

INTER-AMERICAN TROPICAL TUNA COMMISSION
COMMITTEE FOR THE REVIEW OF THE IMPLEMENTATION OF MEASURES ADOPTED BY THE
COMMISSION

2ND SPECIAL BIENNIAL COMPLIANCE MEETING

Lisbon, Portugal
26 August 2026

DOCUMENT CORSB-02 INF-E

**RECOMMENDED ACTIONS ON THE ELEMENTS OF AN IATTC COMPLIANCE PLAN AND
PROGRESS MADE**

Recommended actions	Progress
Automation of the compliance process	
That the IATTC Secretariat prepare a draft action plan for updating the compliance review process, from the drafting of the provisional compliance report (and the provisional compliance synopsis) to the automated approval of the compliance report by the Commission, including an online system for completing forms and submitting reports.	A document was prepared with the elements of the plan and the actions carried out to achieve automation of the compliance process, for the Committee's consideration.
As far as possible, the draft action plan should take into account, for the automated processes, experiences from processes already established in other RFMOs such as ICCAT, WCPFC, and others.	The Secretariat has held meetings and discussions with the WCPFC Secretariat to learn about its experience with the automation of its compliance assessment process. The general plan was shared with them, and comments were received. Likewise, a meeting is being sought with the WCPFC's external advisor who helped them design their compliance automation process.
The IATTC Secretariat will contact the Secretariats of said RFMOs to obtain the information necessary for this process.	
Receive updates from the IATTC Secretariat under a new standing agenda item of the COR on the implementation of the priority elements of the COR draft work plan.	This item has been added to the agenda of the 2nd Special Biennial Compliance Meeting.

Follow-up on past infractions	
Add the follow-up of possible infractions from previous years as a standing item on the COR agenda.	This item was added to the agenda of the 16th and now the 17th COR meeting and will continue to be included in the future.
Fully implement paragraph 7 of resolution C-22-02 regarding the letters that must be sent to CPCs with possible unresolved cases of infractions, and the information that such CPCs must provide as follow-up.	Letters were sent to the CPCs by the COR Chair and the IATTC Director to each of the CPCs that had possible unresolved or under-investigation infractions since 2020, and a document has been published on the current status of possible non-compliance cases from 2020 to 2024 for the COR's review. Likewise, rigorous follow-up was carried out through periodic reminders to CPCs to respond to pending cases. The results were as follows: • Of 13 communications sent, responses were received from 11 CPCs; 2 remain pending. • Of a total of more than 100 pending cases, there are now only approximately 29.
Task the Secretariat with preparing an annual document for the COR's consideration compiling information on cases of possible unresolved infractions from previous years.	Already completed as noted above, and this work will be carried out annually.
Categorization of the non-compliance situation and follow-up action	
First, define different levels of non-compliance based on severity (e.g., level 1, level 2, level 3).	The COR must review a working document on this matter that has been prepared by the COR Chair, subject to a well-designed schedule. The working document is published on the website of the second special biennial compliance meeting.
Second, each status category should be defined precisely and with clearer criteria to guide CPCs, the COR, and the Commission in deciding on the applicable status.	
Finally, the COR should improve the identification of the specific follow-up action applicable to each situation and level of severity, to be applied automatically. This will include notification letters and the establishment of corrective measures.	This is a task for the Committee as a whole, which is expected to be improved during the 17th meeting.
Priority areas of possible non-compliance	
Task the IATTC Secretariat with preparing a document for the 2025 COR meeting to identify trends regarding thematic areas or specific resolutions related to cases of very serious non-compliance; or that indicates frequent cases of non-compliance. The document will consider, for information and possible guidance in the COR's work, priority thematic areas identified by other RFMOs, as appropriate	The document was not ready for 2025, but it is now already available to the COR on the IATTC website in the 17th COR meeting window on the password-protected site, and is available for review during the COR meeting.

Discuss this matter under the standing agenda item of the COR on the implementation of the priority elements of the COR draft work plan	This topic is included for review during the second special biennial compliance meeting.
Human and financial resources.	
The IATTC Secretariat will prepare a document with projections of the human resources and financial implications necessary for the implementation of the priority elements of the draft work plan as a whole.	This document on human and financial requirements refers to the implementation of the plan’s elements. As identified, human and financial resources would be required for the automated compliance system. This will be clearly established once an approved plan is ready. Another element that would require financial support is the facilitation of training actions for capacity building in developing countries, but this would depend on the results of the needs-identification questionnaires, which will be discussed further below. This topic is included for review during the second special biennial compliance meeting.
The IATTC Secretariat will identify, when necessary, possible sources of funding other than the Commission’s budget (e.g., WB, voluntary contributions, etc.).	
Discuss this matter under the standing agenda item of the COR on the implementation of the priority elements of the COR draft work plan.	
Capacity building	
That the IATTC Secretariat develop a template by June 1, 2025, for CPCs to submit requests related to capacity building and technical assistance support for the committee’s evaluation.	The template was prepared and circulated on June 27, 2025, via memorandum 0339-410, and was made available as a working document for the 16th COR meeting. A reminder was sent in August 2026. There have been NO responses from the CPCs, but the questionnaire is being reviewed and updated.
Discuss this matter under the standing agenda item of the COR on the implementation of the priority elements of the COR draft work plan.	This topic must be discussed at the second special biennial compliance meeting.