



# EXPERT WORKSHOPS ON BEST PRACTICES IN COMPLIANCE IN RFMOS

A joint initiative of the Pew Charitable Trusts and the International Seafood Sustainability Foundation

## OBJECTIVES AND OUTCOMES

**Recognition of the need to strengthen compliance review processes & enhance implementation**



**Three Workshops were convened to discuss ways issues and solutions to do this**



**Aimed at providing support to States and RFMOs via development of recommendations/ guidance**



**Workshop results may be of interest to IATTC in its work re. how to strengthen the Committee's procedures and outcomes & methods to implement paras 5 – 9 of C-11-07**

**Overall Workshop Objectives:** Identify challenges in compliance review mechanisms and suggest solutions for addressing these challenges, building on ongoing work to analyze and support improved RFMO compliance mechanisms.

**First Workshop** (September 2020): Information management and reporting; compliance review and assessment procedures; outcomes of compliance review and assessment procedures.

**Second Workshop** (March 2021): The role of transparency in improving RFMO compliance.

**Third Workshop** (November 2021): Outcomes of compliance review processes, particularly RFMO responses to situations of non-compliance.

# Attendees and Format

**A special focus was placed on tuna RFMOs, although experts with experience in non-tuna RFMOs also participated**

**Attended by approximately 30 to 40 compliance professionals & experts: RFMO Secretariats, members of RFMO compliance committees, international organizations, academia, civil society**

**All conducted under Chatham House Rule.**

**Vital to ensure candid contributions around identifying problems and proposing solutions**

# The three Workshop Reports



These Reports can be found online.  
Please click report to view full report



# Common Themes



RFMO Conservation Measures may lack clarity; audit points may assist



Volumes of information to be reviewed by Committees and Secretariats is high



Data sources to independently verify may be lacking and data quality may differ

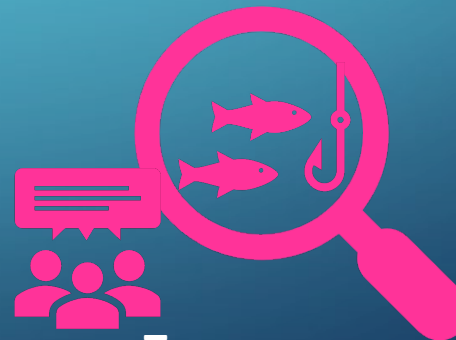
Themes of Workshop participant-identified challenges and potential solutions to strengthen/improve RFMO compliance processes and implementation



Capacity Building has not kept pace and would promote greater compliance



RFMOs differ; Multi-lateral considerations



Transparency needs improvement

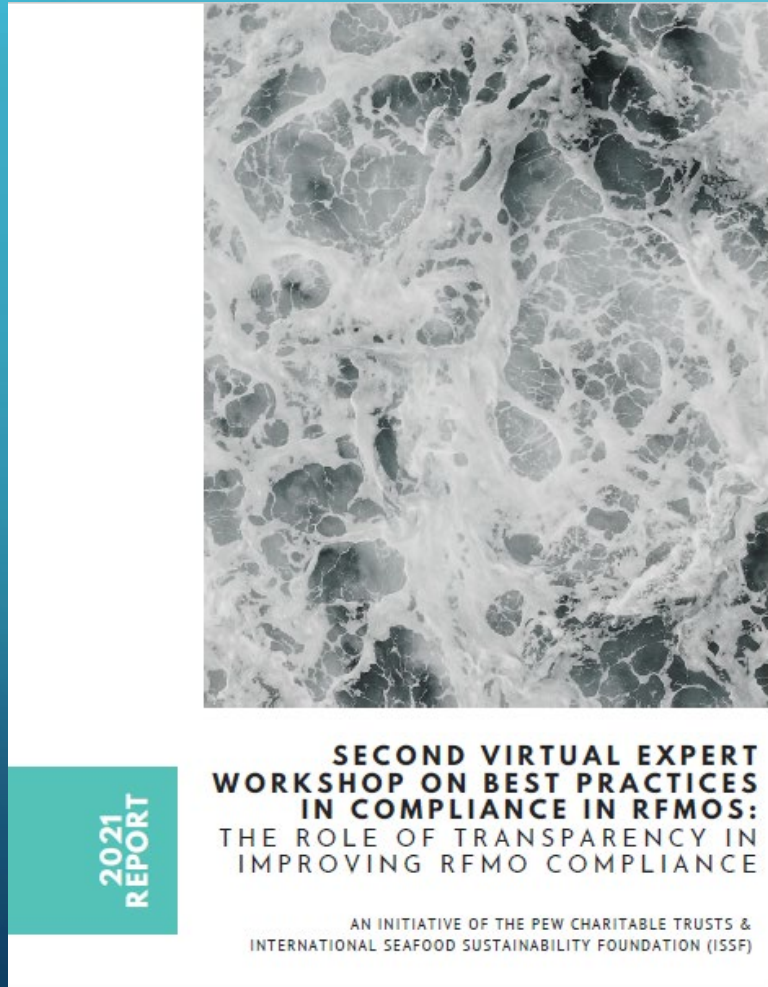
○ **First Workshop:** Information management and reporting; compliance review and assessment procedures; outcomes of compliance review and assessment procedures



## Some of the challenges and solutions discussed:

- Complexity/ambiguity of measures
- Large volumes of data/reporting requirements
- Capacity building needs
- Lack of trust
- Gaps in documentation/access to information
- Lack of follow-up/responses to serious non-compliance

# Second Workshop: The role of transparency in improving RFMO compliance

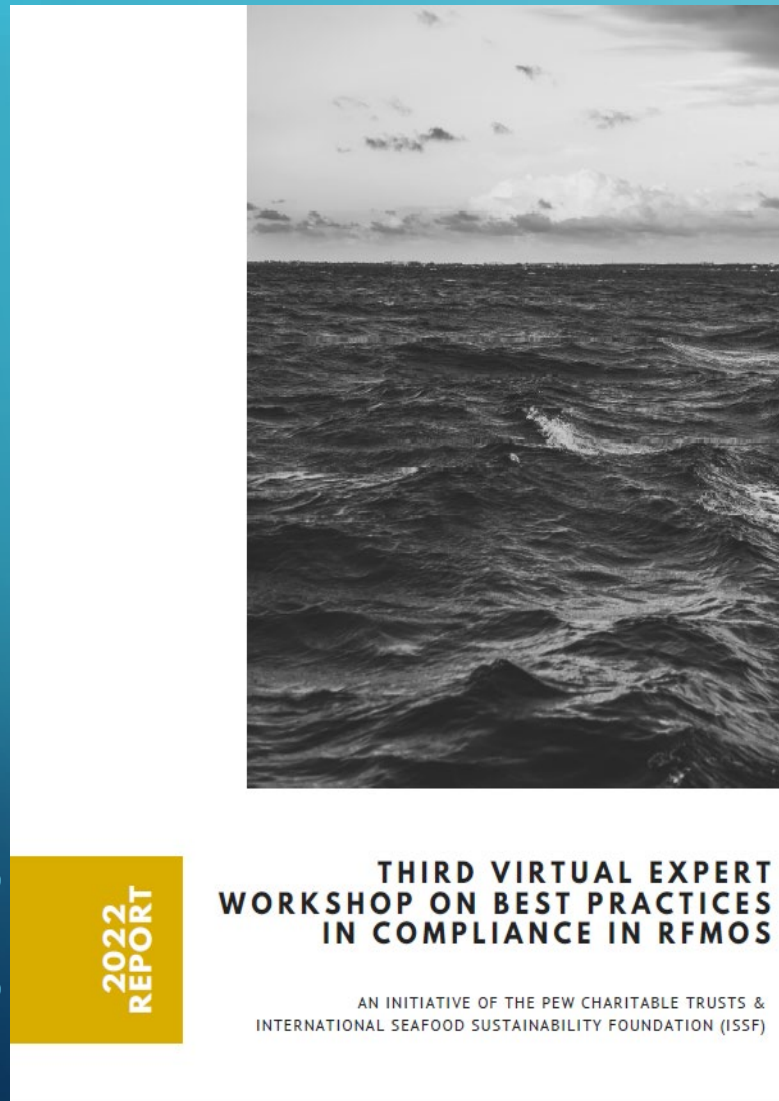


## Some of the challenges and solutions discussed:

- Improving clarity of measures
- Sharing information/data: within RFMOs and members; among RFMOs, with other organizations, and the public
- Improving transparency and fairness in information use, assessments, deliberations, reporting on actions taken



## Third Workshop: Outcomes of compliance review mechanisms



### Some of the challenges and solutions discussed:

- Complexity of measures & obligations
- Capacity building
- Using a range of tools to address non-compliance
- Developing pre-agreed responses
- Tracking and reporting over time
- Using time-bound improvement action plans
- Focusing on performance improvements

## Next Steps:

The outputs of the three Workshops are being reviewed by a Working Group of independent experts from outside of the workshop process and will be consolidated into a published set of recommendations/guidance covering the following broad themes:

**RFMO Governance**

**Clarity and  
Consistency of  
CMMs**

**Data Collection,  
Information  
Management,  
Reporting**

**Assessment of  
Compliance**

**Outcomes of  
Compliance  
Review  
Mechanisms**

**Methods and  
Tools in Support  
of Improved  
Compliance in  
RFMOs**

# In Summary

Workshops attended by recognised experts & practitioners both within and outside RFMOs

Recommendations now under peer review by individuals with RFMO expertise and experience

The Workshop outputs contain valuable insights; could help IATTC in its work to strengthen the Committee and implement fully C-11-07

We applaud IATTC for convening this Workshop & offer our help and support

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# Thank you

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