight
		1999/01	4) 1 No mask/snorkel

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**MEXICO**

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<i>Vessel</i>	<i>IRP recno</i>	<i>Review date</i>	<i>Identified infractions</i>
MEX 1	1998-516	1999/01	1) 2 Observer interference
		1999/01	2) 1 Explosives use <b>Action taken:</b> 1) A warning was issued to avoid this type of infraction. 2) The government initiated the proper administrative process.
MEX 2	1998-120	1998/06	1) 1 No speedboat bridles <b>Action taken:</b> 1) The government urged the fishing captain to avoid this infraction.
	1998-170	1998/10	1) 1 No speedboat bridles <b>Action taken:</b> 1) Issued a warning that sanctions in accordance with national regulations will be applied if the infraction is repeated.
MEX 3	1998-279	1998/10	1) 1 No speedboat bridles <b>Action taken:</b> 1) The government urged the fishing captain to avoid this infraction.
MEX 4	1998-508	1999/01	1) 1 Sets without rescue <b>Action taken:</b> 1) After investigating, the government decided that no infraction occurred.
MEX 5	1998-046	1998/06	1) 1 No speedboat bridles <b>Action taken:</b> 1) The government urged the fishing captain to avoid this infraction.
MEX 6	1998-195	1998/10	1) 1 No floodlight <b>Action taken:</b> 1) The government urged the fishing captain to avoid this infraction.

MEX 7	1998-213	1998/10 1998/10 1998/10	1) 1 Observer interference 2) 1 Explosives use 3) 1 No floodlight <b>Action taken:</b> 1) After investigating, the government decided that no infraction occurred. 2) The government initiated the proper administrative process. 3) Issued a warning that sanctions in accordance with national regulations will be applied if the infraction is repeated.
	1998-269	1998/10 1998/10	1) 1 Explosives use 2) 1 No floodlight <b>Action taken:</b> 1) The government initiated the proper administrative process. 2) Issued a warning that sanctions in accordance with national regulations will be applied if the infraction is repeated.
	1998-394	1999/01 1999/01	1) 1 Observer interference 2) 1 Night sets <b>Action taken:</b> 1) After investigating, the government decided that no infraction occurred. 2) After investigating, the government decided that no infraction occurred, but issued a warning to avoid this kind of situation.
	1998-454	1999/01 1999/01	1) 1 Night sets 2) 3 Explosives use <b>Action taken:</b> 1) 2) The government initiated the proper administrative process.
MEX 8	1998-472	1999/01	1) 1 No speedboat bridles <b>Action taken:</b> 1) A letter was sent to the fishing captain asking that this type of infraction be avoided.
MEX 9	1998-536	1999/01	1) 1 No speedboat bridles <b>Action taken:</b> 1) The government initiated the proper administrative process.
MEX 10	1998-543	1999/01 1999/01	1) 1 No speedboat bridles 2) 1 No floodlight <b>Action taken:</b> 1) 2) The government decided that since this is a recurring infraction, it will initiate the appropriate administrative process.
MEX 11	1998-019	1998/06	1) 1 No speedboat bridles <b>Action taken:</b> 1) The government urged the fishing captain to avoid this infraction.
MEX 12	1998-014	1998/06 1998/06	1) 1 No backdown 2) 1 Explosives use <b>Action taken:</b> 1) After investigating, the government decided that no infraction occurred. 2) The government initiated the proper administrative process.
	1998-188	1998/06	1) 1 Night sets <b>Action taken:</b> 1) The government initiated the proper administrative process.
	1998-262	1998/10	1) 1 No speedboat bridles <b>Action taken:</b> 1) The government urged the fishing captain to avoid this infraction.
MEX 13	1998-094	1998/06 1998/06 1998/06	1) 1 Night sets 2) 1 No speedboat bridles 3) 1 No floodlight <b>Action taken:</b> 1) The government initiated the proper administrative process. 2) 3) The government urged the fishing captain to avoid this infraction.
	1998-211	1998/10	1) 21 Explosives use <b>Action taken:</b> 1) The government initiated the proper administrative process.
	1998-313	1998/10	1) 1 No speedboat bridles <b>Action taken:</b> 1) Issued a warning that sanctions in accordance with national regulations will be applied if the infraction is repeated.
	1998-382	1999/01	1) 2 Night sets <b>Action taken:</b> 1) The government decided that since this is a recurring infraction, it will initiate the appropriate administrative process.
	1998-499	1999/01	1) 1 Sets sackup/brail <b>Action taken:</b> 1) After investigating, the government decided that no infraction occurred, but issued a warning to avoid this kind of situation.

MEX 14	1998-202	1998/06 1998/06	1) 1 No speedboat bridles 2) 1 No floodlight <b>Action taken:</b> 1) 2) Issued a warning that sanctions in accordance with national regulations will be applied if the infraction is repeated.
	1998-226	1998/10 1998/10	1) 5 Explosives use 2) 1 No floodlight <b>Action taken:</b> 1) The government initiated the proper administrative process. 2) Issued a warning that sanctions in accordance with national regulations will be applied if the infraction is repeated.
	1998-390	1999/01	1) 1 No speedboat bridles <b>Action taken:</b> 1) A letter was sent to the fishing captain asking that this type of infraction be avoided.
MEX 15	1998-243	1998/10	1) 1 No speedboat bridles <b>Action taken:</b> 1) Issued a warning that sanctions in accordance with national regulations will be applied if the infraction is repeated.
MEX 16	1998-189	1998/10	1) 2 Night sets <b>Action taken:</b> 1) After investigating, the government decided not to apply a sanction because there was no dolphin mortality. In addition, the government asked the fishing captain to avoid this infraction.
MEX 17	1998-404	1999/01	1) 1 No speedboat bridles <b>Action taken:</b> 1) A letter was sent asking that this type of infraction be avoided.
MEX 18	1998-038	1998/06	1) 1 No floodlight <b>Action taken:</b> 1) The government urged the fishing captain to avoid this infraction.
	1998-121	1998/10	1) 1 No floodlight <b>Action taken:</b> 1) The government urged the fishing captain to avoid this infraction.
	1998-171	1998/10	1) 1 No floodlight <b>Action taken:</b> 1) Issued a warning that sanctions in accordance with national regulations will be applied if the infraction is repeated.
MEX 19	1998-179	1998/06	1) 3 Explosives use <b>Action taken:</b> 1) The government initiated the proper administrative process.
	1998-334	1998/10	1) 1 Night sets
MEX 20	1998-483	1999/01	1) 1 No backdown <b>Action taken:</b> 1) The government stated that since there was no mortality, there were not enough elements to initiate an administrative process.
MEX 21	1998-056	1998/06 1998/06	1) 1 No speedboat bridles 2) 1 No floodlight <b>Action taken:</b> 1) 2) The government urged the fishing captain to avoid this infraction.
	1998-347	1998/10	1) 1 No floodlight <b>Action taken:</b> 1) The government urged the fishing captain to avoid this infraction.
	1998-528	1999/01	1) 1 No floodlight <b>Action taken:</b> 1) A letter was sent to the fishing captain asking that this type of infraction be avoided.
MEX 22	1998-306	1998/10	1) 1 Night sets
		1998/10	2) 1 No speedboat bridles
		1998/10	3) 1 No floodlight <b>Action taken:</b> 1) After investigating, the government decided not to apply a sanction because there was no dolphin mortality. In addition, the government asked the fishing captain to avoid this infraction. 2) 3) Issued a warning that sanctions in accordance with national regulations will be applied if the infraction is repeated.
	1998-371	1998/10 1998/10	1) 1 Night sets 2) 1 No floodlight <b>Action taken:</b> 1) After investigating, the government decided not to apply a sanction because there was no dolphin mortality. In addition, the government asked the fishing captain to avoid this infraction. 2) The government urged the fishing captain to avoid this infraction.

	1998-470	1999/01	1) 1 No speedboat bridles <b>Action taken:</b> 1) The government decided that since this is a recurring infraction, it will initiate the appropriate administrative process.
MEX 23	1998-522	1999/01 1999/01	1) 1 Night sets 2) 1 No speedboat bridles <b>Action taken:</b> 1) The government initiated the proper administrative process. 2) A letter was sent to the fishing captain asking that this type of infraction be avoided.
MEX 24	1998-082	1998/06	1) 1 No floodlight <b>Action taken:</b> 1) Issued a warning that sanctions in accordance with national regulations will be applied if the infraction is repeated.
	1998-209	1998/10	1) 1 No floodlight <b>Action taken:</b> 1) Issued a warning that sanctions in accordance with national regulations will be applied if the infraction is repeated.
	1998-379	1998/10	1) 1 No floodlight <b>Action taken:</b> 1) Issued a warning that sanctions in accordance with national regulations will be applied if the infraction is repeated.
MEX 25	1998-114	1998/06 1998/06	1) 1 Sets sackup/brail 2) 1 No floodlight <b>Action taken:</b> 1) The government initiated the proper administrative process. 2) The government urged the fishing captain to avoid this infraction.
	1998-412	1999/01	1) 1 No floodlight <b>Action taken:</b> 1) A letter was sent to the fishing captain asking that this type of infraction be avoided.
MEX 26	1998-199	1998/10	1) 1 Sets sackup/brail <b>Action taken:</b> 1) After investigating, the government decided that no infraction occurred.

#### SPAIN

<i>Vessel</i>	<i>IRP recno</i>	<i>Review date</i>	<i>Identified infractions</i>
SPA 1	1998-023	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No raft
		1998/06	3) 1 No floodlight
		1998/06	4) 1 No mask/snorkel
			<b>Action taken:</b> 1) 2) 3) 4) National regulations do not require dolphin safety gear if the vessel is not fishing in association with dolphins.
SPA 1	1998-104	1998/06	1) 1 Fishing without a DSP
		1998/06	2) 1 No raft
		1998/06	3) 1 No floodlight
		1998/06	4) 1 No mask/snorkel
			<b>Action taken:</b> 1) 2) 3) 4) National regulations do not require dolphin safety gear if the vessel is not fishing in association with dolphins.
SPA 2	1998-152	1998/10	1) 1 No mask/snorkel
			<b>Action taken:</b> 1) National regulations do not require dolphin safety gear if the vessel is not fishing in association with dolphins.
		1998/10	1) 1 No mask/snorkel
			<b>Action taken:</b> 1) National regulations do not require dolphin safety gear if the vessel is not fishing in association with dolphins.
	1998-398	1999/01	1) 1 No mask/snorkel
			<b>Action taken:</b> 1) National regulations do not require dolphin safety gear if the vessel is not fishing in association with dolphins.
	1998-540	1999/01	1) 1 No mask/snorkel
			<b>Action taken:</b> 1) National regulations do not require dolphin safety gear if the vessel is not fishing in association with dolphins.



SPA 3	1998-024	1998/06 1998/06 1998/06	1) 1 Fishing without a DSP 2) 1 No raft 3) 1 No floodlight <b>Action taken:</b> 1) 2) 3) National regulations do not require dolphin safety gear if the vessel is not fishing in association with dolphins.
	1998-122	1998/10 1998/10 1998/10 1998/10	1) 1 Fishing without a DSP 2) 1 No raft 3) 1 No speedboat bridles 4) 1 No floodlight <b>Action taken:</b> 1) 2) 3) 4) National regulations do not require dolphin safety gear if the vessel is not fishing in association with dolphins.
	1998-260	1998/10 1998/10 1998/10	1) 1 Fishing without a DSP 2) 1 No raft 3) 1 No floodlight <b>Action taken:</b> 1) 2) 3) National regulations do not require dolphin safety gear if the vessel is not fishing in association with dolphins.
	1998-366	1998/10 1998/10 1998/10	1) 1 Fishing without a DSP 2) 1 No raft 3) 1 No floodlight <b>Action taken:</b> 1) 2) 3) National regulations do not require dolphin safety gear if the vessel is not fishing in association with dolphins.
	1998-450	1999/01 1999/01 1999/01	1) 1 Fishing without a DSP 2) 1 No raft 3) 1 No floodlight <b>Action taken:</b> 1) 2) 3) National regulations do not require dolphin safety gear if the vessel is not fishing in association with dolphins.
	1998-556	1999/01 1999/01 1999/01	1) 1 Fishing without a DSP 2) 1 No raft 3) 1 No floodlight <b>Action taken:</b> 1) 2) 3) National regulations do not require dolphin safety gear if the vessel is not fishing in association with dolphins.
	SPA 4	1998-068	1998/06 1998/06
1998-183		1998/10 1998/10 1998/10	1) 1 Fishing without a DSP 2) 1 No raft 3) 1 No floodlight <b>Action taken:</b> 1) 2) 3) National regulations do not require dolphin safety gear if the vessel is not fishing in association with dolphins.
1998-297		1998/10 1998/10 1998/10	1) 1 Fishing without a DSP 2) 1 No raft 3) 1 No floodlight <b>Action taken:</b> 1) 2) 3) National regulations do not require dolphin safety gear if the vessel is not fishing in association with dolphins.
1998-429		1999/01 1999/01 1999/01 1999/01	1) 1 Fishing without a DSP 2) 1 No raft 3) 1 No floodlight 4) 1 No mask/snorkel <b>Action taken:</b> 1) 2) 3) 4) National regulations do not require dolphin safety gear if the vessel is not fishing in association with dolphins.
1998-514		1999/01 1999/01	1) 1 Fishing without a DSP 2) 1 No raft <b>Action taken:</b> 1) 2) National regulations do not require dolphin safety gear if the vessel is not fishing in association with dolphins.

SPA 5	1998-290	1999/01	1) 1 No raft
		1999/01	2) 1 No speedboat bridles
		1999/01	3) 1 No mask/snorkel
			<b>Action taken:</b> 1) 2) 3) National regulations do not require dolphin safety gear if the vessel is not fishing in association with dolphins.
	1998-496	1999/01	1) 1 No raft
			<b>Action taken:</b> 1) National regulations do not require dolphin safety gear if the vessel is not fishing in association with dolphins.
SPA 6	1998-320	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No raft
		1998/10	3) 1 No mask/snorkel
			<b>Action taken:</b> 1) 2) 3) National regulations do not require dolphin safety gear if the vessel is not fishing in association with dolphins.
	1998-443	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
			<b>Action taken:</b> 1) 2) National regulations do not require dolphin safety gear if the vessel is not fishing in association with dolphins.
	1998-551	1999/01	1) 1 Fishing without a DSP
		1999/01	2) 1 No raft
		1999/01	3) 1 No floodlight
		1999/01	4) 1 No mask/snorkel
			<b>Action taken:</b> 1) 2) 3) 4) National regulations do not require dolphin safety gear if the vessel is not fishing in association with dolphins.

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#### UNITED STATES

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<i>Vessel</i>	<i>IRP recno</i>	<i>Review date</i>	<i>Identified infractions</i>
USA 1	1998-149	1998/06	1) 1 Explosives use
			<b>Action taken:</b> 1) The government is investigating the possible infractions.

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#### VANUATU

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<i>Vessel</i>	<i>IRP recno</i>	<i>Review date</i>	<i>Identified infractions</i>
VAN 1	1998-037	1998/06	1) 20 Explosives use
VAN 2	1998-478	1999/01	1) 1 No mask/snorkel
VAN 3	1998-139	1998/10	1) 1 Observer interference
		1998/10	2) 1 Explosives use
			<b>Action taken:</b> 1) 2) After investigating, the government decided that no infraction occurred.
	1998-288	1998/10	1) 1 Explosives use
			<b>Action taken:</b> 1) After investigating, the government decided that no infraction occurred.
	1998-465	1999/01	1) 1 Observer interference
		1999/01	2) 1 Night sets
		1999/01	3) 22 Explosives use
			<b>Action taken:</b> 1) After investigating, the government decided that no infraction occurred. 2) 3) The government asked the vessel owner to avoid this infraction.
VAN 4	1998-154	1998/10	1) 1 Fishing without a DSP
		1998/10	2) 1 No raft
		1998/10	3) 1 No floodlight
		1998/10	4) 1 No mask/snorkel
VAN 5	1998-005	1998/06	1) 1 No floodlight
		1998/06	2) 1 No mask/snorkel
	1998-134	1998/10	1) 1 No floodlight
	1998-270	1998/10	1) 1 No floodlight
	1998-393	1999/01	1) 1 No floodlight
	1998-519	1999/01	1) 1 No floodlight

VAN 6	1998-240	1998/10	1) 1 Explosives use
VAN 7	1998-399	1999/01	1) 1 No floodlight
VAN 8	1997-620	1998/06	1) 1 No backdown
		1998/06	2) 1 Observer interference
		1998/06	3) 1 Explosives use
		1998/06	4) 1 No mask/snorkel
	1998-237	1998/10	<b>Action taken:</b> 2) The government is investigating the possible infraction. 1) 1 Explosives use
	1998-397	1999/01	<b>Action taken:</b> 1) After investigating, the government decided that no infraction occurred. 1) 1 Explosives use
		1999/01	2) 1 No floodlight
VAN 9	1997-664	1998/06	1) 1 No raft
		1998/06	2) 1 No mask/snorkel
	1998-048	1998/06	1) 1 No raft
	1998-197	1998/06	1) 1 No raft
	1998-206	1998/10	1) 1 Fishing without a DML
	1998-338	1998/10	1) 1 Explosives use
		1998/10	2) 1 No mask/snorkel
	1998-420	1999/01	1) 1 Explosives use
		1999/01	2) 1 No mask/snorkel
			<b>Action taken:</b> 1) After investigating, the government decided that no infraction occurred. 2) The vessel has obtained the required equipment.
	1998-539	1999/01	1) 1 No mask/snorkel

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**VENEZUELA**

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<i>Vessel</i>	<i>IRP recno</i>	<i>Review date</i>	<i>Identified infractions</i>
VEN 1	1998-021	1998/06	1) 5 Explosives use
		1998/06	2) 1 No floodlight
	1998-118	1998/06	1) 2 Night sets
		1998/06	2) 24 Explosives use
	1998-248	1998/10	3) 1 No floodlight
	1998-329	1998/10	1) 1 No floodlight
	1998/10	1) 6 Explosives use	
		1998/10	2) 1 No floodlight
VEN 2	1998-107	1998/10	1) 3 Explosives use
VEN 3	1998-029	1998/06	1) 1 Explosives use
		1998/06	2) 1 No floodlight
	1998-210	1998/10	1) 1 No floodlight
	1998-308	1998/10	1) 1 No floodlight
	1998-456	1999/01	1) 2 Night sets
		1999/01	2) 1 No floodlight
VEN 4	1997-656	1998/06	1) 1 Explosives use
	1998-207	1998/10	1) 1 Night sets
		1998/10	2) 2 Explosives use
	1998-462	1999/01	1) 1 No backdown
		1999/01	2) 1 Night sets
VEN 5	1998-427	1999/01	1) 2 Night sets
VEN 6	1998-103	1998/06	1) 1 Night sets
	1998-239	1998/10	1) 1 No floodlight
	1998-523	1999/01	1) 4 Explosives use
VEN 7	1997-629	1998/06	1) 1 Night sets
	1998-291	1998/10	1) 15 Explosives use
VEN 8	1998-225	1998/10	1) 2 Night sets
		1998/10	2) 20 Explosives use
	1998-384	1998/10	1) 1 Night sets
	1998-497	1999/01	1) 2 Night sets

VEN 9	1998-001	1998/06	1) 5 Explosives use
	1998-148	1998/10	1) 1 Night sets
	1998-319	1998/10	1) 1 Night sets
		1998/10	2) 8 Explosives use
	1998-442	1999/01	3) 1 No floodlight 1) 1 No floodlight
VEN 10	1998-035	1998/06	1) 1 Night sets
		1998/06	2) 58 Explosives use
		1998/06	3) 1 No floodlight
	1998-227	1998/10	1) 1 Night sets
1998-395	1999/01	1) 3 Night sets	
VEN 11	1998-074	1998/06	1) 1 No floodlight
	1998-145	1998/06	1) 2 Night sets
	1998-249	1998/10	1) 1 No floodlight
	1998-275	1998/10	1) 1 No floodlight
	1998-359	1999/01	1) 3 No backdown
		1999/01	2) 1 Observer interference
1999/01		3) 3 Night sets	
1999/01	4) 2 Explosives use		
VEN 12	1998-316	1998/10	1) 1 Night sets
		1998/10	2) 1 No floodlight
	1998-476	1999/01	1) 1 Night sets
		1999/01	2) 1 No floodlight
VEN 13	1998-020	1998/06	1) 1 No floodlight
	1998-086	1998/06	1) 1 No floodlight
	1998-258	1998/10	1) 1 No floodlight
	1998-345	1998/10	1) 4 Night sets
		1998/10	2) 1 No floodlight
VEN 14	1997-623	1998/06	1) 1 No speedboat bridles
		1998/06	2) 1 No floodlight
	1998-061	1998/06	1) 1 Night sets
		1998/06	2) 1 No floodlight
	1998-112	1998/06	1) 1 Night sets
		1998/06	2) 1 No raft
	1998-172	1998/06	1) 1 No raft
1998-509	1999/01	1) 6 Explosives use	
VEN 15	1998-196	1998/10	1) 1 Night sets
		1998/10	2) 4 Explosives use
VEN 16	1998-050	1998/06	1) 1 Observer interference
	1998-191	1998/10	1) 1 Observer interference
		1998/10	2) 10 Explosives use
	1998-284	1998/10	1) 6 Explosives use
	1998-383	1999/01	1) 1 Night sets
		1999/01	2) 27 Explosives use
1999/01	3) 1 No floodlight		
VEN 17	1998-031	1998/06	1) 2 Night sets
		1998/06	2) 1 No floodlight
	1998-151	1998/06	1) 1 Night sets
		1998/06	2) 1 Explosives use
		1998/06	3) 1 No floodlight
	1998-339	1998/10	1) 1 No floodlight
	1998-391	1998/10	1) 1 No floodlight
	1998-485	1999/01	1) 19 Explosives use
		1999/01	2) 1 No speedboat bridles
		1999/01	3) 1 No floodlight

VEN 18	1998-025	1998/06	1) 1 No backdown
		1998/06	2) 1 Night sets
		1998/06	3) 1 Sets without rescue
		1998/06	4) 1 No floodlight
	1998-125	1998/10	1) 2 Night sets
		1998/10	2) 1 No floodlight
1998-254	1998/10	1) 1 No raft	
		1998/10	2) 1 No floodlight
1998-376	1999/01	1) 1 No floodlight	

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**OTHER FLAGS WITH LESS THAN THREE VESSELS, OR UNKNOWN REGISTRY**

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<i>Vessel</i>	<i>IRP recno</i>	<i>Review date</i>	<i>Identified infractions</i>	
OTH 1	1998-017	1998/06	1) 1 Fishing without a DSP	
		1998/06	2) 1 No raft	
		1998/06	3) 1 No floodlight	
		1998/06	4) 1 No floodlight	
	1998-085	1998/06	1) 1 Fishing without a DSP	
		1998/06	2) 1 No backdown	
		1998/06	3) 1 Sets sackup/brail	
		1998/06	4) 1 Sets without rescue	
		1998/06	5) 1 No raft	
		1998/06	6) 1 No floodlight	
	1998-424	1999/01	1) 1 Fishing without a DSP	
		1999/01	2) 1 No raft	
		1999/01	3) 1 No floodlight	
		1999/01	4) 1 No mask/snorkel	
1998-538	1999/01	1) 1 Fishing without a DSP		
	1999/01	2) 1 No raft		
	1999/01	3) 1 No floodlight		
	1999/01	4) 1 No mask/snorkel		
OTH 2	1997-636	1998/06	1) 2 Night sets	
		1998/06	2) 3 Explosives use	
				<b>Action taken:</b> 1) 2) A fine was applied.
	1998-328	1998/10	1) 1 Night sets	
				<b>Action taken:</b> 1) A fine was applied.
OTH 3	1998-549	1999/01	1) 1 No raft	
		1999/01	2) 1 No floodlight	
			<b>Action taken:</b> 1) 2) National regulations do not require dolphin safety gear if the vessel is not fishing in association with dolphins.	
OTH 4	1998-137	1998/10	1) 1 Fishing without a DSP	
		1998/10	2) 1 No raft	
		1998/10	3) 1 No floodlight	
	1998-374	1998/10	1) 1 Fishing without a DSP	
		1998/10	2) 1 No raft	
		1998/10	3) 1 No floodlight	
		1998/10	4) 1 No mask/snorkel	
OTH 5	1998-164	1998/10	1) 1 Fishing without a DML	
		1998/10	2) 1 No mask/snorkel	

**Appendix 8.** Responses for three types of possible infractions identified at the 18<sup>th</sup>, 19<sup>th</sup>, and 20<sup>th</sup> meetings.

### OBSERVER HARASSMENT / INTERFERENCE

	No. of cases	No response	Responses									Total
			Under investigation		No infraction		Infraction: no sanction		Infraction: warning		Infraction: sanction*	
Colombia	1	1 (100%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)
Ecuador	1	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	1 (100%)	1 (100%)	1 (100%)
Mexico	4	0 (0%)	0 (0%)	3 (75%)	0 (0%)	1 (25%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	4 (100%)
Vanuatu	3	0 (0%)	1 (33%)	2 (67%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	3 (100%)
Venezuela	3	3 (100%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (100%)
<b>Total:</b>	<b>12</b>	<b>4 (33%)</b>	<b>1 (8%)</b>	<b>5 (42%)</b>	<b>0 (0%)</b>	<b>1 (8%)</b>	<b>0 (0%)</b>	<b>1 (8%)</b>	<b>1 (8%)</b>	<b>1 (8%)</b>	<b>8 (67%)</b>	<b>8 (67%)</b>

### EXPLOSIVES USE

	No. of cases	No response	Responses									Total
			Under investigation		No infraction		Infraction: no sanction		Infraction: warning		Infraction: sanction*	
Belize	1	1 (100%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)
Colombia	53	53 (100%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)
Ecuador	1	1 (100%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)
Mexico	36	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	36 (100%)	36 (100%)	36 (100%)
Panama	3	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	3 (100%)	3 (100%)	3 (100%)
USA	1	0 (0%)	1 (100%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	1 (100%)
Vanuatu	50	24 (48%)	0 (0%)	4 (8%)	0 (0%)	22 (44%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	26 (52%)	26 (52%)
Venezuela	227	227 (100%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)
<b>Total:</b>	<b>372</b>	<b>306 (82%)</b>	<b>1 (0%)</b>	<b>4 (1%)</b>	<b>0 (0%)</b>	<b>22 (6%)</b>	<b>0 (0%)</b>	<b>22 (6%)</b>	<b>39 (10%)</b>	<b>66 (18%)</b>	<b>66 (18%)</b>	<b>66 (18%)</b>

### NIGHT SETS

	No. of cases	No response	Responses									Total
			Under investigation		No infraction		Infraction: no sanction		Infraction: warning		Infraction: sanction*	
Colombia	2	2 (100%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)
Mexico	12	1 (8%)	0 (0%)	1 (8%)	0 (0%)	4 (33%)	6 (50%)	0 (0%)	0 (0%)	0 (0%)	11 (92%)	11 (92%)
Panama	3	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	3 (100%)	3 (100%)	3 (100%)
Vanuatu	1	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	1 (100%)	0 (0%)	0 (0%)	0 (0%)	1 (100%)	1 (100%)
Venezuela	43	43 (100%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)
<b>Total:</b>	<b>61</b>	<b>46 (75%)</b>	<b>0 (0%)</b>	<b>1 (2%)</b>	<b>0 (0%)</b>	<b>5 (8%)</b>	<b>9 (15%)</b>	<b>15 (25%)</b>	<b>15 (25%)</b>	<b>15 (25%)</b>	<b>15 (25%)</b>	<b>15 (25%)</b>

\*Sanction was applied or will be applied