

**INTERNATIONAL DOLPHIN CONSERVATION PROGRAM
INTERNATIONAL REVIEW PANEL**

PERMANENT WORKING GROUP ON TUNA TRACKING

MINUTES OF THE 9TH MEETING (REVISED)

**La Jolla, California (USA)
31 January 2002**

Chair: Ms. Pat Donley (United States)

AGENDA

1. Opening of the meeting
2. Adoption of the agenda
3. Modification to the System for Tuna Tracking and Verification regarding fishing outside the Agreement Area
4. National Tuna Tracking Plans
5. Budget for 1-year campaign to promote *AIDCP dolphin safe* tuna
6. Recommendations for the IRP and the Meeting of the Parties
7. Other business
8. Date and place of next meeting
9. Adjournment

DOCUMENTS

- TT-9-03 Technical modifications to the System for Tuna Tracking and Verification
TT-9-05 Budget for promoting the *AIDCP dolphin safe* label

APPENDICES

1. List of attendees
2. Document TT-9-03 REV: Technical modifications to the System for Tuna Tracking and Verification (revised)
3. Responsibilities for Tuna Tracking Forms
4. Tuna Tracking Forms received by the Secretariat, July 2001—January 2002

The 9th Meeting of the Permanent Working Group on Tuna Tracking was held in La Jolla, California (USA) on January 31, 2002. The attendees are listed in Appendix 1.

1. Opening of the meeting

The meeting was opened by Ms. Pat Donley, of the United States, the Chair of the Working Group.

2. Adoption of the agenda

The provisional agenda was adopted as presented.

3. Modification to the System for Tuna Tracking and Verification regarding fishing outside the Agreement Area

The Secretariat presented its proposal on this issue (Document TT-9-03), requested by the Working Group at its previous meeting. The issue was discussed extensively. There was agreement that the sealing of wells could provide the basis for a solution, but all of the language of the proposal could not be agreed.

The Secretariat was asked to present a new proposal on this subject as soon as possible. The resulting document (Appendix 2) was circulated to the meeting but not discussed. It was agreed that this new proposal would be discussed at the next meeting of the Working Group.

4. National Tuna Tracking Plans

At its 8th meeting the Working Group asked the Secretariat to write to the participating governments to emphasize the importance of fully implementing the System for Tracking and Verifying Tuna and the Procedures for AIDCP Dolphin-Safe Tuna Certification, and to urge those governments which have not yet completed national tuna tracking plans to do so as soon as possible. Dr. Allen reported that the Secretariat had written such a letter on January 8, 2002, and that the Secretariat had not yet received copies of national plans from Bolivia, the European Union, Guatemala, Honduras, Nicaragua or Peru.

The United States made a presentation on the implementation of its tuna tracking system and encouraged other participating governments to make similar presentations in the future. Mexico agreed that it would make a presentation at the next meeting of the Working Group.

The Secretariat explained in detail the document *Responsibilities for Tuna Tracking Forms* (Appendix 3), including the flow chart illustrating the procedures to be followed with the TTFs once a vessel unloads its catch.

Dr. Allen presented a table (Appendix 4) showing the number of TTFs received by the Secretariat from trips that terminated between 21 July 2001 and 18 January 2002. During this period only about 50% of the original TTFs had been sent to the Secretariat.

The United States commented that this indicated that the tuna tracking system was not working well, and suggested that the governments consider amending the procedures to stipulate that Parties that do not submit their tuna tracking and verification programs to the IRP or that consistently fail to submit the original TTFs to the Secretariat, as required, shall not be eligible to use the AIDCP Dolphin-Safe Tuna Certificate.

The Ocean Conservancy supported this proposal, but noted that the second part is more complicated, since a government could be punished because the government in whose territory the tuna was landed did not forward the TTFs as required. Some governments expressed the view that the proposal was too draconian and that it would be better to strengthen efforts to improve the flow of TTFs to the Secretariat, but after considerable discussion it was agreed that the first part of the proposal would be forwarded to the IRP for consideration by the Meeting of the Parties.

5. Budget for 1-year campaign to promote AIDCP dolphin safe tuna

The Secretariat presented Document TT-9-05, requested by the previous meeting of the Working Group. The group decided that the services of a public relations firm were not necessary at this time, and the project for promoting the *dolphin safe* label would start without such a firm. It was agreed that the Secretariat would continue to coordinate the program in conjunction with the Parties. It was also agreed that the budget for promoting the label should be separate from the AIDCP or IATTC budgets, and that the costs should be borne by those Parties involved in exporting the tuna.

Colombia proposed that the governments proceed by agreeing to undertake a first trip to Europe at this time, with a second trip to be considered later. Colombia elaborated its ideas of how such a trip could unfold, with cooperation and support from the embassies and trade offices of the governments involved, and noting the importance of having the full support and assistance of the European Union.

It was agreed that the Secretariat should proceed with coordinating a first trip to Europe in the near future to promote the dolphin safe label for tuna.

6. Recommendations for the IRP and the Meeting of the Parties

The Working Group agreed to forward to the IRP for consideration by the next Meeting of the Parties the proposal by the U.S. to modify part 3 (Verification) of the *Resolution to establish procedures for AIDCP Dolphin Safe Tuna Certification* to add: "Parties that do not submit their Tuna Tracking and Verification Plans to the IRP shall not be eligible to use the AIDCP Dolphin Safe Tuna Certification."

7. Other business

No other business was discussed.

8. Date and place of next meeting

It was agreed that the next meeting of the Working Group would be held in conjunction with the IATTC meetings in Mexico in June 2002.

9. Adjournment

The meeting was adjourned on 31 January 2002.

Appendix 1.

**INTERNATIONAL DOLPHIN CONSERVATION PROGRAM
PROGRAMA INTERNACIONAL PARA LA CONSERVACIÓN DE LOS DELFINES
PERMANENT WORKING GROUP ON TUNA TRACKING
GRUPO DE TRABAJO PERMANENTE SOBRE EL SEGUIMIENTO DEL ATUN
9TH MEETING – 9^a REUNION**

**January 31, 2002 - 31 de enero de 2002
La Jolla, California, USA**

ATTENDEES - ASISTENTES

BOLIVIA

YERKO GARÁFULIC

Ministerio de Agricultura, Ganadería y Desarrollo Rural

COLOMBIA

FABIO ÁVILA ARAUJO

Instituto Nacional de Pesca y Acuicultura

IVÁN DARIO ESCOBAR

Ministerio de Agricultura

CLARA GAVIRIA

Ministerio de Comercio Exterior

ARMANDO HERNÁNDEZ

Cámara de la Industria Pesquera

DIEGO CANELOS

Seatech International

COSTA RICA

ASDRÚBAL VÁSQUEZ

INCOPECA

ECUADOR

RAFAEL TRUJILLO BEJARANO

LUIS TORRES NAVARRETE

Ministerio de Comercio Exterior, Industrialización y Pesca

BERNARDO BUEHS

EL SALVADOR

SONIA SALAVERRÍA

Ministerio de Agricultura y Ganadería

EUROPEAN UNION – UNION EUROPEA

ROBERTO CESARI

European Commission

JUAN IGNACIO ARRIBAS

Ministerio de Agricultura, Pesca y Alimentación

JAVIER ARÍZ TELLERIA

Instituto Español de Oceanografía

GUATEMALA

MANUEL ODILO MORALES

Rianxeira America, S.A.

MEXICO

RICARDO BELMONTES
MICHEL DREYFUS
PEDRO ULLOA
HUMBERTO ROBLES

Secretaría de Agricultura, Ganadería, Desarrollo Rural,
Pesca y Alimentación

MAXIMO CARVAJAL
LUIS FUEYO MACDONALD
JOSÉ JUAN ARAIZA
SEMARNAT/PROFEPA
ERNESTO ESCOBAR
MARK ROBERTSON

PERU

JULIO GONZÁLES
Ministerio de Pesquería

UNITED STATES OF AMERICA - ESTADOS UNIDOS DE AMERICA

DAVID HOGAN
JEAN-PIERRE PLE
Department of State

REBECCA LENT
JAMES LECKY
DEBORAH BEN-DAVID
MEGHAN DONAHUE
PAT DONLEY
CHRISTOPHER FANNING
MICHAEL GONZALEZ
NICOLE LEBOEUF
PAUL ORTIZ
ALLISON ROUTT
National Marine Fisheries Service

VANUATU

EDWARD WEISSMAN
HUGO ALSINA LAGOS
Office of Deputy Commissioner of Maritime Affairs

VENEZUELA

ALVIN DELGADO
Programa Nacional de Observadores

ORGANIZACIONES NO GUBERNAMENTALES--NON-GOVERNMENTAL ORGANIZATIONS

NINA YOUNG
The Ocean Conservancy

KATHLEEN O'CONNELL
Whale and Dolphin Conservation Society

INDUSTRIA ATUNERA -TUNA INDUSTRY

GABRIEL SARRÓ

SECRETARIA – SECRETARIAT

ROBIN ALLEN, Director
ERNESTO ALTAMIRANO
DAVID BRATTEN
MONICA GALVAN
JOSHUE GROSS
MARTÍN HALL

BRIAN HALLMAN
BERTA JUÁREZ
MARLON ROMAN
ENRIQUE UREÑA
NICHOLAS WEBB

Appendix 2.

INTERNATIONAL DOLPHIN CONSERVATION PROGRAM
PROGRAMA INTERNACIONAL PARA LA CONSERVACION DE LOS DELFINES

PERMANENT WORKING GROUP ON TUNA TRACKING

9TH MEETING

LA JOLLA, CALIFORNIA (USA)
JANUARY 31, 2002

DOCUMENT TT-9-03 (REVISED)

**TECHNICAL MODIFICATIONS TO THE SYSTEM FOR TUNA TRACKING
AND VERIFICATION**

COLLECTION OF DATA BY OBSERVERS OUTSIDE THE AGREEMENT AREA

Following is a proposal for a new paragraph 4 *bis*, to be added to Section 3 of the AIDCP System for Tracking and Verifying Tuna:

“If a Party determines that tuna caught outside the Agreement Area by all vessels under its jurisdiction which fish both inside and outside the Agreement Area during a single trip should be recorded on a TTF by the observer on board these vessels, and so notifies the Secretariat in a timely manner, such tuna shall be recorded on the TTFs for all the vessels of such Parties until such time as the Secretariat is otherwise notified. If the Secretariat is not notified that all catches shall be recorded on the TTFs, observers shall not record on the TTFs any tuna caught by vessels outside the Agreement Area.

Unless all the tuna caught by a vessel which fishes both inside and outside the Agreement Area during a single trip is recorded on the TTFs, the TTFs for that trip shall not reflect that the tuna in any of the vessel’s wells is *dolphin safe*, except when wells containing tuna caught outside the Agreement Area are sealed. In such cases, the observer shall note on the TTFs which wells have been sealed, and the tuna in such wells shall not be considered *dolphin safe*.”

Appendix 3.

INTERNATIONAL DOLPHIN CONSERVATION PROGRAM

RESPONSIBILITIES FOR TUNA TRACKING FORMS

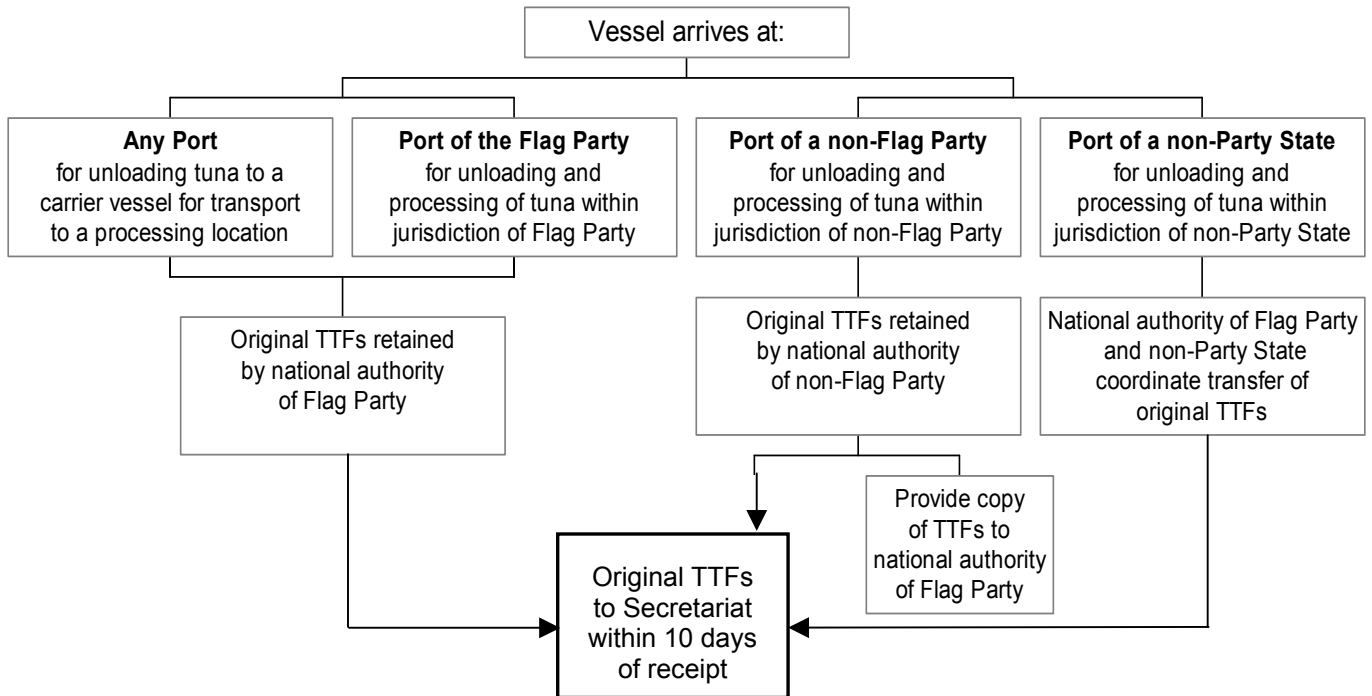
The AIDCP System for Tracking and Verifying Tuna establishes that:

- A fishing trip terminates when a vessel unloads two-thirds or more of its catch, during a single unloading or during a series of partial unloadings.
- If a trip terminates when a vessel enters port to unload part of its catch, new TTFs shall be assigned to the new trip and the information concerning any tuna retained on the vessel shall be recorded as the first entry on the TTFs for the new trip.
- If the trip is not terminated following a partial unloading, the vessel shall retain the original TTFs and shall submit a copy of that TTF, with original signatures, to the national authority of the Party where the tuna was unloaded. In either case, the species, dolphin-safe status, and amount of tuna unloaded shall be noted on the respective original TTFs.
- In the event of transfers of ownership of tuna after the national authority has transferred to the Secretariat possession of the TTFs, the national authority of the transferring Party shall be responsible for reporting any such transfers of ownership to the Secretariat, specifying the TTF(s) number(s), the species and quantity (scale weight) of tuna being transferred, and the recipient.
- TTFs shall be treated by the competent national authority as confidential official documents of the IDCP.

INTERNATIONAL DOLPHIN CONSERVATION PROGRAM

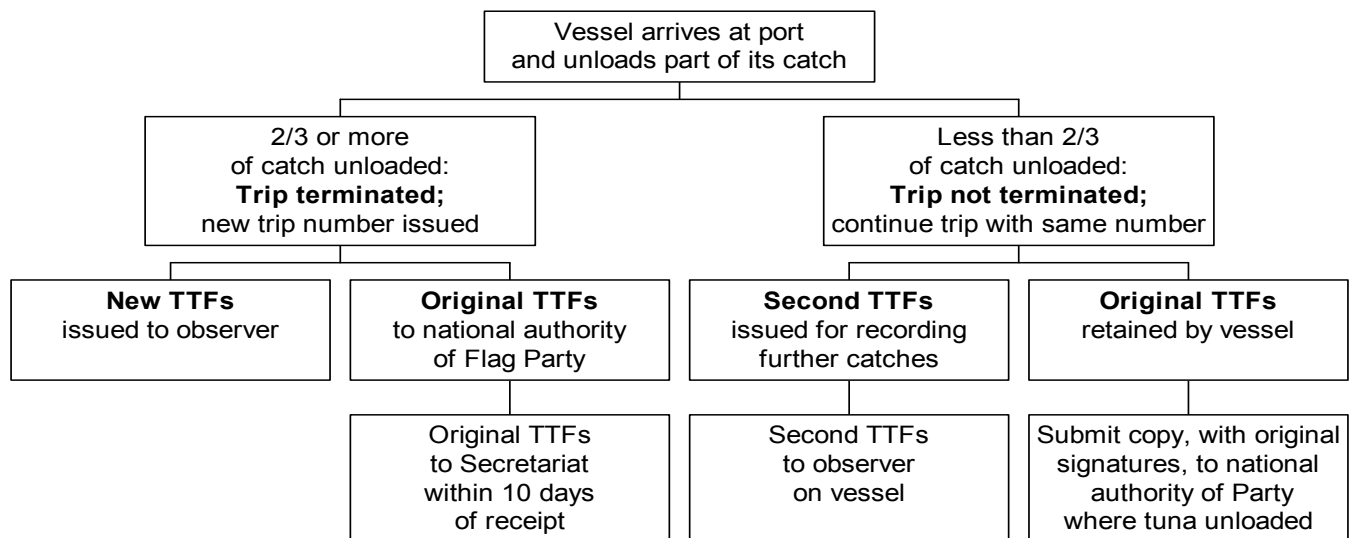
TUNA TRACKING FORMS COMPLETE UNLOADINGS

When a vessel in port to unload its entire catch, the responsibility for the TTFs is as follows:



PARTIAL UNLOADINGS

When a vessel unloads part of its catch during one or more partial unloadings, the procedure for the TTFs varies depending on how much of the catch is unloaded.



Appendix 4.

RSA originales recibidos¹ – Original TTFs received¹

Bandera Flag	Viajes Trips	País de arribo Arrival country	No. de arribos No. of arrivals	Originales recibidos Originals received
BOL	3	ECU	2	0
		PAN	1	0
COL	13	ECU	13	8
ECU	86	ECU	85 ²	79 ³
EUR	12	ECU	7	7
		GTM	2	0
		PAN	3	0
GTM	9	ECU	2	2
		GTM	4	0
		PAN	3	0
HON	5	ECU	5	5
MEX	67	MEX	67	11
PAN	11	COL	1	0
		CRI	1	0
		ECU	2	1
		GTM	1	0
		PAN	6	1
SLV	5	ECU	2	2
		PAN	3	1 ⁴
USA	6	ECU	3	3
		PER	1	1
		USA	2	2
VEN	71	CRI	14	4
		DES/UNK	1	0
		ECU	1	0
		GTM	1	1
		PAN	52	15
		PER	1	0
		SLV	1	1
VUT	9	CRI	2	0
		ECU	4	4
		PAN	3	0
Total	297			148

¹ Zarpes en 2001 con arribo entre 21-JUL-2001 y 18-ENE-2002 – Departures in 2001 with arrivals between 21-JUL-2001 and 18-JAN-2002

² Un buque se hundió durante el viaje de pesca – A vessel sank during the fishing trip

³ No se proporcionó un RSA por desconocimiento del pabellón – A TTF was not provided because the flag was unknown

⁴ Recibido de la administración del buque – Received from the vessel management

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JANUARY 31, 2002

DOCUMENT TT-9-03

**TECHNICAL MODIFICATIONS TO THE SYSTEM FOR TUNA TRACKING
AND VERIFICATION**

COLLECTION OF DATA BY OBSERVERS OUTSIDE THE AGREEMENT AREA

During the 7th and 8th meetings of the Permanent Working Group on Tuna Tracking, the matter of covering the collection of TTF data on trips by vessels that fish both inside and outside the Agreement Area was discussed but not resolved. The Working Group decided at its 8th meeting to discuss the issue further at its next meeting, and the Secretariat was asked to draft an additional proposal for consideration by the members. Following is a proposal for a new paragraph 4 *bis*, to be added to Section 3 of the AIDCP System for Tracking and Verifying Tuna:

“If a Party determines that tuna caught outside the Agreement Area by a vessel under its jurisdiction which fishes both inside and outside the Agreement Area during a single trip should not be recorded on a TTF by the observer on board the vessel, and so notifies the Secretariat in a timely manner, such tuna will not be recorded on the TTF. Otherwise, observers shall record on the TTF all tuna caught by vessels both inside and outside the Agreement Area. Unless all the tuna caught by a vessel which fishes both inside and outside the Agreement Area during a single trip is recorded on the TTF, the TTF for that trip shall not reflect that the tuna in any of the vessel’s wells is *AIDCP dolphin safe*.”

In order to ensure that *AIDCP dolphin-safe* catches made inside the Agreement Area can be properly identified, any Party that determines, in accordance with the above, not to have catches made outside the Agreement Area recorded on a TTF, may decide that a vessel’s wells containing such catches, or *AIDCP dolphin-safe* catches, as appropriate, may be sealed before the vessel enters or leaves the Agreement Area. In such cases, the observer shall note on the TTF which wells have been sealed and, if applicable, subsequently opened for the purpose of adding additional fish. Tuna whose *AIDCP dolphin-safe* status has not been recorded by the observer shall not be recorded in the *Summary of estimated tonnage loaded by well* of the TTFs for that trip.

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JANUARY 31, 2002

DOCUMENT TT-9-05

BUDGET FOR PROMOTING THE *AIDCP DOLPHIN SAFE LABEL*

At its 8th meeting in October 2001, the Permanent Working Group on Tuna Tracking and Verification asked the Secretariat to formulate a budget for promoting the *AIDCP dolphin safe* label.

It was agreed that the costs should be borne by those Parties involved in exporting the tuna, and that the estimated budget include the costs of two trips to Europe, plus the corresponding publicity and all the events that would be held as part of the same, as well as the cost of hiring a public relations firm for one year. It was also noted that none of these costs would be paid from the IATTC budget.

PROPOSED BUDGET

Travel costs assume that one person from the Secretariat will accompany delegates from the Parties concerned on two visits to Europe, one of two weeks' duration and the second of one week. The cost of the materials to be distributed is based on a 30-page bound color brochure, and the amount for the public relations firm is based on two proposals obtained from different firms. Administrative overhead costs are not detailed here, as they are to be covered by the AIDCP budget. The cost of the *Cocktails* line item (which includes all costs involved in such events, including suitable venues) would be covered directly by the Parties involved.

Expenditure	US\$
Travel	
First trip	10,000
Second trip	8,000
Printing of brochures / CDs	
200 bound copies + 200 CDs	5,200
100 bound copies + 100 CDs	2,600
Public relations firm	250,000
Cocktails	***
TOTAL	US\$ 275,800