

**INTERNATIONAL DOLPHIN CONSERVATION PROGRAM
INTERNATIONAL REVIEW PANEL**

ANNUAL REPORT

**Submitted to the 5th Meeting of the Parties
San Salvador (El Salvador)
June 2001**

INDEX

1. Introduction	1
2. Meetings of the IRP and Meetings of the Parties	1
3. Fleet sampling in 2000	1
4. Dolphin mortality limits (DMLs)	2
4.1. 2000 DMLs	2
4.2. 2001 DMLs	3
4.3. DML allocation procedures	3
4.4. Exemption of <i>force majeure</i> or extraordinary circumstances	3
4.5. Per-stock dolphin mortality limits (SMLs)	3
4.6. DMLs for experimental fishing	4
5. Infractions and sanctions	4
6. Experimental fishing	5
7. Alternative dolphin-rescue methods	5
8. AIDCP list of qualified captains	5
9. System for tracking and verifying tuna	6
10. Rules of confidentiality for the AIDCP	6
11. Amendments to the AIDCP	6
12. Ecuadorian national observer program	7
13. Non-cooperating state	7
14. Other issues	7
15. Pending business	7
16. Summary of possible infractions	8

APPENDICES

1. Sampling coverage by the On-Board Observer Program during 2000
2. Trips by vessels of non-Parties to the AIDCP sampled by the IATTC observer program in 2000
3. Distribution of dolphin mortality caused in 2000 by vessels with full-year DMLs
4. Summary of the possible infractions identified by the IRP during its 24th, 25th and 26th meetings
5. Possible infractions identified during the report period and reported to the governments
6. Responses regarding possible infractions of observer interference, night sets, and use of explosives

1. INTRODUCTION

For the International Review Panel (IRP) and the participating countries of the International Dolphin Conservation Program (IDCP), 2000 was the first full year of operation of the Agreement on the International Dolphin Conservation Program (AIDCP). The AIDCP came into force on February 15, 1999, and currently has been ratified or provisionally applied by Colombia, Costa Rica, Ecuador, El Salvador, European Community, Honduras, Mexico, Nicaragua, Panama, Peru, United States, Vanuatu, and Venezuela.

The IRP currently consists of 19 members: 13 participating governments (Colombia, Costa Rica, Ecuador, El Salvador, European Community, Honduras, Mexico, Nicaragua, Panama, Peru, United States, Vanuatu, and Venezuela), and 6 representatives of non-governmental organizations (NGOs), 3 from environmental organizations and 3 from the tuna industry. Only government members have voting rights, and the IATTC provides a non-voting Secretariat for the IRP.

This Annual Report, which reviews the eighth year of operation of the IDCP, summarizes all infractions of the La Jolla Agreement and the AIDCP identified by the IRP and the actions and decisions it took during its 24th, 25th, and 26th meetings. Also included are actions and resolutions of the 3rd and 4th Meetings of the Parties to the AIDCP, the dolphin mortality levels in the fishery during 2000, and business that was pending before the IRP at the end of this reporting period. Minutes of these meetings are recorded by the Secretariat and distributed to the attendees. Documents referred to in this report are included in those minutes. Minutes of recent meetings of the IRP and Meetings of the Parties can be found on the IATTC web site (www.iattc.org).

2. MEETINGS OF THE IRP AND MEETINGS OF THE PARTIES

The following meetings were held during the period covered by this report:

Meeting	Date	Location	Chair
International Review Panel			
24	June 7-8, 2000	San Jose, Costa Rica	Hector Lopez (Venezuela)
25	October 27, 2000	La Jolla, California	Jim Lecky (United States)
26	January 29-30, 2001	La Jolla, California	Jim Lecky (United States)
Meetings of the Parties			
3	June 17, 2000	San Jose, Costa Rica	Mara Murillo (Mexico)
4	October 28-30, 2000	La Jolla, California	William Gibbons-Fly (United States)

At the 24th meeting of the IRP, Peru attended its first meeting as a member as it had acceded to the AIDCP on March 10, 2000.

3. FLEET SAMPLING IN 2000

In 2000, Venezuela and Ecuador established the second and third national observer programs within the AIDCP On-Board Observer Program. Venezuela's Programa Nacional de Observadores de Venezuela (PNOV) began the year sampling approximately 25% of trips by its fleet and increased its sampling toward a goal of 50% coverage by mid-year. Ecuador's Programa Nacional de Observadores Pesqueros de Ecuador (PROBECUADOR) started sampling trips by its fleet in November and intends to increase to 50% coverage in 2001. Mexico's Programa Nacional de Aprovechamiento del Atún y Protección de Delfines (PNAAPD) continued to sample half of the trips by its fleet. The IATTC Tuna-Dolphin Program sampled the remainder of the trips by the fleets of Ecuador, Mexico, and Venezuela, as well as all trips, except for two noted below, by vessels of the fleets of Belize, Bolivia, Colombia, Guatemala, Honduras, Nicaragua, Panama, European Community, United States, and Vanuatu. The combined observer coverage in 2000 by the four programs is shown in Appendix 1.

Observers of the IATTC and the three national observer programs collected data aboard Class-6 vessels (carrying capacity more than 363 metric tons) pertaining to dolphin mortality in the fishery, using standardized forms and data-collection procedures. The IRP reviews the operations of these programs and the data they collect. All states listed in Appendix 1 except Belize, Bolivia, and Honduras participated in the La Jolla Agreement, and all except Belize, Bolivia and Guatemala have either ratified or provisionally applied the AIDCP. The IATTC sampled 43 fishing trips by vessels of non-Party states to the AIDCP (Appendix 2).

An IATTC observer departed on one fishing trip in 2000 on a Bolivian-flag vessel, but the trip was only partially sampled due to the vessel's departure after a mid-trip port stop without the observer on board. That trip is not considered as an observed trip for sampling purposes. A Vanuatu-flag vessel did not have an observer on board during an entire trip due to vessel management's refusal to accept an IATTC observer. Both cases were considered as possible infractions of the AIDCP.

4. DOLPHIN MORTALITY LIMITS (DMLs)

4.1. 2000 DMLs

The overall dolphin mortality limit for the international fleet in 2000 was 5,000, and the average DML (ADML) was 44.55. Originally, DMLs were allocated to 110 vessels that requested DMLs. However, the IRP decided that the DML allocated to one vessel that planned to use an experimental technique for releasing dolphins not contemplated in the AIDCP should come from the Reserve DML Allocation (RDA), administered by the Director, instead of the unreserved portion.

Of the 109 vessels that received an initial DML allocation from the unreserved portion, 24 did not utilize their DMLs prior to April 1. Thirteen of those vessels were allowed to keep their DMLs for reasons of *force majeure*, and 11 vessels forfeited their DMLs. The total of the unutilized DMLs was redistributed among the Parties for reallocation to qualified DML vessels and to 7 vessels qualified to receive second semester DMLs.

Three governments did not notify the Director of their DML adjustments by the May 1 deadline. One Party that had not met the deadline requested that the Parties allow an exemption for 2000, arguing that those DMLs would otherwise be wasted. At the 3rd Meeting of the Parties, it was decided that no exceptions to the deadline would be allowed. In the case of another Party that also did not meet the deadline but has only one vessel, there was no objection to proceeding on the assumption that all of its share of the reallocated DMLs would go to that vessel.

A summary of DML allocations and reallocations in 2000 is shown in Appendix 2 of the 24th IRP meeting minutes. During the year, two vessels with full-year DMLs and one with a second-semester DML asked to surrender their DMLs. The AIDCP contains no explicit provision for allowing vessels to surrender DMLs. At the 4th Meeting of the Parties, it was decided that those vessels would not be allowed to surrender their DMLs and that they would continue to be bound by the operational requirements of the AIDCP.

At the end of the 2000, 89 vessels had utilized their DMLs. None of the 7 vessels that were allocated second-semester DMLs utilized them. Three vessels exceeded their DMLs. The average mortality per DML vessel was 18.0, however this calculation includes the 2 vessels that did not have an observer on board during all or part of a fishing trip. The distribution of the dolphin mortality caused in 2000 by vessels with full-year DMLs is shown in Appendix 3.

The preliminary estimate of the total dolphin mortality in the fishery in 2000 is 1,636, or about 33% of the overall limit of 5,000. The preliminary estimate of the total number of intentional sets on tunas associated with dolphins is 9,250, and the average mortality in these sets is approximately 0.17 dolphins.

During 2000 the Secretariat sent 13 quarterly performance letters, 3 at the end of the first quarter, 6 at the

end of the second quarter, and 4 at the end of the third quarter, to vessels that risked exceeding their assigned DML if their mortality levels continued to accumulate at the current rate.

4.2. 2001 DMLs

At its 25th meeting, the IRP approved DMLs for 82 vessels and second-semester DMLs for 4 vessels in 2001 (one Party subsequently rescinded a request for one of the second-semester DML prior to the allocation of those DMLs). One Party's request for a second-semester DML did not meet the October 1 deadline specified in the AIDCP. The IRP approved the DML provided that the Party could confirm that the vessel met the criteria for obtaining a DML, which the Party subsequently did. The distribution of full-year and second-semester DMLs is shown in Appendix 5 of the 25th IRP meeting minutes. In accordance with Annex IV of the AIDCP, the unreserved portion (4,900) of the overall dolphin mortality limit (5,000) was used to calculate an average individual vessel DML (ADML) of 59.75.

At its 26th meeting, the IRP decided that a vessel that exceeded its 2000 DML by 10 should have its initial 2001 DML allocation deducted by the excess plus the 50% penalty, or 15 dolphins. The IRP agreed that the application of this provision of the AIDCP should be handled on a case-by-case basis in the future, and that any DML deducted from vessels as a result of their exceeding their DMLs should not be redistributed among the rest of the fleet of the relevant Party.

The Panel also agreed that one of the Parties could transfer to a substitute vessel a DML that had been allocated to a vessel that had sunk before the end of 2000.

4.3. DML allocation procedures

At the 3rd Meeting of the Parties, the Secretariat expressed the opinion that the AIDCP does not prohibit a Party from withholding a portion of its initial DML allocation in order to establish a reserve for its fleet, but that any such reserve must be allocated to vessels before May 1. The Party could continue to hold any DML not allocated by May 1 as a reserve, but could not allocate it to an individual vessel after that date. The Parties agreed to study the issue of withholding initial DML assignments and to discuss it at the next Meeting of the Parties. At the 4th Meeting of the Parties, it was agreed that the Secretariat would submit an interpretation of the Agreement to the Parties by correspondence for their consideration.

At the 4th Meeting of the Parties, the Secretariat was asked to draft an amendment to Annex IV of the AIDCP to allow DMLs held in reserve to be allocated to vessels at any time during the course of the year. It was decided that the issue would be discussed by correspondence and addressed at the next Meeting of the Parties in 2001.

4.4. Exemption of *force majeure* or extraordinary circumstances

At the 24th meeting of the IRP, several delegations endorsed the idea that rules for granting *force majeure* exemptions should be clearly defined, and the Secretariat was instructed to prepare guidelines on this issue for consideration by the Parties. At the 4th Meeting of the Parties, the proposed guidelines were discussed, but the Parties postponed a decision until their next meeting in order to take into account any comments by governments and the results of the next fishing season.

4.5. Per-stock Dolphin Mortality Limits (SMLs)

At the 3rd Meeting of the Parties, the Parties agreed that they would discuss the matter of the allocation of the per-stock mortality limits (SMLs) during their next meeting, and that the Working Group on that matter should meet before then. It was agreed that until a new system for addressing these limits is established, the global system in effect for 2000 would continue to be used. Regarding progress towards determining SMLs for 2001, the Parties agreed that the current estimates of dolphin abundance should continue to be used until reliable new estimates were available. At the 4th Meeting of the Parties, no new

system of allocation was agreed upon by the Parties. The Director stated that the Secretariat would continue to monitor the mortality and, if the estimate of mortality for any stock approached the level of $0.1 N_{min}$, would notify the Parties. Mexico expressed a reservation to the use of the level of $0.1 N_{min}$ and the global allocation.

At the 24th meeting of the IRP, the Director reported that a real-time reporting system by which observers at sea send weekly reports by fax of dolphin mortalities by stock had been established, but that the reporting rate by vessels was low. The Panel agreed that representatives of the national and IATTC observer programs should meet with representatives of the tuna industry to discuss the issue, which should then be forwarded to the Meeting of the Parties for further discussion. At the 3rd Meeting of the Parties, it was agreed that such a consultation should take place and that ideas on improving real-time reporting should be reported back to the Meeting of the Parties. At the 4th Meeting of the Parties, the Secretariat reported that the system was still functioning well below the expected level, and proposed that the current low reporting rate might improve if vessels could transmit reports by e-mail or radio as well as by fax. The Parties approved the Secretariat's proposal and decided to wait and see if reporting improves, and that the IRP should consider the issue again at its next meeting. At the 26th meeting of the IRP, the Secretariat reported that the reporting level had recently improved. The Panel instructed the Secretariat to send a letter to the governments explaining the importance and the functioning of the real-time reporting system and the vessels' responsibilities, so that the governments could then take the steps necessary to ensure that their fleets complied with the system.

4.6. DMLs for experimental fishing

At the 3rd Meeting of the Parties, the Parties instructed the Secretariat to draft guidelines for experimental fishing reflecting the general rule that the DML for such fishing should come from the DMLs allocated to the corresponding Party. At the 4th Meeting of the Parties, the Parties adopted guidelines for experimental fishing that reflected this general rule, but which also allows the DML to come from the RDA (see Appendix 7, 4th Meeting of the Parties minutes). At this meeting, it was agreed that the DML for a fishing experiment in 2001 by the United States involving the chase and recapture of dolphins would be assigned from the RDA.

5. INFRACTIONS AND SANCTIONS

At the 24th meeting of the IRP it was agreed that the Secretariat should provide information to the Parties on the historical performance of captains of vessels under their respective jurisdictions in regard to compliance with the AIDCP, in order for the Parties to take appropriate action to infractions. The Panel also asked the Secretariat to prepare guidelines for determining when "sacking-up and/or brailing" of dolphins should be considered a possible infraction. The 3rd Meeting of the Parties agreed with this request by the IRP, and at its 25th meeting the Panel approved the resulting proposal prepared by the Secretariat (see Appendix 6, 25th IRP meeting minutes). The Panel asked the Secretariat to analyze the application of these guidelines, and particularly the 15-minute time limit, and, if necessary, report back to the IRP at its next meeting.

At the 24th meeting, the Panel also agreed to (1) recommend to the Directors of the national and IATTC observer programs that information on fishing operations collected by observers should be provided to the Panel in greater detail, since this would help the Panel in taking decisions on possible infractions, and (2) forward to the Meeting of the Parties the issue of a request by the environmental NGOs to review the schedule of sanctions and penalties previously adopted pursuant to the La Jolla Agreement at the Intergovernmental Meeting held in Vanuatu in June 1993. At the 3rd Meeting of the Parties, the Parties expressed the view that the schedule of infractions and sanctions considered at the Vanuatu meeting did not allow sufficient flexibility, but it was agreed that the sanctions imposed by each Party, in accordance with its national legislation, should ensure compliance with the AIDCP. The Secretariat was asked to create a database on the sanctions imposed by each Party in accordance with its national legislation. At the 25th

meeting of the IRP, the Secretariat reported that only three Parties had provided the Secretariat with the necessary information on the sanctions applied to infractions of the AIDCP. It was agreed that, once the Secretariat had all the information, it should be circulated to the Parties.

At the 26th meeting of the IRP, the Secretariat presented a paper regarding determination of a pattern of violations (see Appendix 5, 26th IRP meeting minutes), noting that it was incumbent upon the IRP to develop a definition of what is meant by a “pattern of violations which diminish the effectiveness of the IDCP” (Annex IV(I)7 of the AIDCP). The Panel agreed that the issue would be decided at the next Meeting of the Parties, and that the Secretariat should do additional analysis on this matter for that meeting. The Panel also agreed that the participating governments would review their laws and sanctions to see how they compare with the infractions being proposed in the Secretariat’s paper as “major” and “other”, and that they would provide this information to the Secretariat.

At the 26th meeting, the Panel also discussed the provision in Annex IV(III)4 of the AIDCP that states that Parties will be deemed to have provided concurrence regarding an IRP determination of a possible violation listed in that section, unless the Party objects to the IRP within six months, or 12 months if so specified. The Panel did not object to the Secretariat proceeding to implement the AIDCP on the understanding that this provision applies to all aspects of the Agreement, not simply those relating to DML adjustments.

6. EXPERIMENTAL FISHING

At the 3rd Meeting of the Parties, it was agreed that before an experimental project was initiated a full research protocol should be available, in which the equipment and techniques to be used were clearly specified, for the consideration of and, as the case might be, approval of the Meeting of the Parties. Also, the Secretariat was asked to present, at the next Meeting of the Parties, guidelines to be followed by vessels carrying out such experiments (see Section 4.6). It was also agreed that any cases of possible infractions by vessels using experimental gear should be analyzed within the framework of the IRP, along with other cases on possible infractions, and that the same rules of confidentiality would apply as for other vessels.

7. ALTERNATIVE DOLPHIN-RESCUE METHODS

At the 25th meeting of the IRP, the Panel agreed to form a technical working group to develop criteria for determining if and when releasing the bow ortza could be considered an acceptable alternative to the backdown maneuver as a means of releasing captured dolphins from the net. The Ortza Working Group presented its report to the 26th meeting of the IRP (see Appendix 8, 26th IRP meeting minutes).

A vessel that was issued a DML from the RDA for experimental fishing in 2000 attempted an alternative dolphin-rescue method during one set on dolphins. The observer on the vessel reported that the procedure was aborted when it became apparent that the captured dolphins would not leave the net. Vessel personnel opened the bow end of the net by releasing the ortza and all dolphins escaped unharmed.

8. AIDCP LIST OF QUALIFIED CAPTAINS

At the 24th meeting of the IRP, the Panel agreed that if a fishing captain who is not on the list of qualified captains fishes on a vessel with a DML in the Agreement Area, it should be considered a violation of the AIDCP. Such cases would be reviewed by the IRP and forwarded to the respective governments for the appropriate action. The Panel asked the Secretariat to submit to the Parties for consideration a proposal for a mechanism for adding captains to the list between meetings of the IRP. At the 3rd Meeting of the Parties it was agreed that each Party should provide the Secretariat with all the information that supports the inclusion of a captain on the list.

At the 25th IRP meeting, the Secretariat presented a proposal of a mechanism for adding captains to the

list of qualified captains. The Panel approved the proposal with some modifications (see Appendix 2, 25th IRP meeting minutes).

At the 26th IRP meeting, the Panel agreed that the Secretariat would provide to each IRP meeting an updated list of qualified captains, and also inform it of those captains to be deleted from the list and the reasons for their removal.

9. SYSTEM FOR TRACKING AND VERIFYING TUNA

At the 24th IRP meeting, the Working Group on Tuna Tracking proposed some minor changes to the System for Tracking and Verifying Tuna, and also recommended that the provision in the system for mixed wells be eliminated (see Appendix 4, 24th IRP meeting minutes). The Panel agreed to pass those recommendations to the Meeting of the Parties for consideration. It was also agreed that the Secretariat could provide to those Parties that were still in the process of setting up their tuna-tracking systems copies of the documentation for systems already established by other Parties, with the permission of each Party concerned.

At the 3rd Meeting of the Parties, the Parties approved the IRP's recommendations for amending the System for Tracking and Verifying Tuna, and adopted a resolution to delete the provisions for mixed wells in Section 4, paragraphs 3 and 7 of that document (see Appendix 3, 3rd Meeting of the Parties minutes). The Parties also denied a request by Guatemala to reconsider their recommendation to make non-Parties ineligible to receive tuna tracking forms (TTFs) from the On-Board Observer Program for trips by vessels under their jurisdiction since, although Guatemala fully complied with the requirements of the AIDCP, it had not yet completed the process of ratification.

At the 25th meeting of the IRP, the Working Group on Tuna Tracking presented a report (see Appendix 8, 25th IRP meeting minutes) which proposed that the System for Tracking and Verifying Tuna be amended in order to provide for the collection of tuna tracking information by observers if the vessel fishes both inside and outside the Agreement Area on a single trip. The Working Group also proposed that the System be amended in order to stipulate how copies of the TTFs may be made and provided to Parties in cases of vessels making several partial unloadings during a single trip. The Panel agreed that the Secretariat would present proposals for these two amendments to the first Meeting of the Parties in 2001, and agreed to forward the Working Group's recommendations to the Meeting of the Parties for its consideration. At the 4th Meeting of the Parties, the Parties approved the recommendation for these two amendments to the System and asked the Secretariat to submit draft modifications for approval to the first Meeting of the Parties in 2001.

At the 26th meeting of the IRP, the Panel agreed that a special meeting of the Working Group should take place to discuss the issue of problems in the trade and marketing of tuna caught in accordance with the AIDCP and to explore possible steps to be taken to improve this situation. Colombia agreed to prepare a paper on the subject and circulate it before the meeting.

10. RULES OF CONFIDENTIALITY FOR THE AIDCP

At the 4th Meeting of the Parties, the Secretariat presented the draft Rules of Confidentiality for the AIDCP that it had been asked to draft for consideration by the Parties. The proposal was approved with some modifications (see Appendix 8, 4th Meeting of the Parties minutes).

11. AMENDMENTS TO THE AIDCP

At the 3rd Meeting of the Parties it was agreed that the date for the reallocation of forfeited or unutilized DMLs in Annex IV(III)2 of the AIDCP should be changed from April 1 to April 15, and the associated deadline in paragraph (III)3 from May 1 to May 5. At the 4th Meeting of the Parties, the Parties approved a draft amendment prepared by the Secretariat (see Appendix 5, 4th Meeting of the Parties minutes); thus,

Annex IV was amended accordingly as of October 30, 2000.

At the 25th meeting of the IRP, the Panel agreed to recommend to the Meeting of the Parties Mexico's suggestion that Annex VIII(2)b of the AIDCP be amended to read "Have at least three operable speed-boats equipped with operable towing bridles or posts, and tow lines." At the 4th Meeting of the Parties, the Parties approved that proposed amendment; thus, Annex VIII was amended accordingly as of October 30, 2000.

12. ECUADORIAN NATIONAL OBSERVER PROGRAM

At the 25th meeting of the IRP, Ecuador announced that it had established its own national observer program, PROBECUADOR. A training course for observers, following IATTC guidelines, was held in September-October 2000, and placement of PROBECUADOR observers on Ecuadorian vessels began in November. The IATTC and the PROBECUADOR will share the sampling of the Ecuadorian fleet, with the national program eventually increasing its trip coverage up to 50%. The program was established in close cooperation with the IATTC staff and is fully compatible with the IATTC, Mexican, and Venezuelan observer programs.

13. NON-COOPERATING STATE

At the 4th Meeting of the Parties, the Secretariat reported that some vessels of one of the Parties had recently transferred their registry to Bolivia, and that the Meeting of the Parties has no guidelines regarding how to address the problem of a non-cooperating State with vessels fishing in the Agreement Area. The Parties agreed to send a letter to Bolivia requesting its cooperation and making clear that no IATTC observers will be placed on Bolivian-flag vessels until the situation regarding Bolivia's cooperation and participation is clarified. At the 26th meeting of the IRP, the Secretariat reported that Bolivia had asked to attend that meeting as an observer and the Parties had been so notified. As there were no objections, Bolivia had been invited but was unable to attend. The Panel agreed that Bolivia should be invited to the next IRP meeting.

14. OTHER ISSUES

At the 3rd Meeting of the Parties it was agreed that the Secretariat would distribute, before the next meeting of the IRP, information on the tuna industry's contributions to financing the observer programs.

At the 3rd Meeting of the Parties, the Parties approved a letter to the Forum Fisheries Agency, drafted by the Secretariat, regarding the use of its observers on vessels fishing in the EPO (see Appendix 6, 3rd Meeting of the Parties minutes).

At the 26th meeting of the IRP, the Secretariat presented a paper on measuring performance in reducing dolphin mortalities (see Appendix 4, 26th IRP meeting minutes). The Panel agreed that standardized mortality per set on dolphins, calculated along the lines elaborated in the Secretariat's paper, would be used by the Parties for measuring performance in reducing dolphin mortalities.

15. PENDING BUSINESS

The status of a number of items of business discussed by the IRP during meetings covered in this report and in prior meetings is as follows:

- a) A system of recognizing outstanding performance by captains and crews of vessels with outstanding performance has yet to be developed. A working group is to be established to develop such a system.
- b) The IRP has yet to determine if guidelines for determining possible "sack-up" infractions would be useful within the context of the AIDCP.
- c) A meeting of the Scientific Advisory Board is to be called to discuss alternative dolphin rescue procedures, including any proposed by fishing captains and the industry, that might be used in lieu of

backdown.

- d) The Secretariat is to create a database on the sanctions imposed by each Party to infractions of the AIDCP, in accordance with its national legislation.

16. SUMMARY OF POSSIBLE INFRACTIONS

Appendix 4 is a summary of the possible infractions identified by the IRP during its 24th, 25th, and 26th meetings, by infraction type. During these meetings the IRP reviewed data from 692 fishing trips, including 9,365 intentional dolphin sets and 10 other sets with accidental capture of dolphins. A total of 692 possible infractions were identified and reported to governments, of which 116 were considered major infractions, 563 were considered other infractions, and 13 were cases of observer interference. As of June 7, 2001, the Secretariat had received a total of 412 responses from governments to those possible infractions, of which 60 were related to major infractions, 343 to other infractions, and 9 to cases of observer interference. In addition, there were 41 possible infractions, 7 major and 34 other, identified for which Parties were deemed to have provided concurrence by not objecting to the IRP within the time limits prescribed in Annex IV(III)4 of the AIDCP.

Appendix 5 lists all the possible infractions identified during the period covered by this report, and reported by the Secretariat to the governments under whose jurisdiction the vessels in question operate. Each country's vessels, identified only as 1, 2, *etc.*, are listed in random order with the trips during which possible infractions occurred. Only vessels identified as having committed possible infractions are included. Each trip is identified by its IRP record number (example: 2000-123), followed by the IRP meeting date (year/month) at which the possible infractions were identified. Each possible infraction is listed, followed by a brief description of the action taken by the government, as reported to the Secretariat; if no action is listed, this indicates that the Secretariat has not received a response from the government.

Appendix 6 includes summary tables of responses, by country, regarding three types of possible infractions (observer interference, night sets, and use of explosives) identified by the IRP during the three meetings.

Appendix 1.

Sampling coverage by the IATTC and national programs during 2000

National fleet	Trips	Trips sampled by program			% sampled
		IATTC	National	Total	
Belize	BLZ	8	-	8	100.0
Bolivia	BOL	6	5 ¹	5	83.3
Colombia	COL	21	-	21	100.0
Ecuador	ECU	240	6	240	100.0
Spain	ESP	35	-	35	100.0
Guatemala	GTM	35	-	35	100.0
Honduras	HON	8	-	8	100.0
Mexico	MEX	181	89	181	100.0
Nicaragua	NIC	6	-	6	100.0
Panama	PAN	24	-	24	100.0
USA.	USA	21	-	21	100.0
Venezuela	VEN	93	33	93	100.0
Vanuatu	VUT	46	-	45	97.8
Total		724	128³	722	99.7

¹ Does not include a partially sampled trip.

² Includes 33 trips which departed in late 1999 and ended in 2000, and 561 trips which departed in 2000.

³ All trips departed in 2000.

Appendix 2.

Trips by vessels of non-Parties to the AIDCP sampled by the IATTC observer program in 2000³.

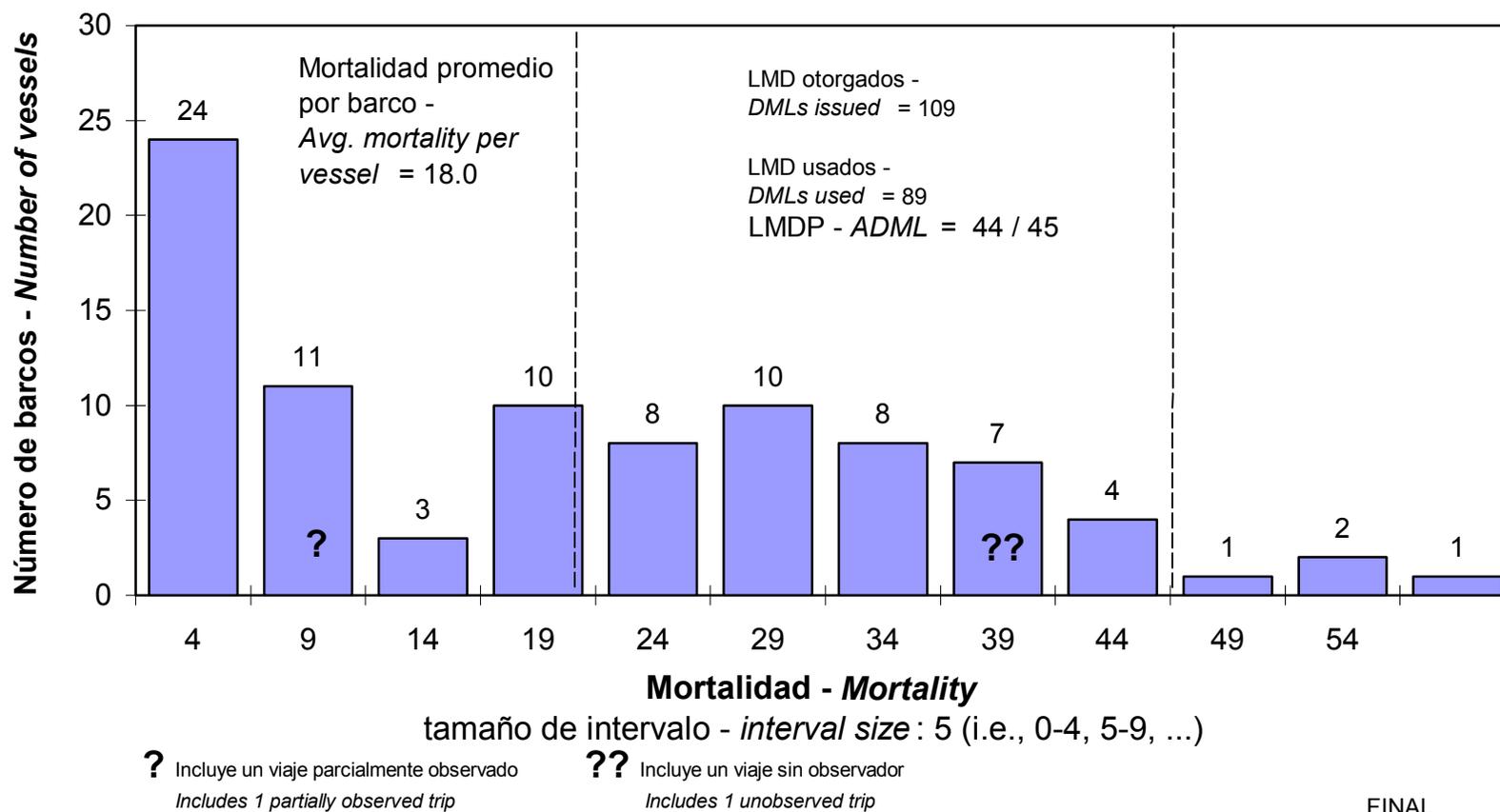
		Trips
Belize	BLZ	7
Bolivia	BOL	5
Guatemala	GTM	31
Total		43

³ All trips departed in 2000.

Appendix 3.

MORTALIDAD CAUSADA POR BARCOS CON LMD - 2000
MORTALITY CAUSED BY DML VESSELS - 2000

(Uso de LMD = 1 o más lances intencionales sobre delfines; mortalidad en lances experimentales excluída
DML use = 1 or more intentional sets on dolphins; experimental set mortality excluded)



Appendix 4.

Summary of possible infractions identified by the IRP during its 24th, 25th, and 26th meetings

MAJOR INFRACTIONS:	
Trips without an observer	2
Trips with dolphin sets but no DML assigned	15
Trips with captains not on the AIDCP list	68
Trips without a dolphin safety panel	11
Intentional sets made after reaching the DML (occurred in 1 trip)	7
Sets on banned stocks or herd types	0
Sets without a required backdown (occurred in 9 trips)	10
Sets with unavoided dolphin injury or mortality (occurred in 1 trip)	3
Sets with dolphin sack-up or brail (occurred in 5 trips)	6
Total	122
OTHER INFRACTIONS:	
Trips without a required raft	31
Trips with < 3 speedboats and/or missing towing bridles	24
Trips without a required high intensity floodlight	107
Trips without required facemasks	14
Night sets (occurred in 58 trips)	74
Sets with use of explosives (occurred in 57 trips)	300
Sets without required rescue (occurred in 6 trips)	7
Total	557
Cases of observer interference	13
Trips reviewed in these meetings	692
Dolphin sets reviewed in these meetings	9365
Accidental sets reviewed in these meetings	10

Appendix 5.

POSSIBLE INFRACTIONS IDENTIFIED BY THE IRP

Brief descriptions of government actions taken, as reported to the Secretariat by August 23, 2001, are included. If no action is listed for a possible infraction, the Secretariat has not received a response from the government.

The "Others" category includes all fleets with three vessels or less (Belize, Bolivia, Honduras, Nicaragua).

Abbreviations: DSP = Dolphin Safety Panel

COLOMBIA			
<i>Vessel</i>	<i>IRP recno</i>	<i>Review date</i>	<i>Identified infractions</i>
COL 1	1999-686	2000/06	1) 1 Fishing without a DML Action taken: 1) The IRP decided that no infraction occurred.
	2000-568	2001/01 2001/01	1) 1 Night sets 2) 24 Explosives use Action taken: 1) 2) The government is investigating the possible infractions.
COL 2	2000-015	2000/06	1) 1 Fishing without a DML Action taken: 1) The IRP decided that no infraction occurred.
	2000-143	2000/10	1) 1 No floodlight
COL 3	1999-674	2000/06	1) 1 Fishing without a DML Action taken: 1) The IRP decided that no infraction occurred.
	2000-536	2001/01	1) 1 Observer interference Action taken: 1) The government is investigating the possible infractions.
COL 4	1999-653	2000/06	1) 1 Fishing without a DML
		2000/06	2) 1 Explosives use
		2000/06	3) 1 Explosives use Action taken: 1) The IRP decided that no infraction occurred. 2) Infraction deemed to be confirmed without objection.
	2000-113	2000/06	1) 1 Night sets Action taken: 1) Infraction deemed to be confirmed without objection.
	2000-313	2000/10 2000/10	1) 4 Explosives use 2) 3 Unavoided dolphin injury or death Action taken: 1) The government is investigating the possible infractions. 2) The government initiated the proper administrative process to investigate the possible infractions.
2000-482	2000/10	1) 7 Sets after reaching DML Action taken: 1) The government determined that there was no infraction according to national legislation.	
COL 5	1999-681	2000/06	1) 1 Fishing without a DML Action taken: 1) The IRP decided that no infraction occurred.
	2000-564	2001/01	1) 1 Night sets Action taken: 1) The government is investigating the possible infractions.
ECUADOR			
<i>Vessel</i>	<i>IRP recno</i>	<i>Review date</i>	<i>Identified infractions</i>
ECU 1	1999-679	2000/06	1) 1 No raft
		2000/06	2) 1 No floodlight
		2000/06	3) 1 No mask/snorkel Action taken: 1) 2) 3) The government gave the vessel owner a deadline to correct the gear deficiency.
	2000-068	2000/06 2000/06 2000/06	1) 1 No raft 2) 1 No floodlight 3) 1 No mask/snorkel Action taken: 1) 2) 3) The government gave the vessel owner a deadline to correct the gear deficiency.

	2000-126	2000/06 2000/06	1) 1 No raft 2) 1 No floodlight Action taken: 1) 2) The government gave the vessel owner a deadline to correct the gear deficiency.
ECU 2	1999-705	2000/10	1) 1 Unqualified AIDCP captain Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
	2000-028	2000/10	1) 1 Unqualified AIDCP captain Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
	2000-080	2000/10	1) 1 Unqualified AIDCP captain Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
	2000-106	2000/10	1) 1 Unqualified AIDCP captain Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
	2000-153	2000/10	1) 1 Unqualified AIDCP captain Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
ECU 3	1999-701	2000/06 2000/06 2000/06	1) 1 No raft 2) 1 No floodlight 3) 1 No mask/snorkel Action taken: 1) 2) The government gave the vessel owner a deadline to correct the gear deficiency.
	2000-107	2000/06 2000/06 2000/06	1) 1 No raft 2) 1 No floodlight 3) 1 No mask/snorkel Action taken: 1) 2) The government gave the vessel owner a deadline to correct the gear deficiency.
	2000-176	2000/06 2000/06	1) 1 No raft 2) 1 No floodlight Action taken: 1) 2) The government gave the vessel owner a deadline to correct the gear deficiency.
ECU 4	2000-019	2000/10	1) 1 Unqualified AIDCP captain
	2000-091	2000/06 2000/10	1) 1 No floodlight 2) 1 Unqualified AIDCP captain Action taken: 1) The government gave the vessel owner a deadline to correct the gear deficiency.
	2000-179	2000/06 2000/10	1) 1 No floodlight 2) 1 Unqualified AIDCP captain Action taken: 1) The government gave the vessel owner a deadline to correct the gear deficiency.
	2000-238	2000/06 2000/10	1) 1 No floodlight 2) 1 Unqualified AIDCP captain Action taken: 1) The government gave the vessel owner a deadline to correct the gear deficiency.
	2000-284	2000/10 2000/10	1) 1 Unqualified AIDCP captain 2) 1 No floodlight Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions. 2) The government is investigating the possible infractions.
	2000-398	2000/10 2000/10	1) 1 Unqualified AIDCP captain 2) 1 No floodlight Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions. 2) The government is investigating the possible infractions.

	2000-444	2000/10 2000/10	1) 1 Unqualified AIDCP captain 2) 1 No floodlight Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions. 2) The government is investigating the possible infractions.
	2000-502	2000/10 2000/10	1) 1 Unqualified AIDCP captain 2) 1 No floodlight Action taken: 2)
ECU 5	2000-023	2000/06	1) 1 Fishing without a DML Action taken: 1) The IRP decided that no infraction occurred.
	2000-520	2000/10	1) 1 Night sets Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
ECU 6	1999-704	2000/10	1) 1 Unqualified AIDCP captain Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
	2000-105	2000/06 2000/06 2000/10	1) 1 Fishing without a DSP 2) 1 No raft 3) 1 Unqualified AIDCP captain Action taken: 1) 2) The government initiated the proper administrative process. 3) The government initiated the proper administrative process to investigate the possible infractions.
	2000-178	2000/06 2000/06 2000/06 2000/10	1) 1 Fishing without a DSP 2) 1 No speedboat bridles 3) 1 No floodlight 4) 1 Unqualified AIDCP captain Action taken: 1) 2) 3) The government gave the vessel owner a deadline to correct the gear deficiency. 4) The government initiated the proper administrative process to investigate the possible infractions.
ECU 7	1999-702	2000/06 2000/06 2000/06 2000/10	1) 1 No raft 2) 1 No floodlight 3) 1 No mask/snorkel 4) 1 Unqualified AIDCP captain Action taken: 1) 2) 3) The government gave the vessel owner a deadline to correct the gear deficiency. 4) The government initiated the proper administrative process to investigate the possible infractions.
	2000-094	2000/06 2000/06 2000/06 2000/10	1) 1 No raft 2) 1 No floodlight 3) 1 No mask/snorkel 4) 1 Unqualified AIDCP captain Action taken: 1) 2) 3) The government gave the vessel owner a deadline to correct the gear deficiency. 4) The government initiated the proper administrative process to investigate the possible infractions.
ECU 8	1999-669	2000/06	1) 1 No speedboat bridles Action taken: 1) The government gave the vessel owner a deadline to correct the gear deficiency.
ECU 9	2000-329	2000/10 2000/10 2000/10 2000/10	1) 1 Fishing without a DSP 2) 1 No raft 3) 1 No floodlight 4) 1 No mask/snorkel Action taken: 1) 2) 3) 4) The government is investigating the possible infractions.
	2000-447	2000/10 2000/10 2000/10 2000/10	1) 1 Fishing without a DSP 2) 1 No raft 3) 1 No floodlight 4) 1 No mask/snorkel Action taken: 1) 2) 3) 4) The government is investigating the possible infractions.

	2000-487	2000/10 2000/10 2000/10 2000/10	1) 1 Fishing without a DSP 2) 1 No raft 3) 1 No floodlight 4) 1 No mask/snorkel Action taken: 1) 2) 3) 4) The government is investigating the possible infractions.
	2000-573	2001/01 2001/01 2001/01 2001/01 2001/01	1) 1 Unqualified AIDCP captain 2) 1 Fishing without a DSP 3) 1 No raft 4) 1 No speedboat bridles 5) 1 No mask/snorkel
ECU 10	1999-670	2000/10	1) 1 Unqualified AIDCP captain Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
	2000-092	2000/06	1) 1 No floodlight Action taken: 1) The government gave the vessel owner a deadline to correct the gear deficiency.
	2000-198	2000/06	1) 1 No floodlight Action taken: 1) The government gave the vessel owner a deadline to correct the gear deficiency.
	2000-261	2000/06	1) 1 No floodlight Action taken: 1) The government gave the vessel owner a deadline to correct the gear deficiency.
	2000-308	2000/10	1) 1 No floodlight Action taken: 1) The government is investigating the possible infractions.
	2000-425	2000/10	1) 1 No floodlight Action taken: 1) The government is investigating the possible infractions.
	2000-489	2000/10	1) 1 No floodlight Action taken: 1) The government is investigating the possible infractions.
	2000-567	2000/10 2000/10	1) 1 Unqualified AIDCP captain 2) 1 No floodlight Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
ECU 11	1999-706	2000/06 2000/06	1) 1 No raft 2) 1 No floodlight Action taken: 1) 2) The government gave the vessel owner a deadline to correct the gear deficiency.
	2000-098	2000/06 2000/06	1) 1 No raft 2) 1 No floodlight Action taken: 1) 2) The government gave the vessel owner a deadline to correct the gear deficiency.
	2000-218	2000/06 2000/06 2000/10	1) 1 No raft 2) 1 No floodlight 3) 1 Unqualified AIDCP captain Action taken: 1) 2) The government gave the vessel owner a deadline to correct the gear deficiency. 3) The government initiated the proper administrative process to investigate the possible infractions.
	2000-271	2000/06 2000/06 2000/10	1) 1 No raft 2) 1 No floodlight 3) 1 Unqualified AIDCP captain Action taken: 1) 2) The government gave the vessel owner a deadline to correct the gear deficiency. 3) The government initiated the proper administrative process to investigate the possible infractions.
	2000-342	2000/10 2000/10 2000/10 2000/10	1) 1 Unqualified AIDCP captain 2) 1 Fishing without a DSP 3) 1 No raft 4) 1 No floodlight Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions. 2) 3) 4) The government is investigating the possible infractions.

	2000-400	2000/10 2000/10	1) 1 No raft 2) 1 No floodlight Action taken: 1) 2) The government is investigating the possible infractions.
	2000-511	2001/01 2001/01	1) 1 No raft 2) 1 No floodlight
	2000-640	2001/01 2001/01 2001/01	1) 1 Unqualified AIDCP captain 2) 1 No raft 3) 1 No floodlight
ECU 12	1999-671	2000/10	1) 1 Unqualified AIDCP captain Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
	2000-049	2000/06 2000/06 2000/06 2000/10	1) 1 No raft 2) 1 No floodlight 3) 1 No mask/snorkel 4) 1 Unqualified AIDCP captain Action taken: 1) 2) 3) The government gave the vessel owner a deadline to correct the gear deficiency. 4) The government initiated the proper administrative process to investigate the possible infractions.
	2000-093	2000/06 2000/06 2000/10	1) 1 No raft 2) 1 No mask/snorkel 3) 1 Unqualified AIDCP captain Action taken: 1) 2) The government gave the vessel owner a deadline to correct the gear deficiency. 3) The government initiated the proper administrative process to investigate the possible infractions.
	2000-180	2000/06 2000/10	1) 1 No raft 2) 1 Unqualified AIDCP captain Action taken: 1) The government gave the vessel owner a deadline to correct the gear deficiency. 2) The government initiated the proper administrative process to investigate the possible infractions.
ECU 13	2000-636	2001/01	1) 1 Observer interference
ECU 14	2000-166	2000/06	1) 1 Fishing without a DSP Action taken: 1) The government initiated the proper administrative process.
ECU 15	1999-680	2000/06 2000/10	1) 1 No mask/snorkel 2) 1 Unqualified AIDCP captain Action taken: 1) The government gave the vessel owner a deadline to correct the gear deficiency. 2) The government initiated the proper administrative process to investigate the possible infractions.
	2000-127	2000/10	1) 1 Unqualified AIDCP captain Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
	2000-211	2000/10	1) 1 Unqualified AIDCP captain Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
	2000-287	2000/10	1) 1 Unqualified AIDCP captain Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
	2000-434	2000/10	1) 1 Unqualified AIDCP captain Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
	2000-519	2001/01 2001/01	1) 1 Unqualified AIDCP captain 2) 1 No speedboat bridles
ECU 16	2000-160	2000/10	1) 1 Unqualified AIDCP captain Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
	2000-279	2000/10	1) 1 Unqualified AIDCP captain Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.

ECU 17	2000-115	2000/06	1) 1 No speedboat bridles Action taken: 1) The government gave the vessel owner a deadline to correct the gear deficiency.
	2000-256	2000/10	1) 1 No speedboat bridles Action taken: 1) The government is investigating the possible infractions.
	2000-485	2000/10	1) 1 No speedboat bridles Action taken: 1) The government is investigating the possible infractions.
ECU 18	2000-459	2000/10	1) 1 No raft
		2000/10	2) 1 No mask/snorkel Action taken: 1) 2) The government is investigating the possible infractions.
ECU 19	2000-090	2000/06	1) 1 No floodlight Action taken: 1) The government gave the vessel owner a deadline to correct the gear deficiency.
	2000-275	2000/10	1) 1 No floodlight Action taken: 1) The government is investigating the possible infractions.
	2000-387	2000/10	1) 1 No floodlight Action taken: 1) The government is investigating the possible infractions.
	2000-542	2001/01	1) 1 No floodlight
ECU 20	1999-668	2000/06	1) 1 Fishing without a DML
		2000/06	2) 1 No floodlight Action taken: 1) The IRP decided that no infraction occurred. 2) The government gave the vessel owner a deadline to correct the gear deficiency.
	2000-152	2000/06	1) 1 No floodlight Action taken: 1) The government gave the vessel owner a deadline to correct the gear deficiency.
	2000-246	2000/06	1) 1 No floodlight Action taken: 1) The government gave the vessel owner a deadline to correct the gear deficiency.
	2000-330	2000/10	1) 1 No speedboat bridles
	2000/10	2) 1 No floodlight Action taken: 1) 2) The government is investigating the possible infractions.	
	2000-481	2000/10	1) 1 No floodlight
ECU 21	2000-453	2001/01	1) 1 No raft
		2001/01	2) 1 No speedboat bridles
		2001/01	3) 1 No floodlight

GUATEMALA

<i>Vessel</i>	<i>IRP recno</i>	<i>Review date</i>	<i>Identified infractions</i>
GTM 1	1999-673	2000/06	1) 1 No floodlight Action taken: 1) National regulations do not require dolphin safety gear if the vessel is not fishing in association with dolphins.
GTM 2	1999-678	2000/06	1) 1 Fishing without a DSP Action taken: 1) National regulations do not require dolphin safety gear if the vessel is not fishing in association with dolphins.
	2000-499	2001/01	1) 1 Observer interference Action taken: 1) The government is investigating the possible infractions.
GTM 3	1999-660	2000/06	1) 1 Fishing without a DSP Action taken: 1) National regulations do not require dolphin safety gear if the vessel is not fishing in association with dolphins.
	2000-626	2001/01	1) 1 Observer interference Action taken: 1) The government is investigating the possible infractions.

MEXICO

<i>Vessel</i>	<i>IRP recno</i>	<i>Review date</i>	<i>Identified infractions</i>
MEX 1	2000-030	2000/06	1) 1 Night sets Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.

	2000-433	2000/10 2000/10	1) 3 Night sets 2) 5 Explosives use Action taken: 1) 2) The government initiated the proper administrative process to investigate the possible infractions.
MEX 2	2000-324	2000/10	1) 1 Fishing without a DML Action taken: 1) A sanction was applied
	2000-556	2001/01	1) 1 Fishing without a DML Action taken: 1) A sanction was applied
MEX 3	2000-215	2000/10 2000/10	1) 2 No backdown 2) 2 Sets without rescue Action taken: 1) 2) After investigating, the government decided that no infraction occurred.
MEX 4	2000-183	2000/06	1) 1 Explosives use Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
	2000-615	2001/01	1) 1 Observer interference Action taken: 1) After investigating, the government decided that no infraction occurred.
MEX 5	2000-235	2000/10	1) 1 Explosives use Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
MEX 6	2000-047	2000/10	1) 1 No speedboat bridles Action taken: 1) The government urged the fishing captain to avoid this infraction.
MEX 7	2000-038	2000/10	1) 1 Night sets Action taken: 1) After investigating the circumstances, and due to no resulting dolphin mortality, the government decided to issue a warning to the fishing captain to avoid making dolphin sets close to the time of sunset.
MEX 8	1999-490	2000/06	1) 1 No raft Action taken: 1) The government recommended that the vessel owner provide the required equipment.
	2000-223	2000/06	1) 1 No floodlight Action taken: 1) The government recommended that the vessel owner provide the required equipment.
	2000-576	2001/01	1) 1 No speedboat bridles Action taken: 1) After investigating, the government decided that no infraction occurred, but issued a warning to avoid this kind of situation.
	2000-598	2001/01	1) 6 Explosives use Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
MEX 9	2000-007	2000/06 2000/10	1) 1 Night sets 2) 1 Unqualified AIDCP captain Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions. 2) The government determined that there was no infraction because the fishing captain is now included in the AIDCP List of Qualified Fishing Captains.
	2000-168	2000/10	1) 1 Unqualified AIDCP captain Action taken: 1) The government determined that there was no infraction because the fishing captain is now included in the AIDCP List of Qualified Fishing Captains.
	2000-225	2000/10 2000/10	1) 1 Unqualified AIDCP captain 2) 2 Night sets Action taken: 1) The government determined that there was no infraction because the fishing captain is now included in the AIDCP List of Qualified Fishing Captains. 2) The government initiated the proper administrative process to investigate the possible infractions.

	2000-364	2000/10 2000/10	1) 1 Unqualified AIDCP captain 2) 1 No speedboat bridles Action taken: 1) The government determined that there was no infraction because the fishing captain is now included in the AIDCP List of Qualified Fishing Captains. 2) The government urged the fishing captain to avoid this infraction.
	2000-456	2000/10 2000/10 2000/10 2000/10	1) 1 Unqualified AIDCP captain 2) 1 Night sets 3) 2 Explosives use 4) 1 No floodlight Action taken: 1) The government determined that there was no infraction because the fishing captain is now included in the AIDCP List of Qualified Fishing Captains. 2) 3) The government initiated the proper administrative process to investigate the possible infractions. 4) The government urged the fishing captain to avoid this infraction.
MEX 10	1999-564	2000/06	1) 1 No speedboat bridles Action taken: 1) The government recommended that the vessel owner provide the required equipment.
	2000-118	2000/10 2000/10 2000/10	1) 1 Unqualified AIDCP captain 2) 1 Sets sackup/brail 3) 1 No floodlight Action taken: 1) The government determined that there was no infraction because the fishing captain is now included in the AIDCP List of Qualified Fishing Captains. 2) The government decided a reasonable effort was made to rescue dolphins, so this was not classified as a possible infraction. However, a letter was sent to the vessel owner asking him to avoid this type of infraction. 3) The government urged the fishing captain to avoid this infraction.
	2000-574	2001/01	1) 1 Unqualified AIDCP captain Action taken: 1) The government determined that there was no infraction because the fishing captain is now included in the AIDCP List of Qualified Fishing Captains.
MEX 11	2000-010	2000/10	1) 1 Unqualified AIDCP captain
MEX 12	1999-690	2000/06	1) 1 No floodlight Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
	2000-097	2000/06	1) 1 No floodlight Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
MEX 13	1999-290	2000/06	1) 1 No speedboat bridles Action taken: 1) The government recommended that the vessel owner provide the required equipment.
	2000-390	2001/01 2001/01 2001/01	1) 1 Unqualified AIDCP captain 2) 1 No backdown 3) 1 No speedboat bridles Action taken: 1) The government determined that there was no infraction because the fishing captain is now included in the AIDCP List of Qualified Fishing Captains. 2) 3) After investigating, the government decided that no infraction occurred.
MEX 14	2000-040	2000/06	1) 1 No floodlight Action taken: 1) The government recommended that the vessel owner provide the required equipment.
	2000-548	2001/01 2001/01 2001/01 2001/01 2001/01	1) 1 No backdown 2) 1 Observer interference 3) 3 Night sets 4) 3 Explosives use 5) 1 Sets without rescue Action taken: 1) 2) 3) 4) 5) The government initiated the proper administrative process to investigate the possible infractions.

MEX 15	2000-057	2000/10	1) 1 Unqualified AIDCP captain Action taken: 1) The government determined that there was no infraction because the fishing captain is now included in the AIDCP List of Qualified Fishing Captains.
MEX 16	2000-537	2000/10	1) 1 Explosives use Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
MEX 17	2000-233	2000/10	1) 1 No speedboat bridles Action taken: 1) The government urged the fishing captain to avoid this infraction.
MEX 18	2000-041	2000/06	1) 1 No floodlight Action taken: 1) The government recommended that the vessel owner provide the required equipment.
	2000-099	2000/06	1) 1 Observer interference
		2000/06	2) 1 Night sets
		2000/06	3) 1 No floodlight Action taken: 1) After investigating, the government decided that no infraction occurred. 2) 3) The government initiated the proper administrative process to investigate the possible infractions.
	2000-232	2000/10	1) 1 Night sets Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
	2000-297	2000/10	1) 1 Night sets
		2000/10	2) 1 No floodlight Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions. 2) The government urged the fishing captain to avoid this infraction.
	2000-419	2001/01	1) 1 Night sets Action taken: 1) After investigating, the government decided that no infraction occurred.
	2000-497	2000/10	1) 1 No floodlight Action taken: 1) The government urged the fishing captain to avoid this infraction.
	2000-533	2000/10	1) 1 No floodlight Action taken: 1) The government urged the fishing captain to avoid this infraction.
	2000-562	2001/01	1) 2 Explosives use Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
	2000-599	2001/01	1) 1 Explosives use Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
MEX 19	2000-243	2000/06	1) 1 Explosives use Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
MEX 20	2000-437	2000/10	1) 1 No raft Action taken: 1) The government urged the fishing captain to avoid this infraction.
MEX 21	1999-324	2000/06	1) 1 No speedboat bridles Action taken: 1) The government recommended that the vessel owner provide the required equipment.
	2000-044	2000/10	1) 1 Unqualified AIDCP captain Action taken: 1) The government determined that there was no infraction because the fishing captain is now included in the AIDCP List of Qualified Fishing Captains.
	2000-096	2000/10	1) 1 Unqualified AIDCP captain Action taken: 1) The government determined that there was no infraction because the fishing captain is now included in the AIDCP List of Qualified Fishing Captains.

	2000-259	2000/10	1) 1 Unqualified AIDCP captain Action taken: 1) The government determined that there was no infraction because the fishing captain is now included in the AIDCP List of Qualified Fishing Captains.
	2000-410	2000/10	1) 1 Unqualified AIDCP captain Action taken: 1) The government determined that there was no infraction because the fishing captain is now included in the AIDCP List of Qualified Fishing Captains.
	2000-494	2001/01	1) 1 Unqualified AIDCP captain Action taken: 1) The government determined that there was no infraction because the fishing captain is now included in the AIDCP List of Qualified Fishing Captains.
	2000-595	2001/01	1) 1 No floodlight Action taken: 1) An improper response to the infraction was reported.
MEX 22	2000-322	2000/10 2000/10	1) 1 No speedboat bridles 2) 1 No floodlight Action taken: 1) 2) The government urged the fishing captain to avoid this infraction.
MEX 23	2000-186	2000/06	1) 1 No speedboat bridles Action taken: 1) The government recommended that the vessel owner provide the required equipment.
	2000-546	2001/01	1) 1 Night sets Action taken: 1) After investigating, the government decided that no infraction occurred.
MEX 24	2000-326	2000/10	1) 1 No floodlight Action taken: 1) The government urged the fishing captain to avoid this infraction.
	2000-582	2001/01	1) 1 Observer interference Action taken: 1) After investigating, the government decided that no infraction occurred.
MEX 25	2000-029 2000-306 2000-557	2000/10 2000/10 2001/01	1) 1 Unqualified AIDCP captain 1) 1 Unqualified AIDCP captain 1) 1 Unqualified AIDCP captain Action taken: 1) The government determined that there was no infraction because the fishing captain is now included in the AIDCP List of Qualified Fishing Captains.
MEX 26	2000-199	2000/10 2000/10	1) 1 Unqualified AIDCP captain 2) 1 No speedboat bridles Action taken: 2) The government urged the fishing captain to avoid this infraction.
	2000-399 2000-579	2000/10 2001/01	1) 1 Unqualified AIDCP captain 1) 1 Unqualified AIDCP captain Action taken: 1) The government determined that there was no infraction because the fishing captain is now included in the AIDCP List of Qualified Fishing Captains.
MEX 27	2000-240	2000/10	1) 1 No speedboat bridles Action taken: 1) The government urged the fishing captain to avoid this infraction.
	2000-527	2001/01	1) 7 Explosives use Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
MEX 28	2000-135	2000/06	1) 1 Night sets Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
MEX 29	2000-257	2000/10	1) 1 No speedboat bridles Action taken: 1) The government urged the fishing captain to avoid this infraction.

	2000-320	2000/10 2000/10 2000/10	1) 1 Unqualified AIDCP captain 2) 1 Night sets 3) 1 Explosives use Action taken: 2) 3) The government initiated the proper administrative process to investigate the possible infractions.
	2000-480	2001/01	1) 1 Unqualified AIDCP captain Action taken: 1) The government determined that there was no infraction because the fishing captain is now included in the AIDCP List of Qualified Fishing Captains.
	2000-600	2001/01	1) 1 Unqualified AIDCP captain Action taken: 1) The government determined that there was no infraction because the fishing captain is now included in the AIDCP List of Qualified Fishing Captains.
MEX 30	1999-691	2000/06 2000/06	1) 1 Night sets 2) 1 Explosives use Action taken: 1) 2) The government initiated the proper administrative process to investigate the possible infractions.
	2000-216	2000/10	1) 2 Night sets Action taken: 1) After investigating the circumstances, and due to no resulting dolphin mortality, the government decided to issue a warning to the fishing captain to avoid making dolphin sets close to the time of sunset.
	2000-457	2000/10	1) 1 Explosives use Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
	2000-604	2001/01	1) 1 Night sets Action taken: 1) After investigating, the government decided that no infraction occurred.
MEX 31	2000-011	2000/06 2000/06	1) 1 Sets sackup/brail 2) 1 No floodlight Action taken: 1) After investigating, the government decided that no infraction occurred. 2) The government recommended that the vessel owner provide the required equipment.
	2000-184	2001/01	1) 1 No speedboat bridles Action taken: 1) After investigating, the government decided that no infraction occurred, but issued a warning to avoid this kind of situation.
MEX 32	2000-117	2000/06 2000/06 2000/06	1) 1 Observer interference 2) 22 Explosives use 3) 1 No floodlight Action taken: 1) 2) The government initiated the proper administrative process to investigate the possible infractions. 3) The government recommended that the vessel owner provide the required equipment.
MEX 33	2000-156	2000/06	1) 2 Explosives use Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions.
	2000-484	2001/01	1) 1 Night sets Action taken: 1) The government is investigating the possible infractions.
MEX 34	2000-008	2000/06 2000/06	1) 2 Sets sackup/brail 2) 1 No floodlight Action taken: 1) The government initiated the proper administrative process to investigate the possible infractions. 2) The government recommended that the vessel owner provide the required equipment.
	2000-587	2001/01 2001/01	1) 1 Unqualified AIDCP captain 2) 1 Explosives use Action taken: 1) The government determined that there was no infraction because the fishing captain is now included in the AIDCP List of Qualified Fishing Captains. 2) The government initiated the proper administrative process to investigate the possible infractions.

NICARAGUA			
<i>Vessel</i>	<i>IRP recno</i>	<i>Review date</i>	<i>Identified infractions</i>
NIC 1	1999-698	2000/06	1) 1 Fishing without a DML Action taken: 1) After investigating, the government decided that no infraction occurred.
	2000-597	2001/01	1) 1 No floodlight
PANAMA			
<i>Vessel</i>	<i>IRP recno</i>	<i>Review date</i>	<i>Identified infractions</i>
PAN 1	1999-700	2000/10	1) 1 Unqualified AIDCP captain
	2000-124	2000/06	1) 1 Observer interference
		2000/10	2) 1 Unqualified AIDCP captain Action taken: 1) After investigating, the government decided that no infraction occurred, but issued a warning to avoid this kind of situation.
PAN 2	2000-276	2000/10	1) 1 No speedboat bridles
UNITED STATES			
<i>Vessel</i>	<i>IRP recno</i>	<i>Review date</i>	<i>Identified infractions</i>
USA 1	2000-363	2000/10	1) 1 No floodlight Action taken: 1) After investigating, the government decided that no infraction occurred.
	2000-435	2000/10	1) 1 No floodlight Action taken: 1) After investigating, the government decided that no infraction occurred.
USA 2	2000-164	2000/06	1) 1 Observer interference Action taken: 1) A fine was applied.
VENEZUELA			
<i>Vessel</i>	<i>IRP recno</i>	<i>Review date</i>	<i>Identified infractions</i>
VEN 1	2000-147	2000/06	1) 1 Night sets
		2000/06	2) 3 Explosives use
		2000/06	3) 1 No floodlight Action taken: 1) 2) 3) A fine was applied.
	2000-393	2000/10	1) 3 Explosives use Action taken: 1) The government is investigating the possible infractions.
	2000-525	2001/01	1) 1 Explosives use
		2001/01	2) 1 No floodlight Action taken: 1) 2) A fine was applied.
	2000-643	2001/01	1) 1 No floodlight Action taken: 1) A fine was applied.
VEN 2	2000-034	2000/06	1) 1 Night sets
		2000/06	2) 1 No floodlight Action taken: 1) 2) A fine was applied.
	2000-234	2000/06	1) 1 Night sets
		2000/06	2) 1 Explosives use Action taken: 1) 2) A fine was applied.
	2000-403	2000/10	1) 1 No backdown
		2000/10	2) 1 Night sets
		2000/10	3) 1 No floodlight Action taken: 1) 2) 3) The government is investigating the possible infractions.
	2000-589	2001/01	1) 12 Explosives use Action taken: 1) A fine was applied.
	2000-617	2001/01	1) 1 No floodlight Action taken: 1) A fine was applied.
VEN 3	2000-498	2001/01	1) 4 Night sets
		2001/01	2) 1 No floodlight Action taken: 1) 2) A fine was applied.

VEN 4	2000-376	2000/10	1) 1 No floodlight Action taken: 1) The government is investigating the possible infractions.
	2000-547	2001/01	1) 1 No floodlight Action taken: 1) A fine was applied.
VEN 5	2000-063	2000/06	1) 1 No floodlight
	2000-438	2000/10	1) 1 Explosives use Action taken: 1) The government is investigating the possible infractions.
VEN 6	1999-695	2000/06	1) 1 Night sets Action taken: 1) A fine was applied.
	2000-181	2000/10	1) 2 Night sets
		2000/10	2) 19 Explosives use
		2000/10	3) 1 No floodlight Action taken: 1) 2) 3) The government is investigating the possible infractions.
	2000-354	2000/10	1) 1 Night sets
2000-561	2000/10	2) 1 No floodlight Action taken: 1) 2) The government is investigating the possible infractions.	
	2001/01	1) 1 Night sets Action taken: 1) A fine was applied.	
VEN 7	2000-012	2000/06	1) 1 Night sets
		2000/06	2) 1 Explosives use
		2000/06	3) 1 No floodlight Action taken: 1) 2) 3) A fine was applied.
	2000-089	2000/06	1) 3 Night sets
		2000/06	2) 1 No floodlight Action taken: 1) 2) A fine was applied.
	2000-134	2000/06	1) 1 Sets sackup/brail
		2000/06	2) 1 Night sets
		2000/06	3) 1 No floodlight Action taken: 1) 2) 3) A fine was applied.
	2000-230	2000/06	1) 1 Night sets
		2000/06	2) 15 Explosives use
		2000/06	3) 1 No floodlight Action taken: 1) 2) 3) A fine was applied.
	2000-304	2000/10	1) 1 No floodlight Action taken: 1) The government is investigating the possible infractions.
	2000-414	2000/10	1) 5 Explosives use
2000/10		2) 1 No floodlight Action taken: 1) 2) The government is investigating the possible infractions.	
2000-468	2000/10	1) 17 Explosives use	
	2000/10	2) 1 No floodlight Action taken: 1) 2) The government is investigating the possible infractions.	
2000-577	2001/01	1) 1 No backdown	
	2001/01	2) 1 Night sets	
	2001/01	3) 4 Explosives use	
	2001/01	4) 1 Sets without rescue	
	2001/01	5) 1 No floodlight Action taken: 1) 2) 3) 4) 5) A fine was applied.	
VEN 8	2000-526	2001/01	1) 1 Night sets
		2001/01	2) 2 Explosives use
		2001/01	3) 1 No floodlight Action taken: 1) 2) 3) A fine was applied.
VEN 9	2000-005	2000/06	1) 2 Explosives use Action taken: 1) A fine was applied.
	2000-202	2000/10	1) 16 Explosives use Action taken: 1) The government is investigating the possible infractions.
VEN 10	2000-065	2000/06	1) 1 Explosives use Action taken: 1) The government initiated the proper administrative process.

	2000-343	2000/10	1) 8 Explosives use Action taken: 1) The government is investigating the possible infractions.
	2000-530	2001/01	1) 9 Explosives use Action taken: 1) A fine was applied.
VEN 11	2000-182	2000/10	1) 1 Night sets Action taken: 1) The government is investigating the possible infractions.
	2000-412	2000/10	1) 1 Night sets Action taken: 1) The government is investigating the possible infractions.
VEN 12	2000-162	2000/06 2000/06 2000/06	1) 1 Night sets 2) 16 Explosives use 3) 1 No floodlight Action taken: 1) 2) 3) A fine was applied.
	2000-336	2000/10	1) 1 Night sets Action taken: 1) The government is investigating the possible infractions.
	2000-578	2001/01 2001/01	1) 1 Night sets 2) 1 No floodlight Action taken: 1) 2) A fine was applied.
VEN 13	1999-605	2000/06 2000/06	1) 1 Night sets 2) 6 Explosives use Action taken: 1) 2) The government initiated the proper administrative process.
	2000-073	2000/06	1) 1 Night sets Action taken: 1) The government initiated the proper administrative process.
	2000-543	2001/01	1) 1 No floodlight Action taken: 1) A fine was applied.
VEN 14	2000-413	2000/10	1) 1 No floodlight Action taken: 1) The government is investigating the possible infractions.
	2000-535	2000/10	1) 1 No floodlight Action taken: 1) The government is investigating the possible infractions.
	2000-569	2001/01 2001/01	1) 1 No backdown 2) 1 Sets without rescue Action taken: 1) 2) A fine was applied.
VEN 15	2000-004	2000/06	1) 1 Explosives use Action taken: 1) The government initiated the proper administrative process.
	2000-169	2000/10	1) 2 Explosives use Action taken: 1) The government is investigating the possible infractions.
	2000-298	2000/10	1) 1 Explosives use Action taken: 1) The government is investigating the possible infractions.
	2000-385	2000/10	1) 1 No floodlight Action taken: 1) The government is investigating the possible infractions.
	2000-566	2001/01	1) 1 Explosives use Action taken: 1) A fine was applied.
VEN 16	2000-017	2000/06	1) 1 No floodlight Action taken: 1) A fine was applied.
	2000-244	2000/10	1) 1 No floodlight Action taken: 1) The government is investigating the possible infractions.
VEN 17	2000-027	2000/06	1) 2 Night sets Action taken: 1) A fine was applied.
	2000-161	2000/06 2000/06	1) 1 Night sets 2) 8 Explosives use Action taken: 1) 2) A fine was applied.
VEN 18	2000-278	2000/10 2000/10	1) 4 Night sets 2) 1 No floodlight Action taken: 1) 2) The government is investigating the possible infractions.
	2000-461	2000/10	1) 1 Explosives use Action taken: 1) The government is investigating the possible infractions.

	2000-552	2001/01 2001/01	1) 1 Night sets 2) 4 Explosives use Action taken: 1) 2) A fine was applied.
	2000-607	2001/01 2001/01	1) 1 Night sets 2) 4 Explosives use Action taken: 1) 2) A fine was applied.
VEN 19	1999-648	2000/06 2000/06	1) 1 Night sets 2) 1 Explosives use Action taken: 1) 2) A fine was applied.
	2000-335	2000/10	1) 1 Night sets Action taken: 1) The government is investigating the possible infractions.
	2000-495	2001/01 2001/01 2001/01	1) 1 No backdown 2) 1 Explosives use 3) 1 No floodlight Action taken: 1) 2) 3) A fine was applied.
VEN 20	2000-165	2000/10	1) 1 Night sets Action taken: 1) The government is investigating the possible infractions.
VEN 21	2000-146	2000/06 2000/06	1) 1 Night sets 2) 1 No floodlight Action taken: 1) After investigating, the government decided that no infraction occurred, but issued a warning to avoid this kind of situation. 2) The government initiated the proper administrative process.
	2000-282	2000/10	1) 2 Explosives use Action taken: 1) The government is investigating the possible infractions.
	2000-469	2000/10	1) 1 No floodlight Action taken: 1) The government is investigating the possible infractions.
	2000-622	2001/01 2001/01	1) 1 Night sets 2) 2 Explosives use Action taken: 1) 2) A fine was applied.
VEN 22	2000-185	2000/06 2000/06	1) 1 Observer interference 2) 1 Explosives use Action taken: 1) After investigating, the government decided that no infraction occurred. 2) A fine was applied.
	2000-386	2000/10 2000/10	1) 1 Night sets 2) 7 Explosives use Action taken: 1) 2) The government is investigating the possible infractions.

VANUATU

<i>Vessel</i>	<i>IRP recno</i>	<i>Review date</i>	<i>Identified infractions</i>
VUT 1	1999-703	2000/06 2000/10	1) 1 No raft 2) 1 Unqualified AIDCP captain Action taken: 1) The vessel has obtained the required equipment.
	2000-033	2000/10	1) 1 Unqualified AIDCP captain
	2000-109	2000/10	1) 1 Unqualified AIDCP captain
	2000-145	2000/10	1) 1 Unqualified AIDCP captain
VUT 2	2000-082	2000/06	1) 1 Night sets Action taken: 1) A warning was issued to avoid this type of infraction.
	2000-293	2000/10	1) 1 No floodlight Action taken: 1) No action was taken since the vessel has left the national fleet.
	2000-465	2000/10	1) 1 No Observer Action taken: 1) No action was taken since the vessel has left the national fleet.
VUT 3	1999-707	2000/06	1) 1 No raft Action taken: 1) After investigating, the government decided that no infraction occurred.
VUT 4	1999-699	2000/06	1) 1 Fishing without a DML Action taken: 1) After investigating, the government decided that no infraction occurred.

	2000-123	2000/06 2000/06	1) 1 Observer interference 2) 1 No floodlight Action taken: 1) After investigating, the government decided that no infraction occurred, but issued a warning to avoid this kind of situation. 2) The vessel has obtained the required equipment.
VUT 5	2000-020	2000/06	1) 1 No floodlight Action taken: 1) The vessel has obtained the required equipment.
	2000-172	2000/06	1) 1 Fishing without a DSP Action taken: 1) The vessel has obtained the required equipment.
	2000-383	2000/10	1) 1 No floodlight Action taken: 1) A letter was sent to the vessel owner.
VUT 6	2000-035	2000/06 2000/06 2000/06	1) 1 Night sets 2) 19 Explosives use 3) 1 No floodlight Action taken: 1) A letter was sent to the vessel owner. 2) The government is in the process of imposing a monetary fine. 3) A letter was sent to the vessel owner.
VUT 7	2000-055	2000/06 2000/06 2000/06	1) 1 No backdown 2) 1 Sets sackup/brail 3) 1 Sets without rescue
	2000-328	2000/10	1) 1 No raft Action taken: 1) No action was taken since the vessel has left the national fleet.

OTHERS

<i>Vessel</i>	<i>IRP recno</i>	<i>Review date</i>	<i>Identified infractions</i>
OTH 1	2000-424	2000/10 2000/10	1) 1 Fishing without a DML 2) 1 No floodlight Action taken: 1) 2) No response was required - the government was not a participant to the Agreement during this trip.
	2000-570	2001/01 2001/01 2001/01	1) 1 Fishing without a DML 2) 15 Explosives use 3) 1 No floodlight Action taken: 1) 2) 3) No response was required - the government was not a participant to the Agreement during this trip.
OTH 2	2000-448	2000/10 2000/10	1) 1 Fishing without a DML 2) 1 Night sets Action taken: 1) 2) No response was required - the government was not a participant to the Agreement during this trip.
OTH 3	2000-450	2000/10 2000/10 2000/10	1) 1 No Observer 2) 1 No backdown 3) 1 Sets without rescue Action taken: 1) 2) 3) No action was taken since the vessel has left the national fleet.
	2000-591	2001/01	1) 1 Fishing without a DML Action taken: 1) No response was required - the government was not a participant to the Agreement during this trip.
OTH 4	1999-698	2000/06	1) 1 Fishing without a DML Action taken: 1) After investigating, the government decided that no infraction occurred.
	2000-597	2001/01	1) 1 No floodlight

Appendix 6.

RESPONSES FOR THREE TYPES OF POSSIBLE INFRACTIONS IDENTIFIED AT THE 24TH, 25TH AND 26TH MEETINGS OF THE IRP

OBSERVER INTERFERENCE

	No. of cases	No response	Responses									
			Under inves- tigation		No infraction		Infraction: no sanction		Infraction: warning		Infraction: sanction ¹	
Colombia	1	0 (0%)	1 (100%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	1 (100%)	
Ecuador	1	1 (100%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	
Guatemala	2	0 (0%)	2 (100%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	2 (100%)	
Mexico	5	3 (60%)	1 (20%)	1 (20%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	2 (40%)	
Panama	1	0 (0%)	0 (0%)	1 (100%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	1 (100%)	
U.S.A.	1	0 (0%)	1 (100%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	1 (100%)	
Vanuatu	1	0 (0%)	0 (0%)	1 (100%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	1 (100%)	
Venezuela	1	0 (0%)	0 (0%)	1 (100%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	1 (100%)	
Total:	13	4 (31%)	5 (38%)	4 (31%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	9 (69%)	

USE OF EXPLOSIVES

	No. of cases	No response	Responses									
			Under inves- tigation		No infraction		Infraction: no sanction		Infraction: warning		Infraction: sanction ¹	
Bolivia ²	15	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
Colombia	30	1 (3%)	28 (93%)	0 (0%)	1 ³ (3%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	29 (97%)	
Mexico	58	0 (0%)	58 (100%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	58 (100%)	
Vanuatu	19	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	19 (100%)	0 (0%)	19 (100%)	
Venezuela	178	0 (0%)	82 (46%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	96 (54%)	0 (0%)	178 (100%)	
Total:	285⁴	1 (0%)	168 (59%)	0 (0%)	1 (0%)	0 (0%)	0 (0%)	0 (0%)	115 (40%)	0 (0%)	284 (100%)	

NIGHT SETS

	No. of cases	No response	Responses									
			Under inves- tigation		No infraction		Infraction: no sanction		Infraction: warning		Infraction: sanction ¹	
Bolivia ²	1	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
Colombia	3	0 (0%)	2 (67%)	0 (0%)	1 ³ (33%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	3 (100%)	
Ecuador	1	0 (0%)	1 (100%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	1 (100%)	
Mexico	24	0 (0%)	18 (75%)	6 (25%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	24 (100%)	
Vanuatu	2	0 (0%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	2 (100%)	0 (0%)	0 (0%)	0 (0%)	2 (100%)	
Venezuela	43	0 (0%)	14 (33%)	1 (2%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)	28 (65%)	0 (0%)	43 (100%)	
Total:	73⁴	0 (0%)	35 (48%)	7 (10%)	1 (1%)	0 (0%)	2 (3%)	28 (38%)	0 (0%)	0 (0%)	73 (100%)	

¹ Sanction was applied or will be applied

² Non-Parties are advised of the possible infractions but no response is requested

³ All infractions deemed to be confirmed without objection

⁴ Total does not include cases by Bolivian vessels