

# Overview of the North Pacific Albacore Management Strategy Evaluation

IATTC SAC Meeting - May 15, 2018 – La Jolla, USA

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With thanks to the ISC ALBWG, Juan Valero, Huihua Lee, and all the stakeholders that participated in the NPALB MSE workshops

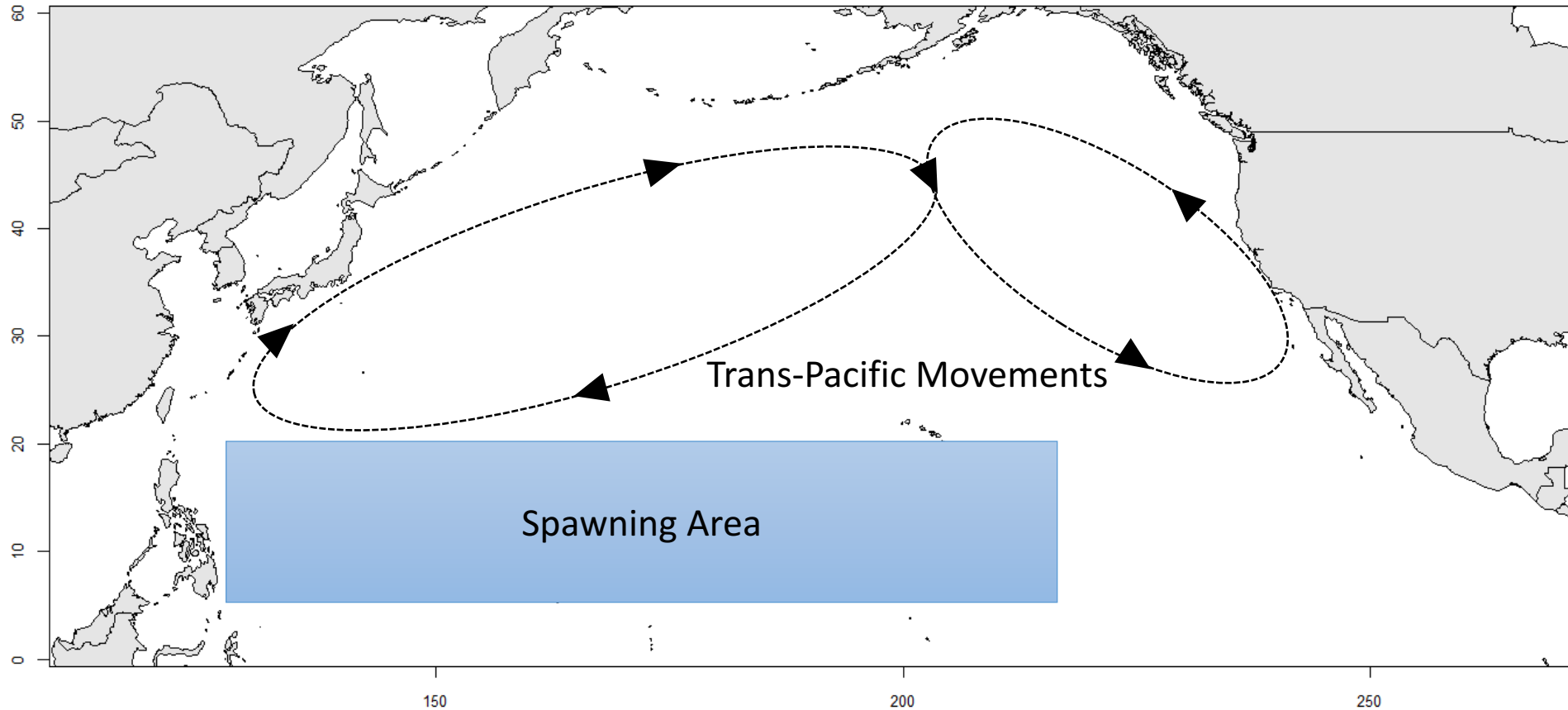


UNIVERSITY OF CALIFORNIA  
**SANTA CRUZ**



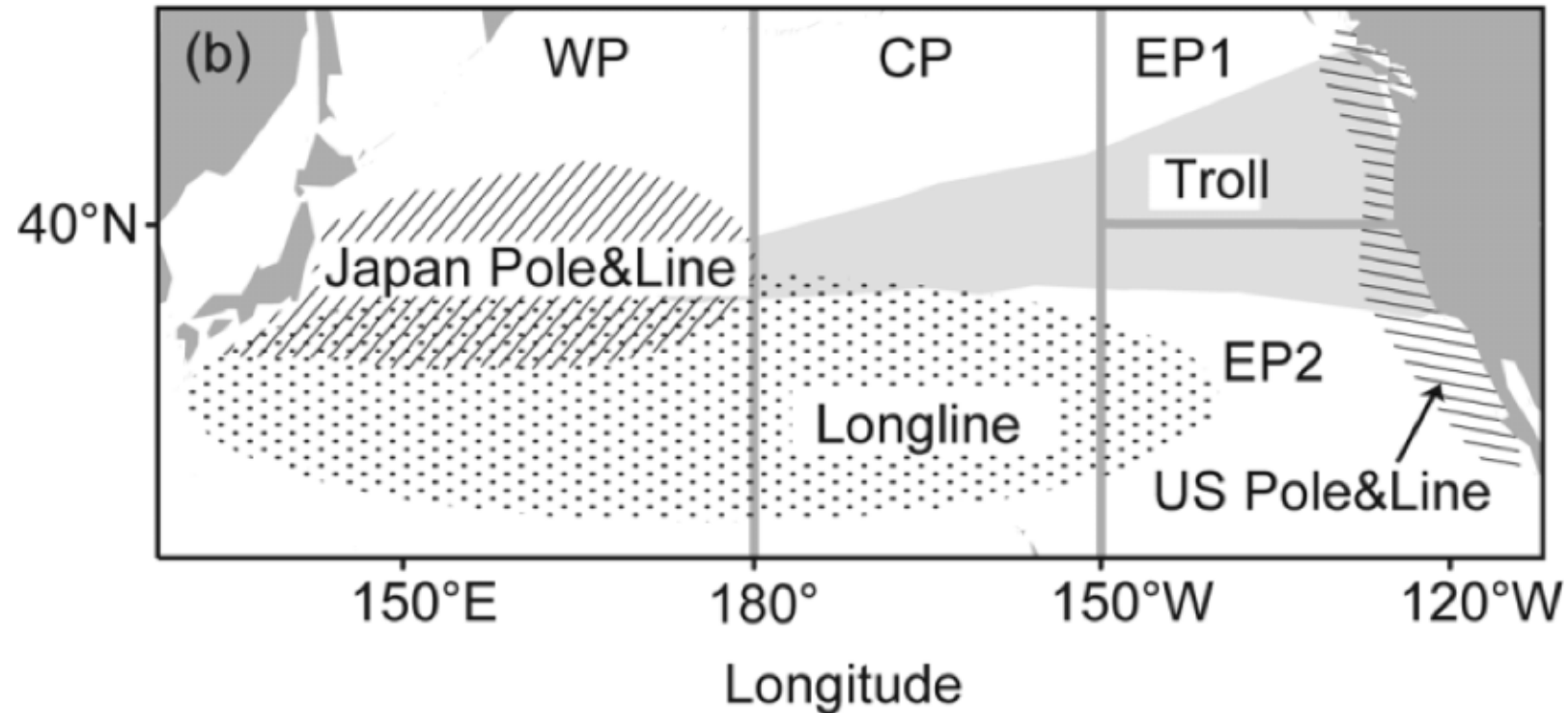
# North Pacific Albacore

Highly migratory species whose habitat spans the entire North Pacific Ocean



# North Pacific Albacore

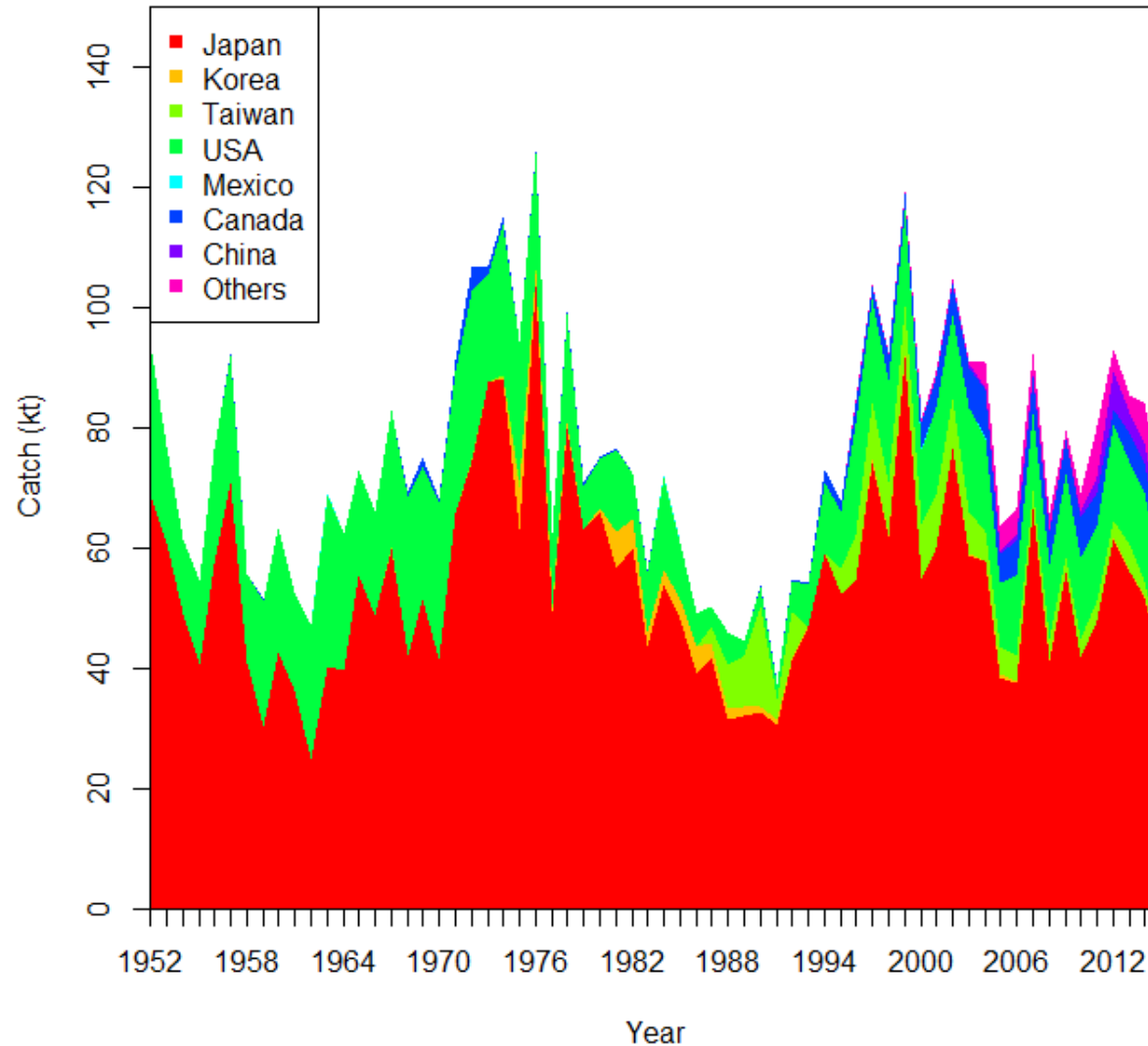
Fisheries include longline and surface gears



*Ichinokawa et al. 2008, Canadian Journal of Fisheries and Aquatic Sciences*

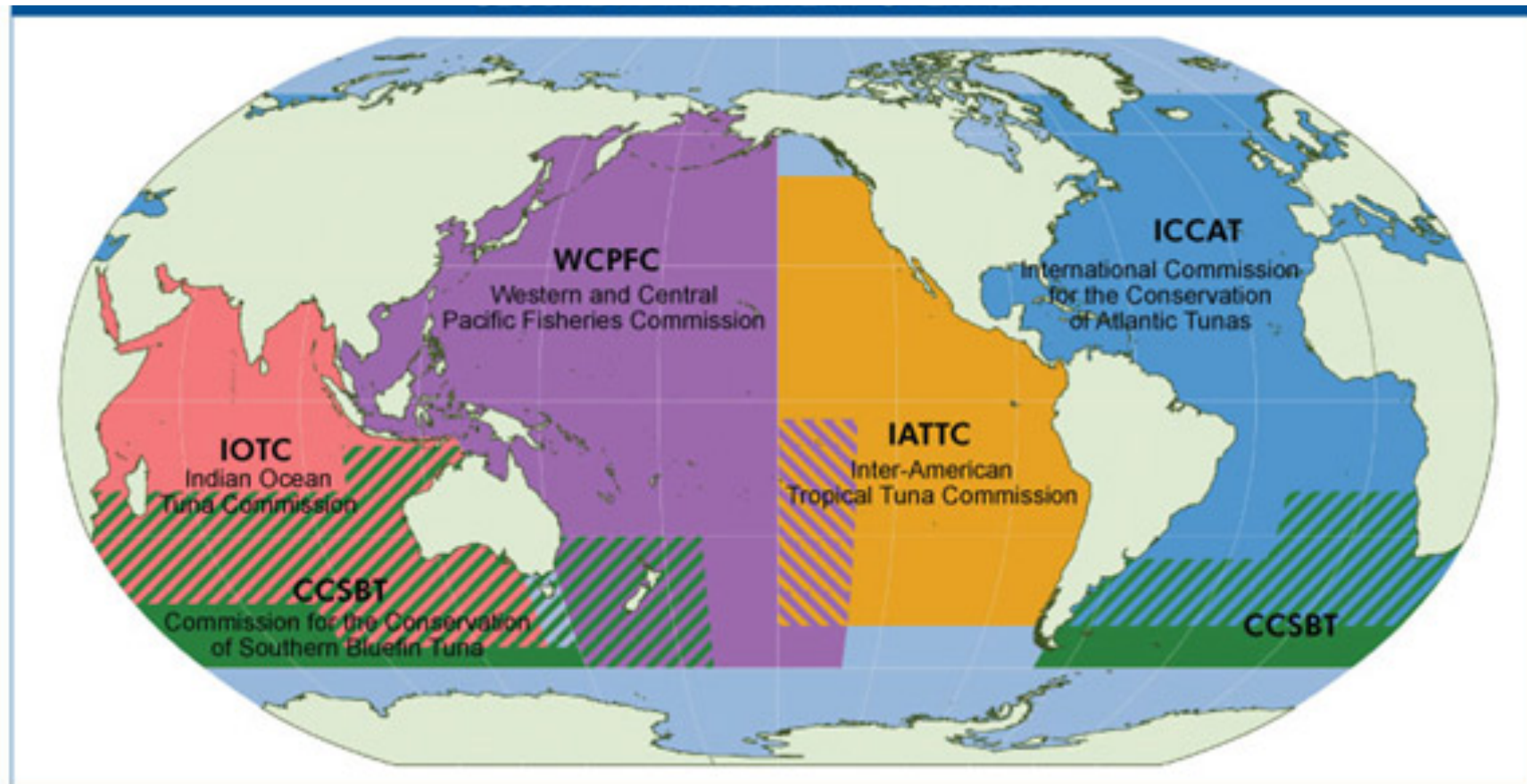
# North Pacific Albacore

Majority of the catch occurs in the Western Pacific



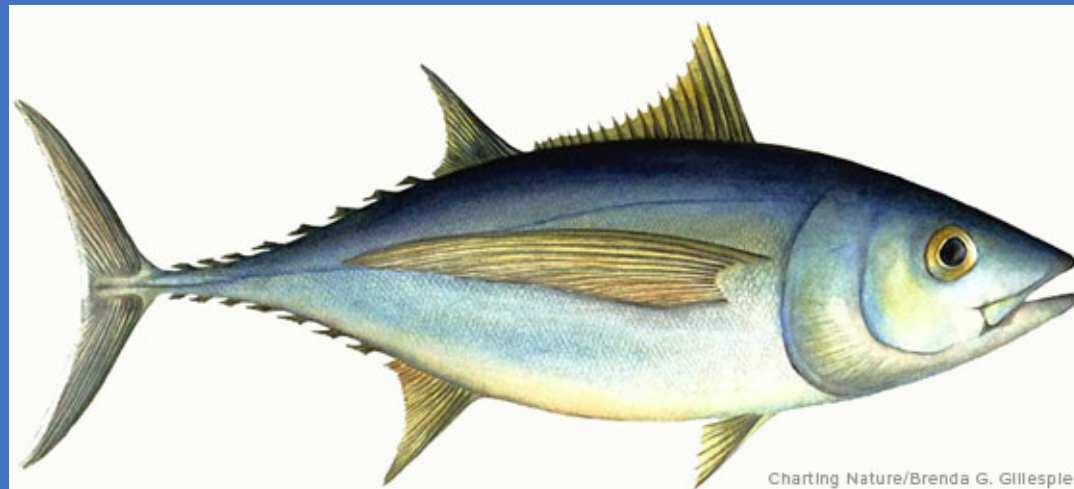
# North Pacific Albacore

Managed by two Regional Fisheries Management Organizations, WCPFC and IATTC



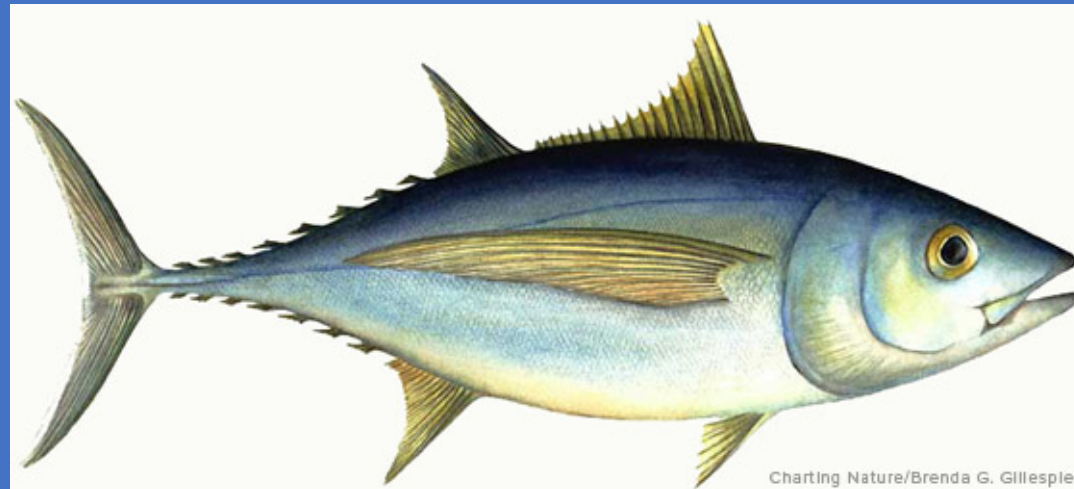
# North Pacific Albacore MSE

International effort involving the Albacore Working Group of the International Scientific Committee for Tuna and Tuna-like Species in the North Pacific Ocean (ISC), WCPFC, and IATTC



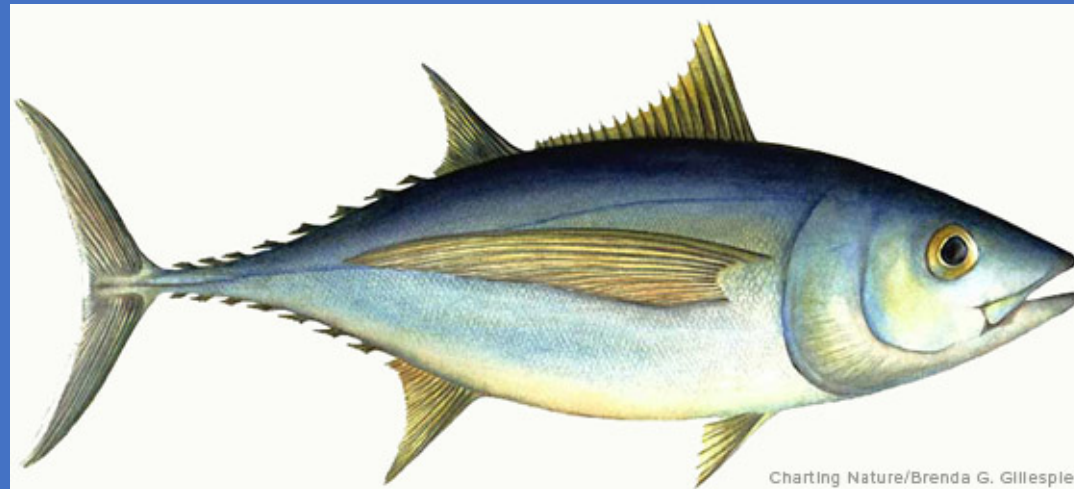
# North Pacific Albacore MSE

Examine performance of alternative management strategies and reference points for North Pacific albacore given uncertainty



# North Pacific Albacore MSE

Examine performance of **alternative management strategies** and **target reference points** for North Pacific albacore given uncertainty



Charting Nature/Brenda G. Gillespie



# Management strategies to be tested developed together with stakeholders

## 1<sup>st</sup> ISC MSE WS (16-17 April 2015 at Yokohama, JAPAN)

- **71 participants:** fishery managers, stakeholders, NGOs, and scientists
- **Purpose:** to learn about and understand the MSE process; review the objectives, benefits, and requirements to implement an MSE; as well as recent progress made by tuna RFMOs towards adopting and implementing the MSE process

## 2<sup>nd</sup> ISC MSE WS (24-25 May 2016 at Yokohama, JAPAN)

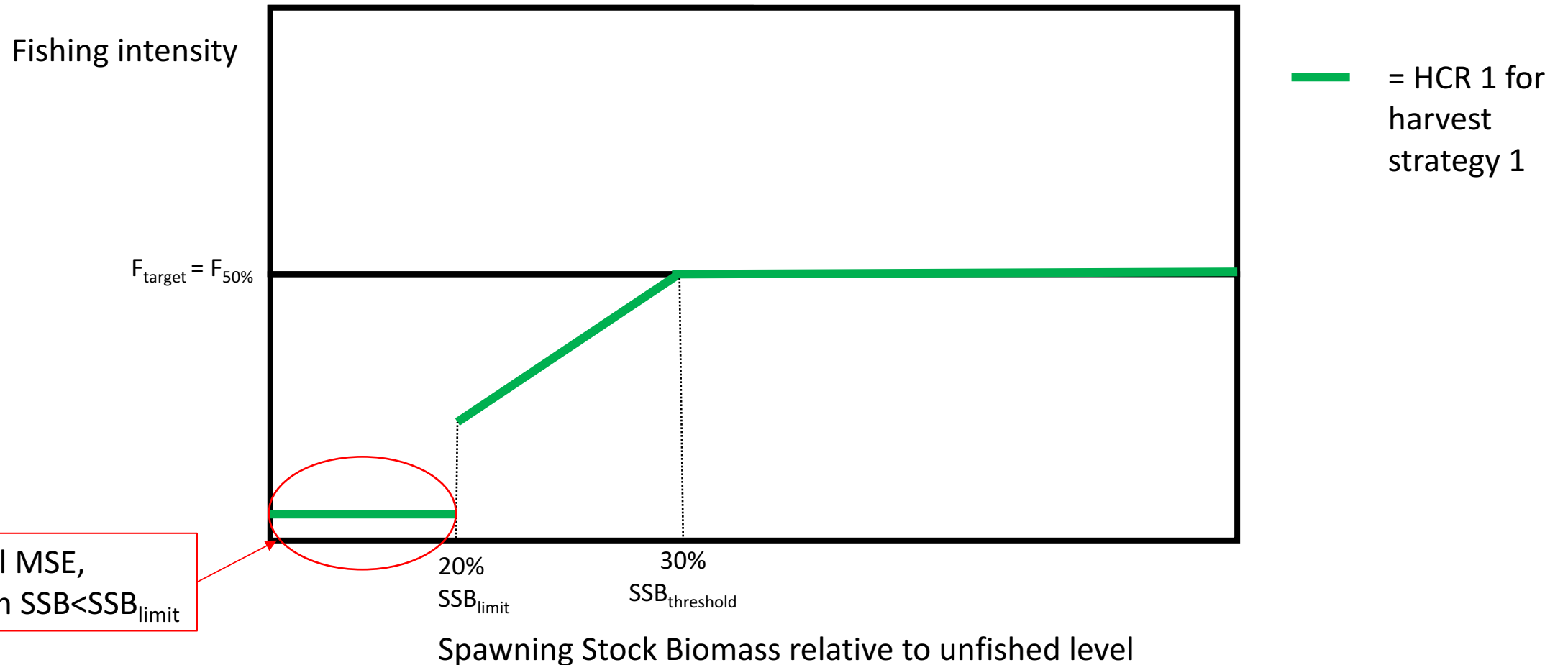
- **24 participants:** fishery managers, stakeholders, NGOs, and scientists
- **Purpose:** to develop management objectives and performance indicators, based on input from managers, stakeholders and scientists

## 3<sup>rd</sup> ISC MSE WS (17-19 October 2017 at Vancouver, CANADA)

- **23 participants:** fishery managers, stakeholders, NGOs, and scientists
- **Purpose:** to identify acceptable level of risk for each management objective; and develop candidate reference points and harvest control rules for testing

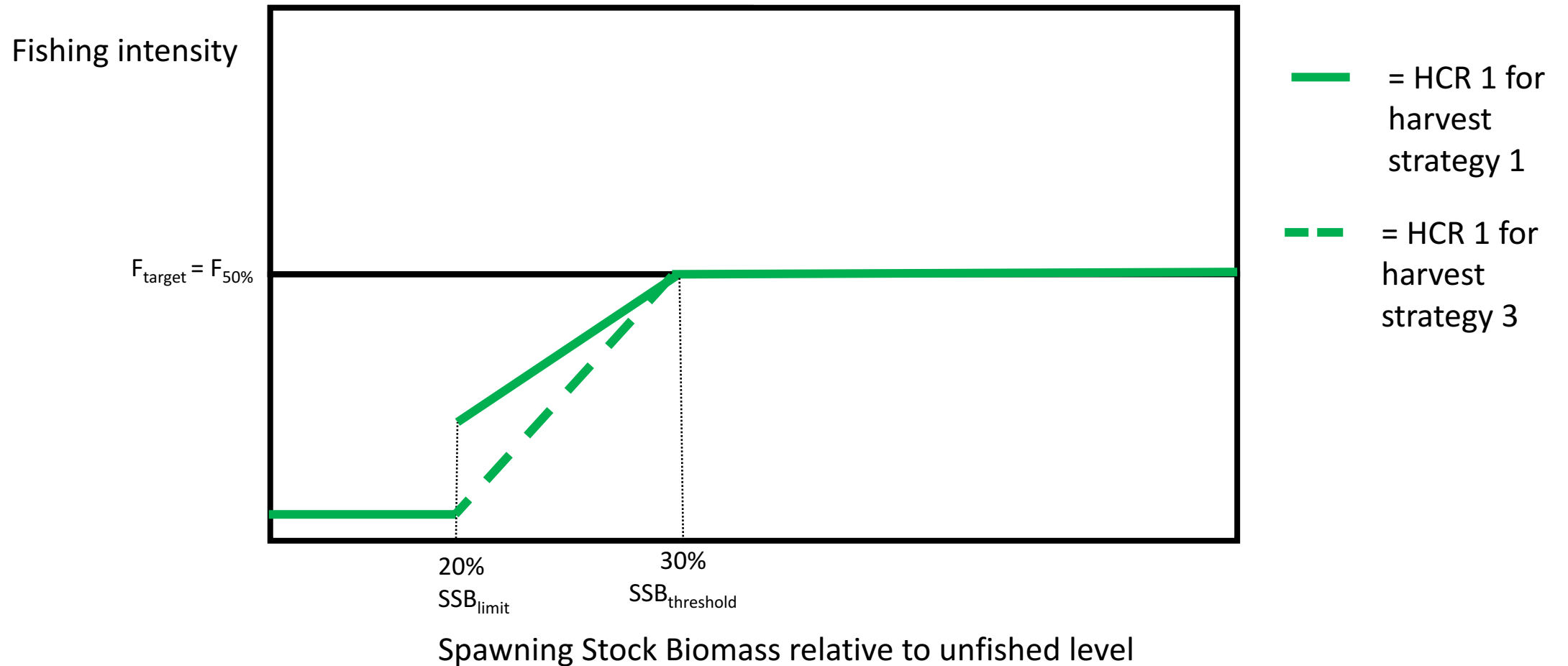
# North Pacific Albacore MSE

## Example harvest control rule (HCR) for Harvest Strategy (HS) 1



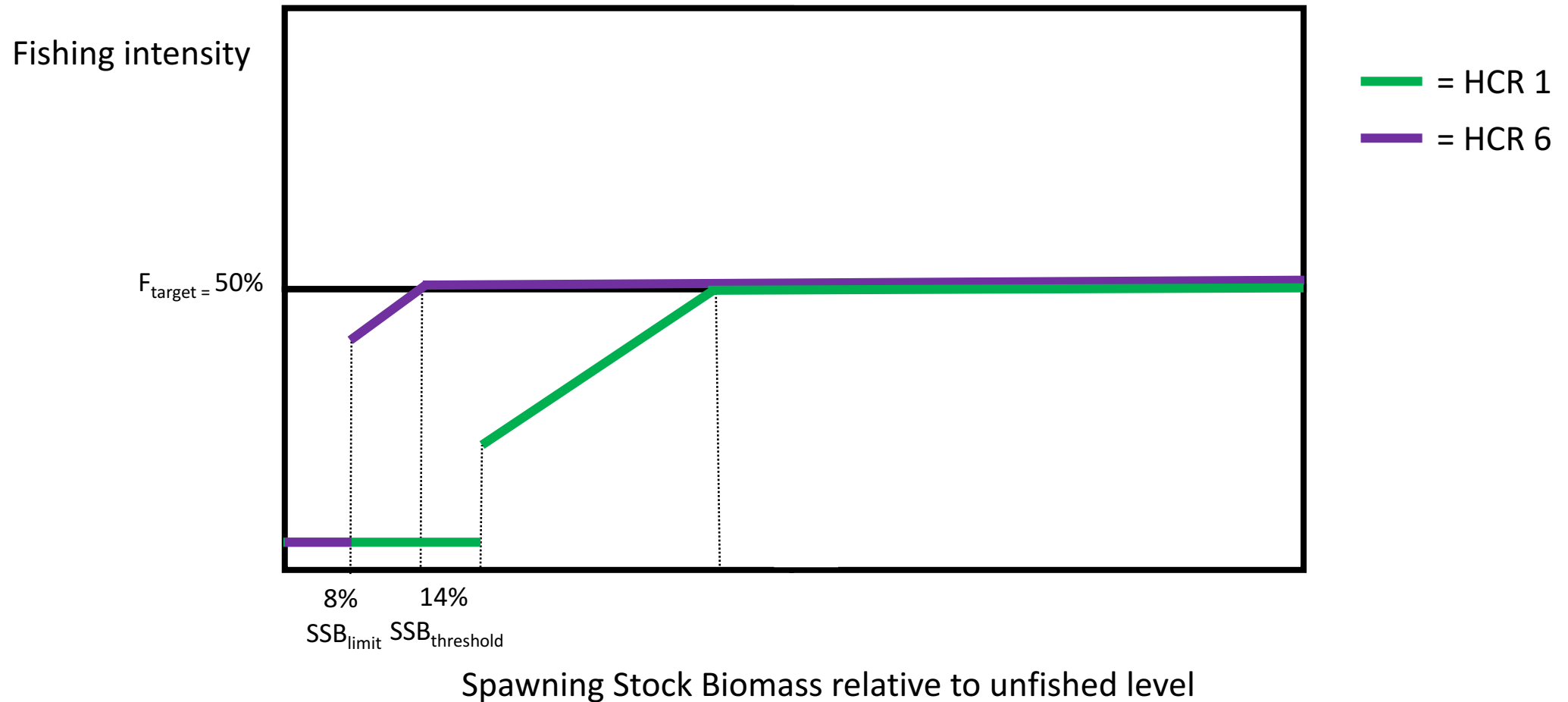
# North Pacific Albacore MSE

## Example HCR for HS3



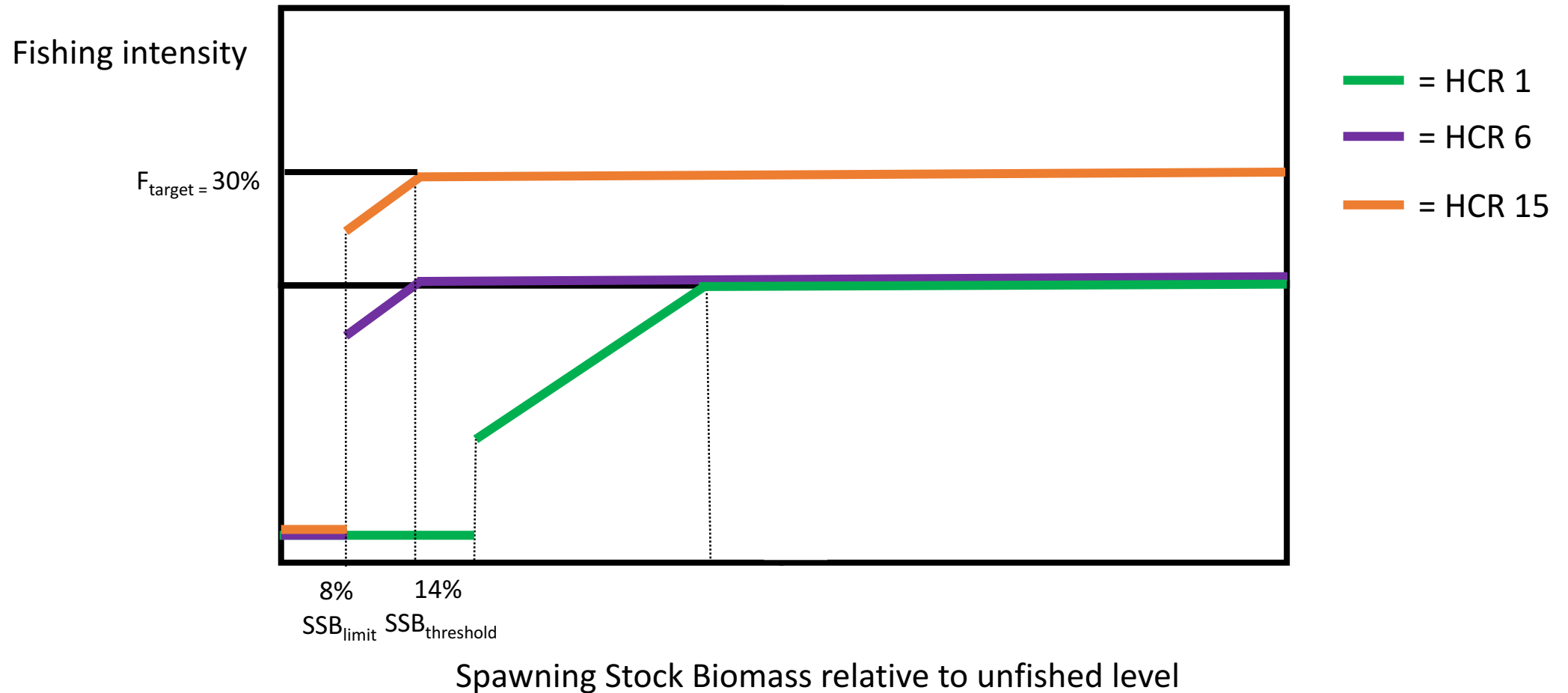
# North Pacific Albacore MSE

## Example HCRs for HS1



# North Pacific Albacore MSE

## Example HCRs for HS1



# 15 different Harvest Control Rules

Harvest strategy	Harvest control rule	Ftgt	SSBtgt	SSBthr	SSBlim
1	1	50	50	30	20
1	2	50	50	30	14
1	3	50	50	30	8
1	4	50	50	20	14
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1	11	40	40	20	8
1	12	40	40	14	8
1	13	30	30	20	14
1	14	30	30	20	8
1	15	30	30	14	8

# North Pacific Albacore MSE

## Harvest strategy 2 = IATTC tropical tuna rule

- If  $F$  exceeds  $F_{\text{TARGET}}$  ( $F_{\text{msy}}$ ) with a 50% probability  $F = F_{\text{msy}}$
- If the probability that  $F$  will exceed  $F_{0.5r0}$  is greater than 10%,  $F=0$  until the stock is rebuilt to  $\text{SSB}_{\text{msy}}$ , then  $F = F_{\text{msy}}$
- If the probability that  $\text{SSB}$  is below  $\text{SSB}_{0.5r0}$  is greater than 10%,  $F=0$  until the stock is rebuilt to  $\text{SSB}_{\text{msy}}$ , then  $F = F_{\text{msy}}$
- $\text{SSB}_{0.5r0}$  and  $F_{0.5r0}$  are the spawning biomass or fishing intensity corresponding to a spawning biomass that leads to a 50% reduction in the virgin recruitment level given a steepness value of 0.75. This corresponds to an  $\text{SSB}$  that is 7.7% of the unfished  $\text{SSB}$

# Management Strategy Evaluation (MSE)

“Use of simulation to evaluate the trade-offs achieved by alternative management strategies and to assess the consequences of uncertainty in achieving management goals”

*Punt et al. 2016, Fish and Fisheries*



# MSE Framework – Feedback Loop

Catch allocated to  
different fisheries using  
1999-2015 catch ratios

*Catch with  
implementation  
error*

**OPERATING MODEL**

“True” Population  
dynamics

*Data  
Generation*

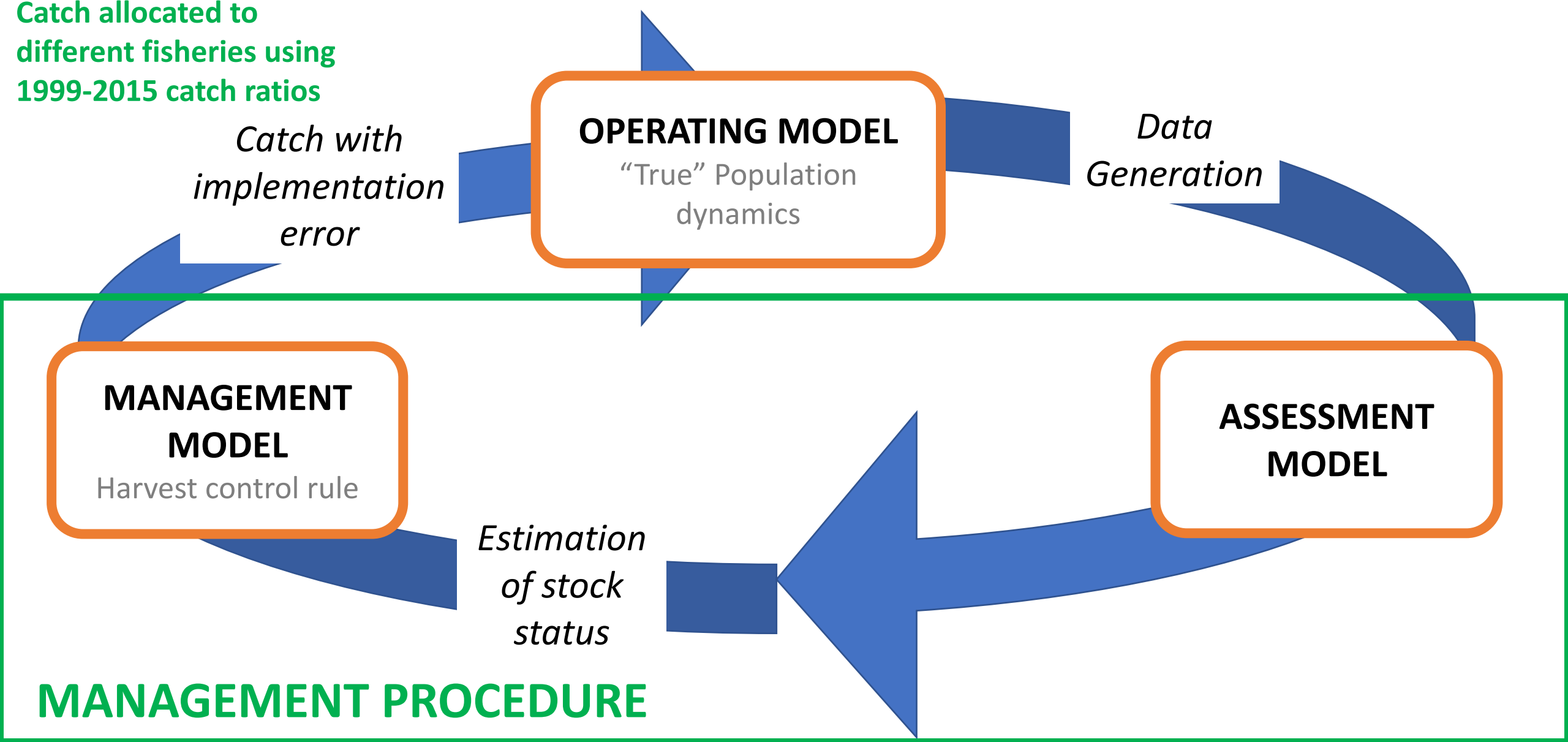
**MANAGEMENT  
MODEL**

Harvest control rule

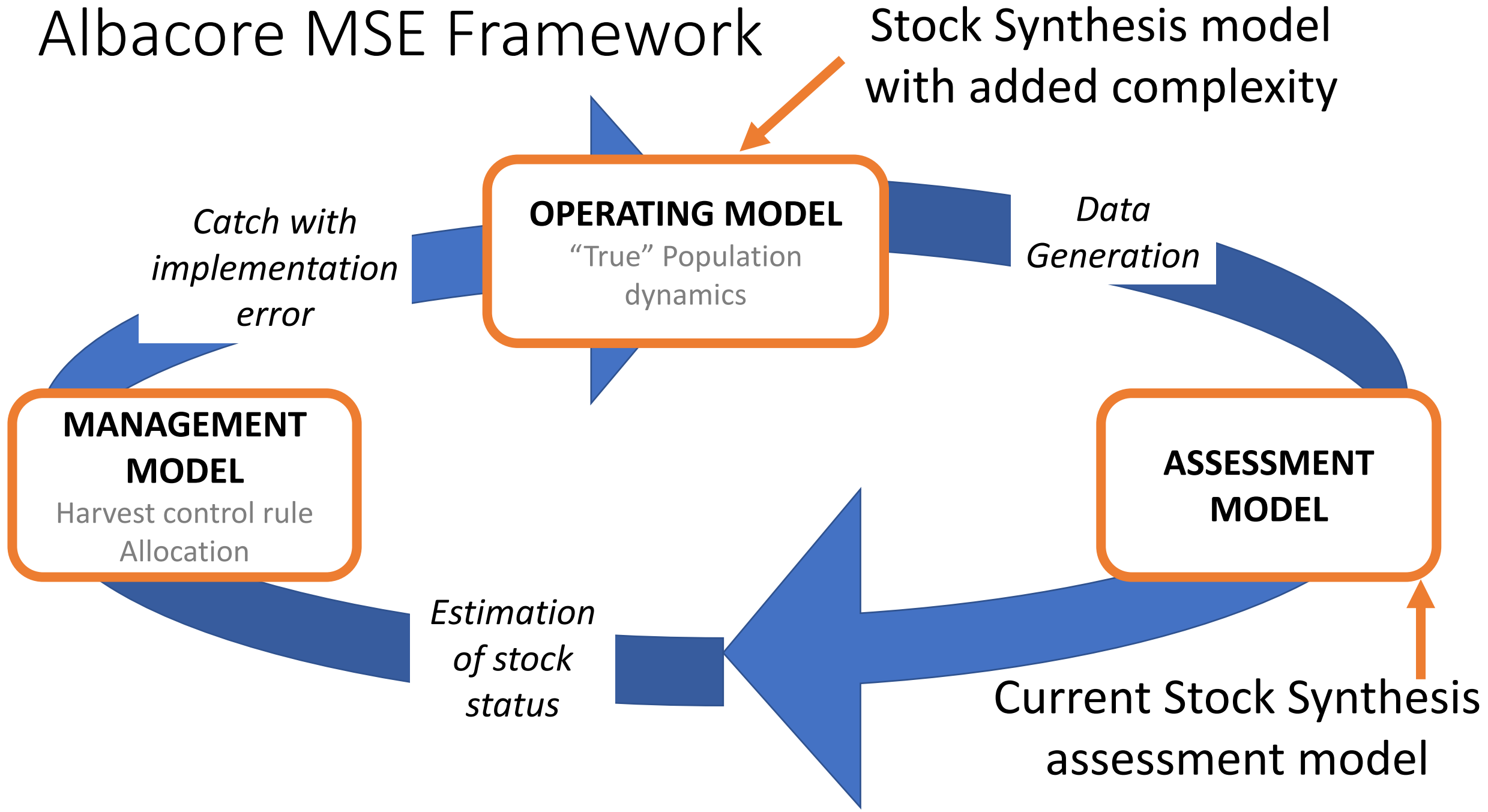
**ASSESSMENT  
MODEL**

*Estimation  
of stock  
status*

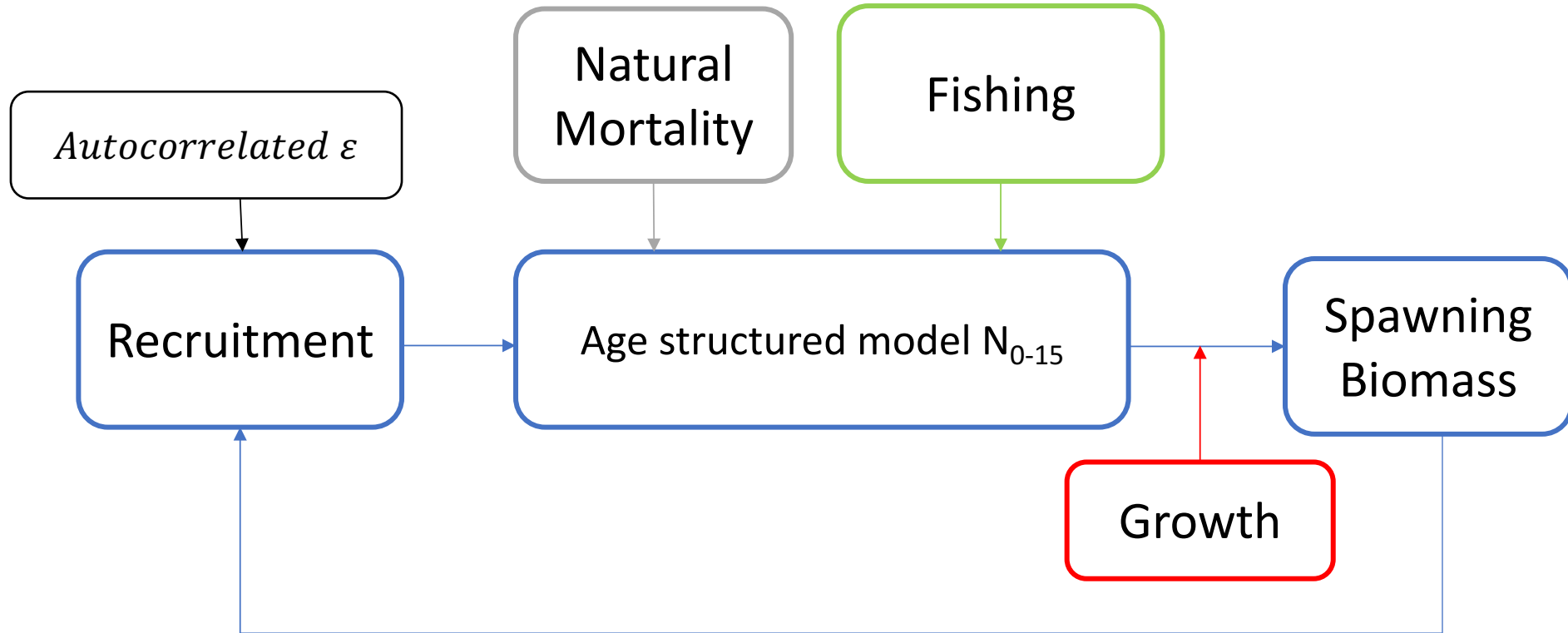
**MANAGEMENT PROCEDURE**



# Albacore MSE Framework

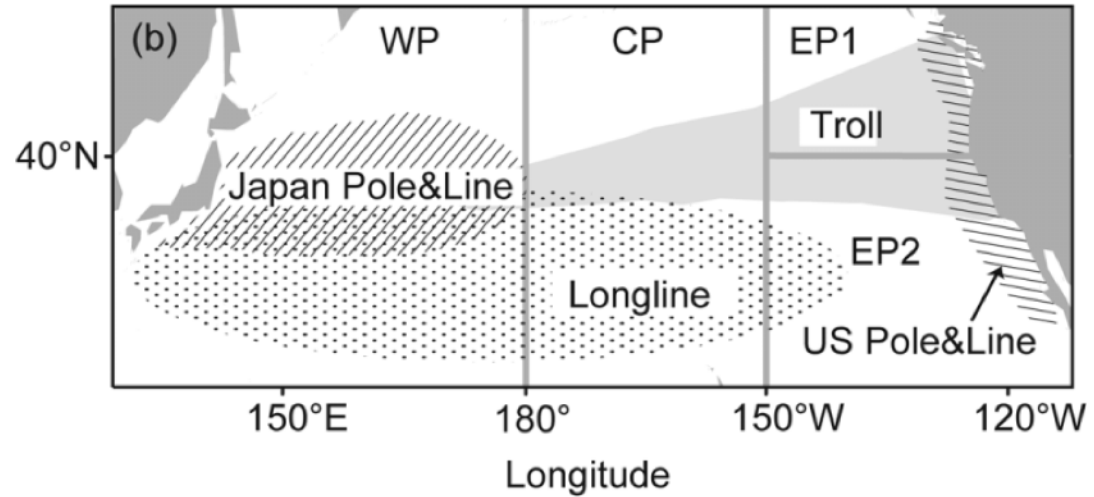


# Operating Model

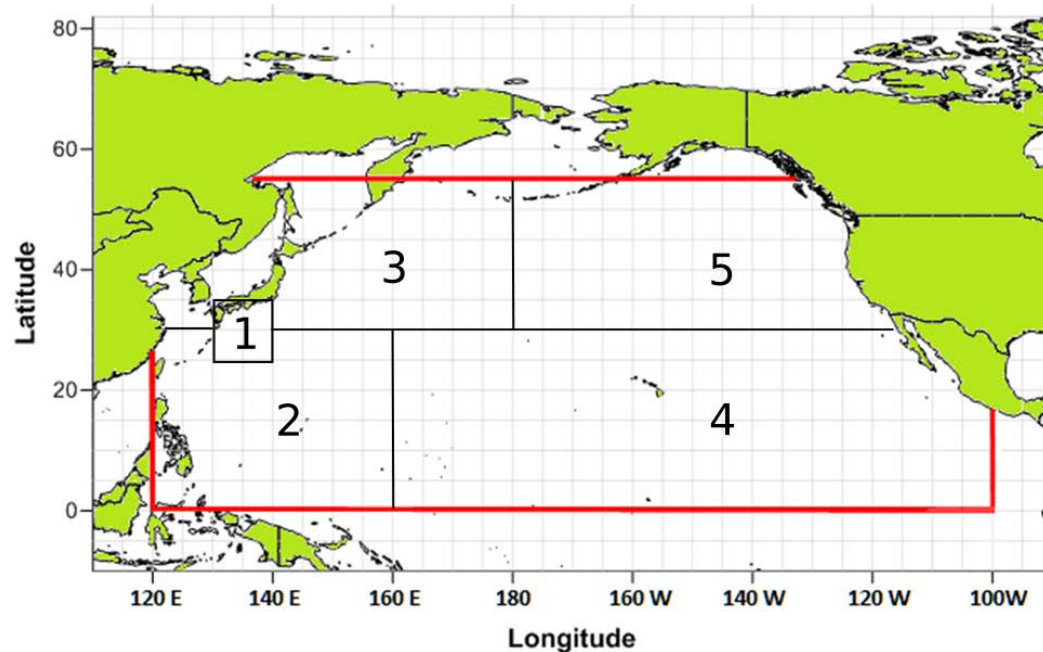


# Fisheries

- Catch is dependent on population size as well as selectivity
- 29 fleets account for differences in selectivity by gear, area, and season
- EPO surface fleet has time varying age selectivity to account for random variability in juvenile migration



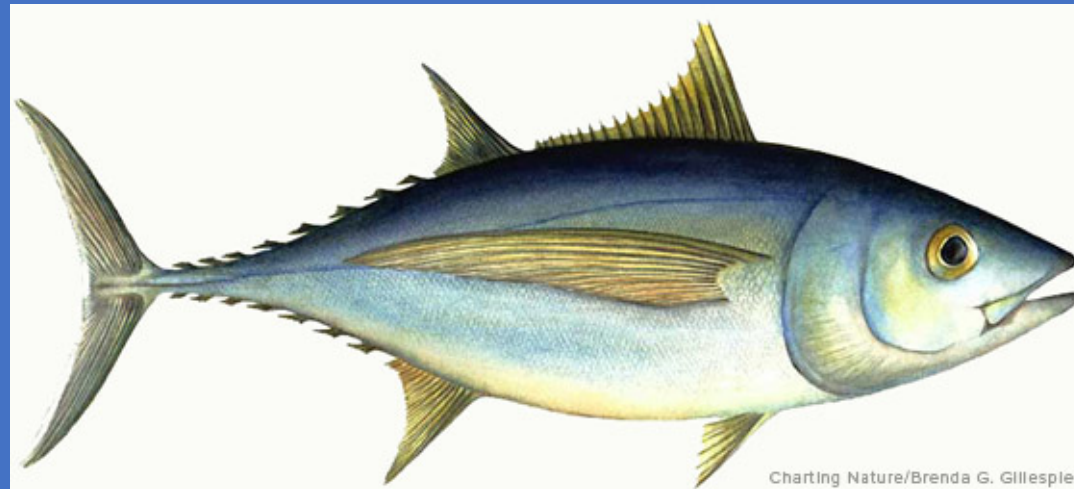
*Ichinokawa et al. 2008, Canadian Journal of Fisheries and Aquatic Sciences*



*Fishing areas - ISC 2017  
NPALB Stock Assessment*

# North Pacific Albacore MSE

Examine performance of alternative management strategies and target reference points for North Pacific albacore given **uncertainty**



# Uncertainties to which management strategies should be robust to identified at ISC ALBWG Science Meeting in Vancouver, Canada, in October 2017

## **High priority**

- 1) Recruitment – autocorrelation and various values of steepness
- 2) Natural mortality – various values of  $M$
- 3) Growth – various values of growth parameters

## **Medium priority**

- 1) Age selectivity – time-varying age selectivity
- 2) Recruitment – linked to environmental indices
- 3) Natural mortality – sex-specificity
- 4) Catchability – time varying implementation error

## **Low priority**

- 1) Growth – time-varying growth
- 2) Catchability – time varying catchability of indices
- 3) Size selectivity – time varying selectivity

# Uncertainties to which management strategies should be robust to identified at ISC ALBWG Science Meeting in Vancouver, Canada, in October 2017

## **High priority**

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## **Low priority**

- 1) Growth – time-varying growth
- 2) Catchability – time varying catchability of indices
- 3) Size selectivity – time varying selectivity

# Uncertainties most consequential to NPALB

## Recruitment Steepness

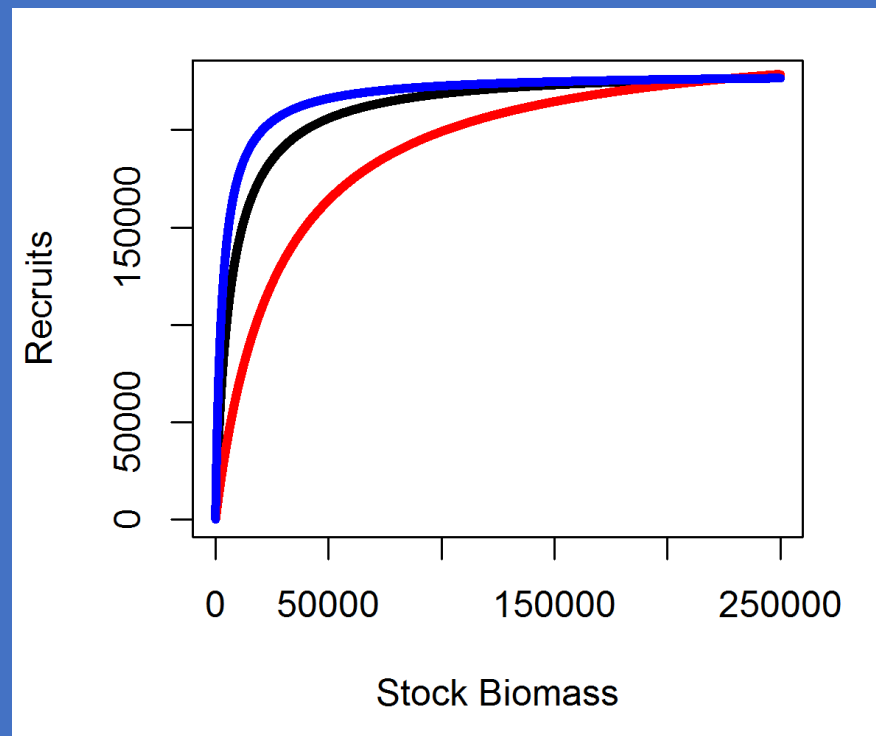
- Derived from Brodziak et al. 2011 - Probable values of stock-recruitment steepness for north Pacific albacore tuna, ISC/11/ALBWG/11 Working Paper
- Used Mangel's simulation method to estimate probable values of steepness given information on growth, maturity, weight at length, natural mortality, and reproductive ecology



# Uncertainties most consequential to NPALB

## Recruitment

Test a range of steepness values



— = 0.97  
— = 0.90  
— = 0.70

From stock assessment

# Uncertainties most consequential to NPALB

## Natural Mortality

- age-specific M for ages 0 to 2 and sex-specific, constant M for ages 3+
- 25<sup>th</sup> quantile, 75<sup>th</sup> quantile, and the median of the age 3+ M distribution used in the assessment and calculated using the methodology described in Teo (2017) and Kinney and Teo (2016)
- M for ages 0 to 2 were calculated by assuming M for younger ages to be size dependent and using the Lorenzen method

# Uncertainties most consequential to NPALB

## Natural Mortality

	Option 1		Option 2		Option 3 (SAM)	
Age	Male	Female	Male	Female	Male	Female
0	1.01	1.01	1.84	1.84	1.36	1.36
1	0.42	0.42	0.76	0.76	0.56	0.56
2	0.33	0.33	0.61	0.61	0.45	0.45
3+	0.29	0.36	0.53	0.66	0.39	0.48

# Uncertainties most consequential to NPALB

## Growth

Test a range of  $K$ ,  $L_{inf}$

$$L_a = L_{inf}(1 - e^{-K(a - a_0)})$$

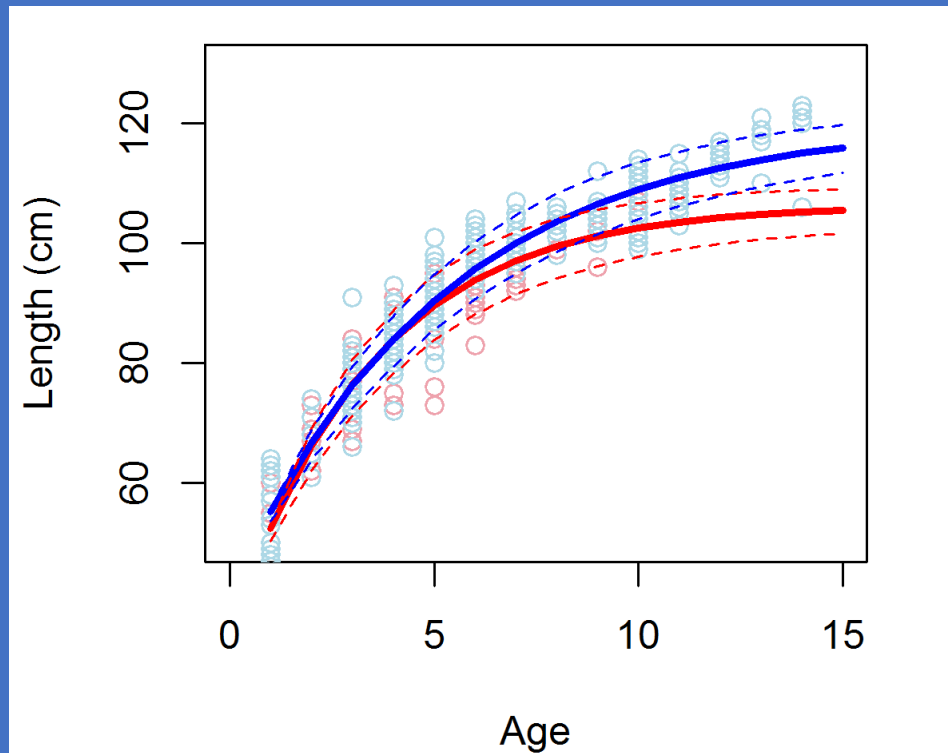
$L_a$  = length at age

$L_{inf}$  = asymptotic fork length

$K$  = growth coefficient

$a$  = age

$a_0$  = age at length 0



— = male  
— = female

# Uncertainties most consequential to NPALB

## Growth

- Sex specific growth parameters in SAM fixed to values from the Xu et al. 2014 working paper, which collated otolith data from the Chen et al. 2012 and the Wells et al. 2013 studies.
  - For the OM, growth parameters initially estimated from within the stock assessment model by fitting to age-length data in addition to length composition data

# Uncertainties most consequential to NPALB

## Growth Estimation Workflow (for each steepness and mortality combination, total = 9)

1. Estimate growth given the age at length data with a weight of 0.6
2. Run the model with no age at length data and with the growth parameters fixed at what was estimated in step 1. These are the g1 cases.

# Uncertainties most consequential to NPALB

## Growth Estimation Workflow (for each steepness and mortality combination, total = 9)

3. Take the 5% CI or 95% CI of  $L_{inf}$  given the standard deviation of the  $L_{inf}$  parameter in 1 and run the model again to estimate the other parameters using the age at length data
4. Run the model with no age at length data and with the growth parameters fixed at what was estimated in step 3. These are the g2 or g3 cases.

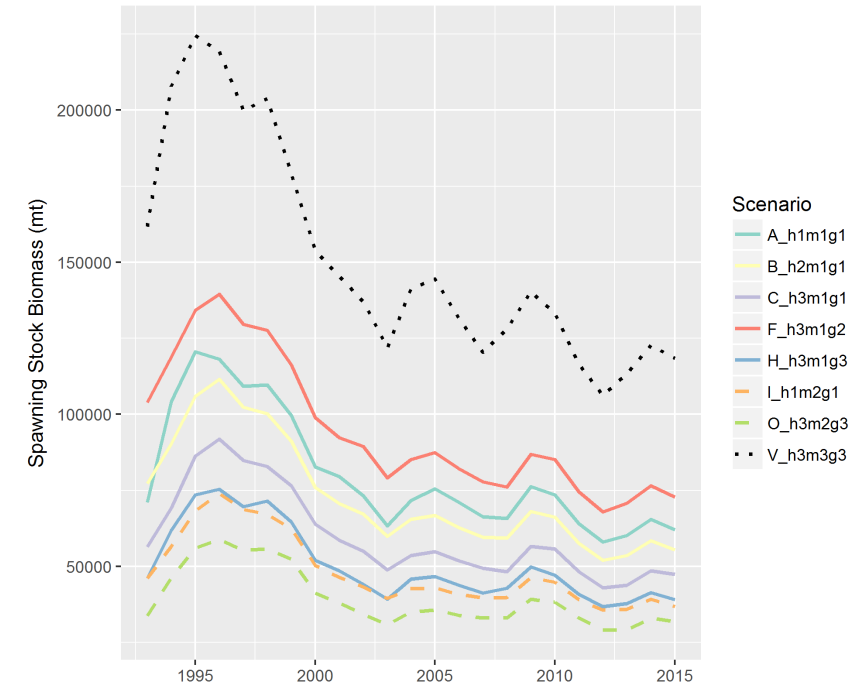
# Potential Model Scenarios

	h	G	M	Selectivity	Recruitment	Convergence
Base	1	1	1	Time varying Age selectivity	Autocorrelation	
2	1	1	2	Base	Base	
3	1	1	3	Base	Base	
4	1	2	1	Base	Base	
5	1	2	2	Base	Base	
6	1	2	3	Base	Base	
7	1	3	1	Base	Base	
8	1	3	2	Base	Base	
9	1	3	3	Base	Base	
10	2	1	1	Base	Base	
11	2	1	2	Base	Base	
12	2	1	3	Base	Base	
13	2	2	1	Base	Base	
14	2	2	2	Base	Base	
15	2	2	3	Base	Base	
16	2	3	1	Base	Base	
17	2	3	2	Base	Base	
18	2	3	3	Base	Base	
19	3	1	1	Base	Base	
20	3	1	2	Base	Base	
21	3	1	3	Base	Base	
22	3	2	1	Base	Base	
23	3	2	2	Base	Base	
24	3	2	3	Base	Base	
25	3	3	1	Base	Base	
26	3	3	2	Base	Base	
27	3	3	3	Base	Base	



# Conditioning on Historical Data (1993-2015)

- Fit using maximum likelihood given 2 CPUE indices, length composition data, and catch data
- Selected eight scenarios that avoid unrealistic biomass trends and duplication of similar trends



For start of projection:

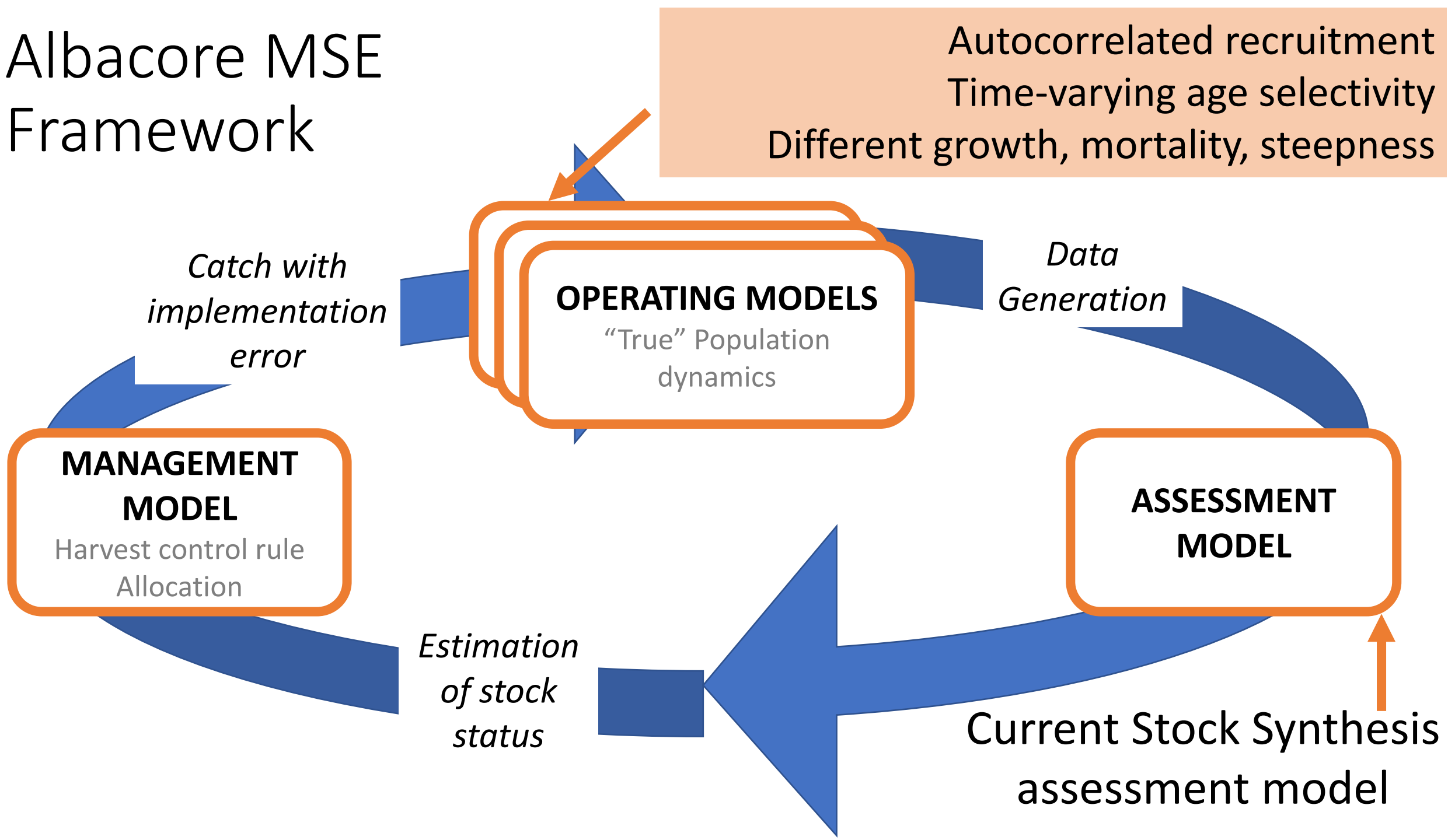
Base case – Depletion = 0.63 Fished total biomass is 63% of unfished

SSB is 46% of unfished SSB

Low productivity – Depletion = 0.43 Fished total biomass is 43% of unfished

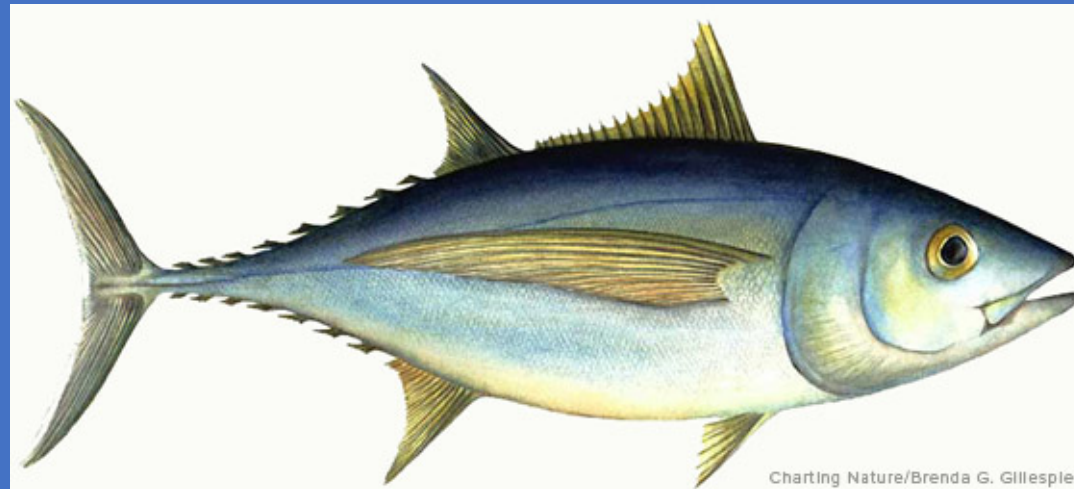
SSB is 21% of unfished SSB

# Albacore MSE Framework



# North Pacific Albacore MSE

Examine **performance** of alternative management strategies and target reference points for North Pacific albacore given uncertainty



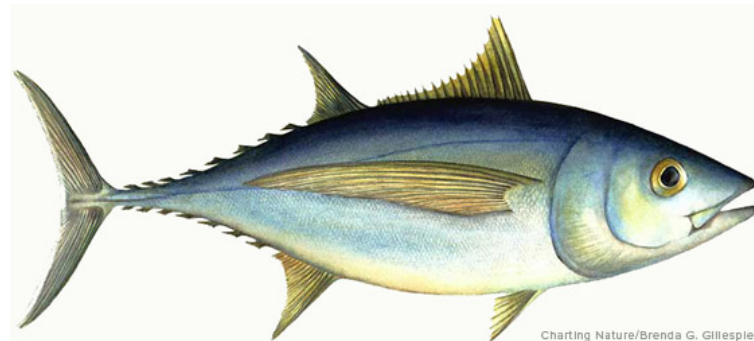
# North Pacific Albacore MSE

## Management Objective

Maintain spawning biomass above the limit reference point

## Performance Metric

$p(\text{no drastic management action}) = \text{Probability spawning biomass in any given year is above the LRP}$



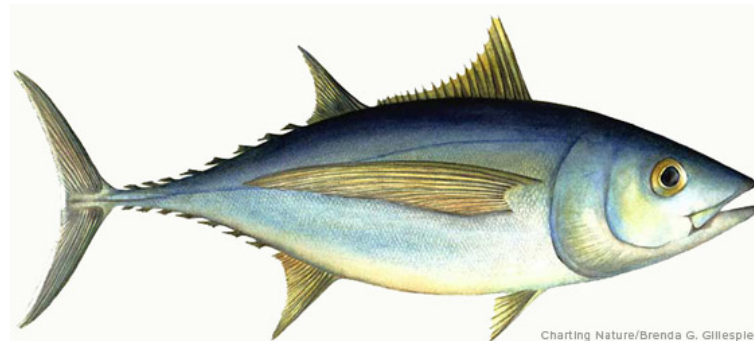
# North Pacific Albacore MSE

## Management Objective

Maintain depletion (fished total biomass/unfished total biomass) around historical average depletion

## Performance Metric

$p(\text{depletion})$  = Probability depletion in any given year is above minimum historical (2006-2015) depletion



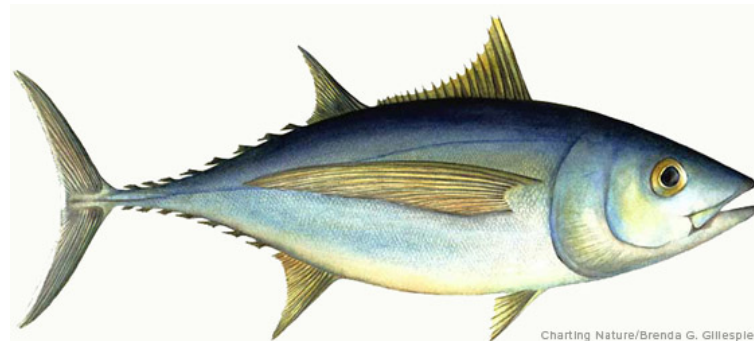
# North Pacific Albacore MSE

## Management Objective

Maintain catches by fishery above average historical catch

## Performance Metric

$p(\text{catch})$  = Probability catch in any given year is above historical (1981-2010) catch



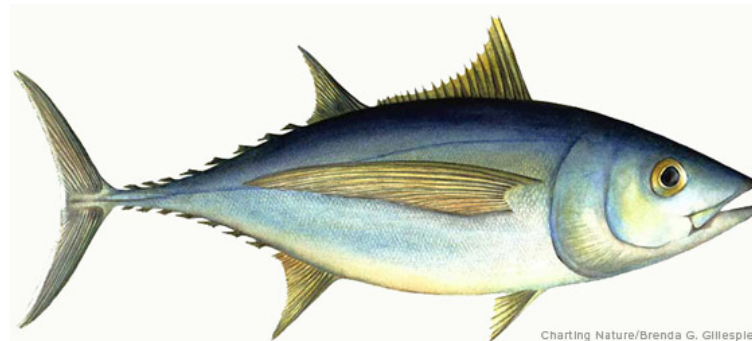
# North Pacific Albacore MSE

## Management Objective

Change in total allowable catch between years should be relatively gradual

## Performance Metric

TAC stability =  $1 - \% \text{ absolute change in TAC between years}$   
Calculated excluding years TAC = 0



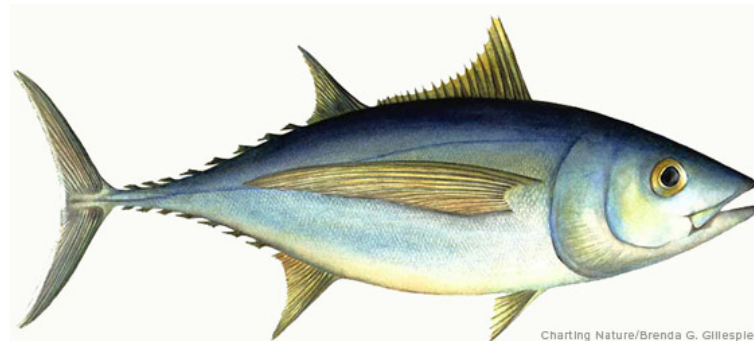
# North Pacific Albacore MSE

## Management Objective

Maintain fishing intensity (F) at the target value with reasonable variability

## Performance Metric

$$F_{\text{TARGET}}/F$$

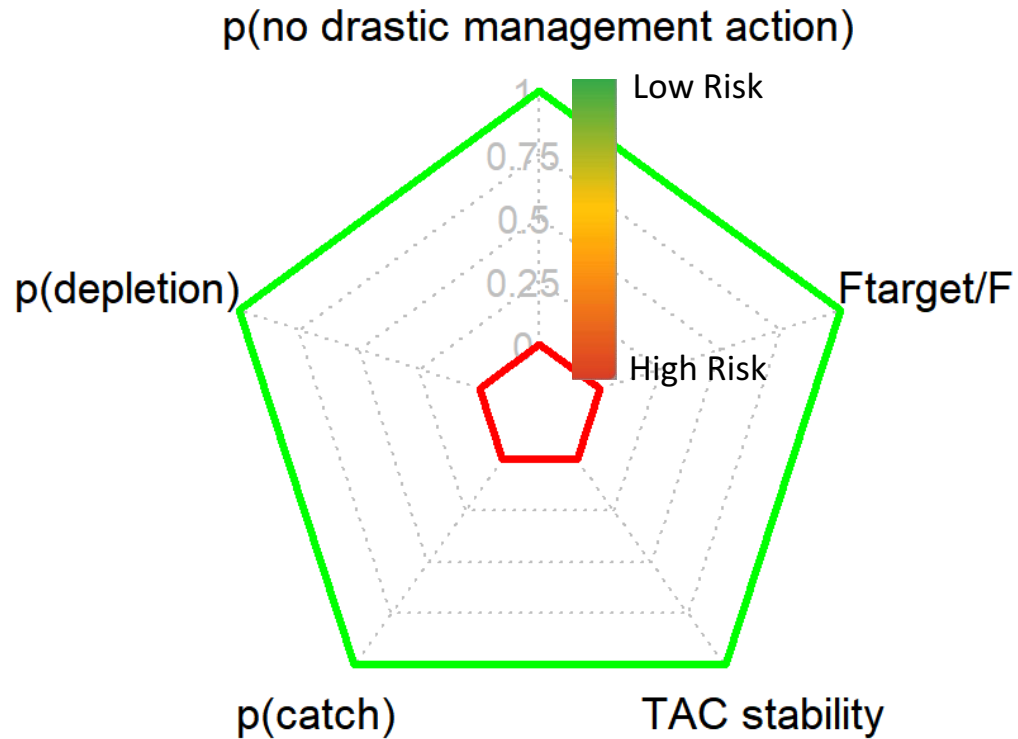




# 15 different Harvest Control Rules

Harvest strategy	Harvest control rule	Ftgt	Btgt	Bthr	Blim
1	1	50	50	30	20
1	2	50	50	30	14
1	3	50	50	30	0.5r0
1	4	50	50	20	14
1	5	50	50	20	0.5r0
1	6	50	50	14	0.5r0
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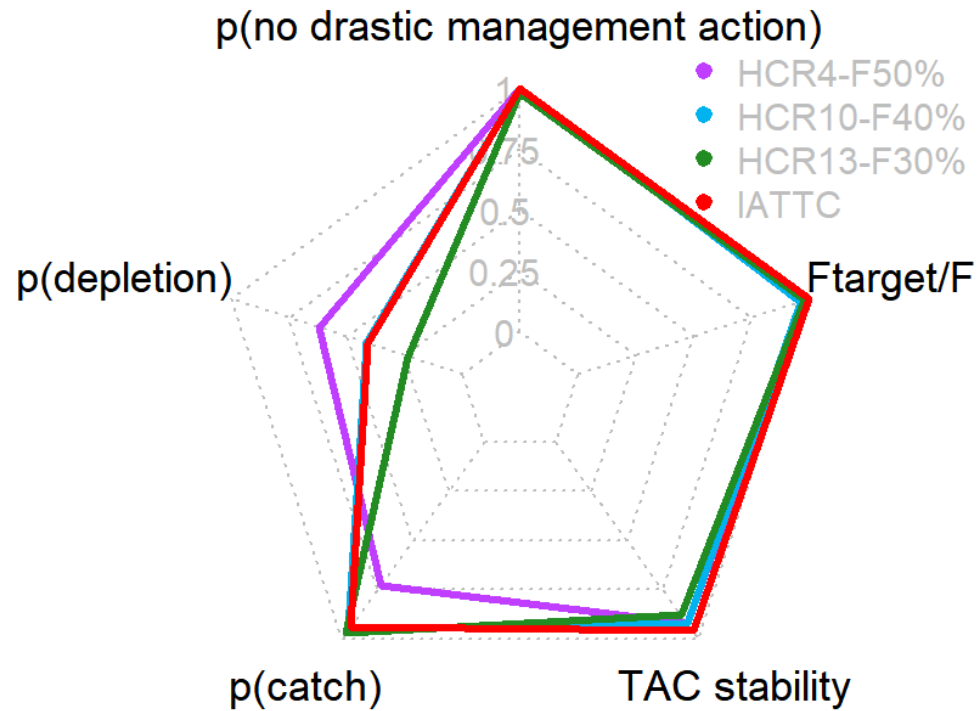
# Performance Metrics Comparison



- $p(\text{no drastic mgmt action})$  = Probability of SSB being  $>$  LRP
- $p(\text{depletion})$  = Probability of depletion being  $>$  minimum historical depletion
- TAC stability =  $1 - \%$  absolute difference in TAC between years
- $p(\text{catch})$  = Probability of catch being  $>$  average historical catch

# Impact of $F_{\text{target}}$ on performance metrics

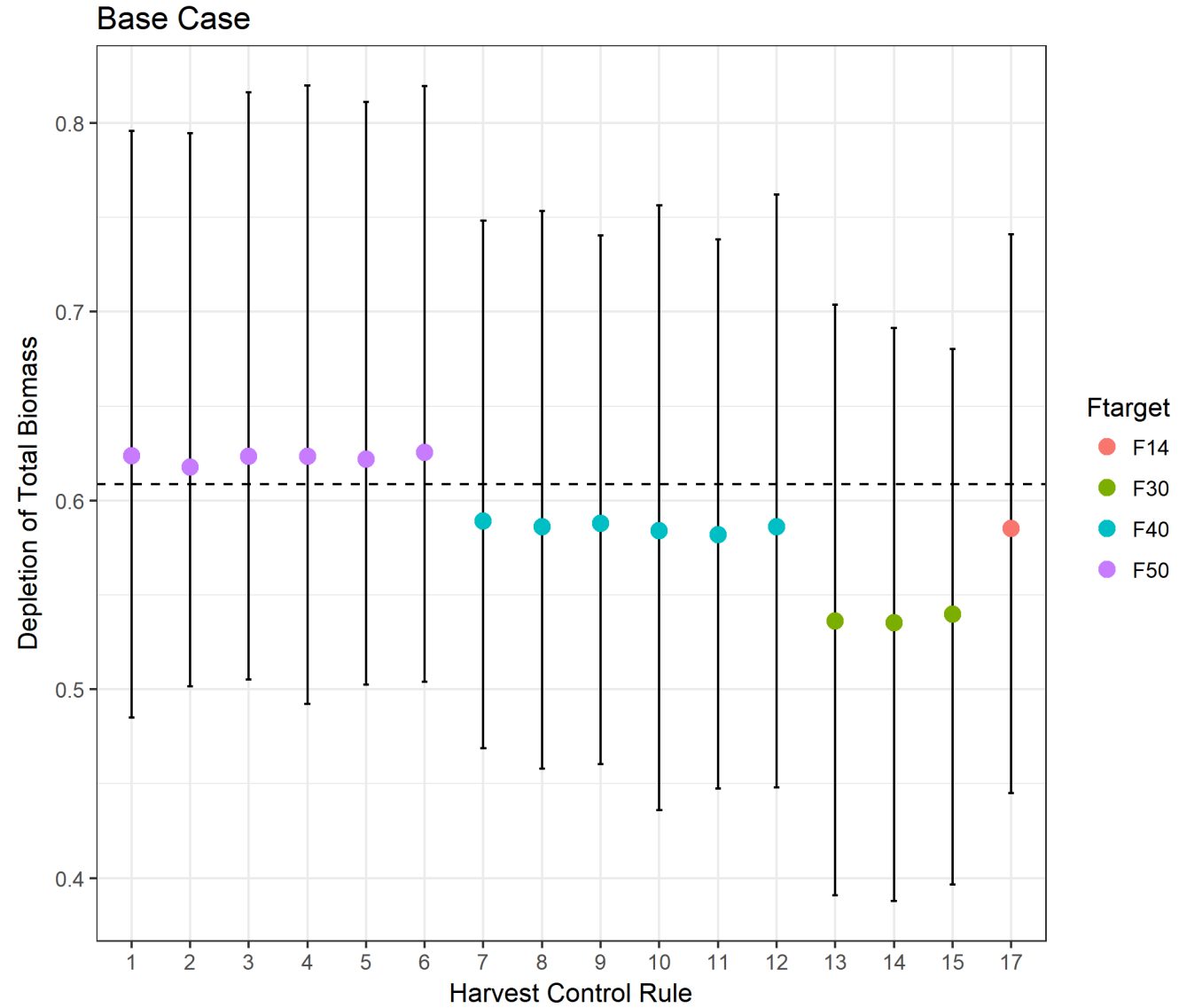
## Base Case



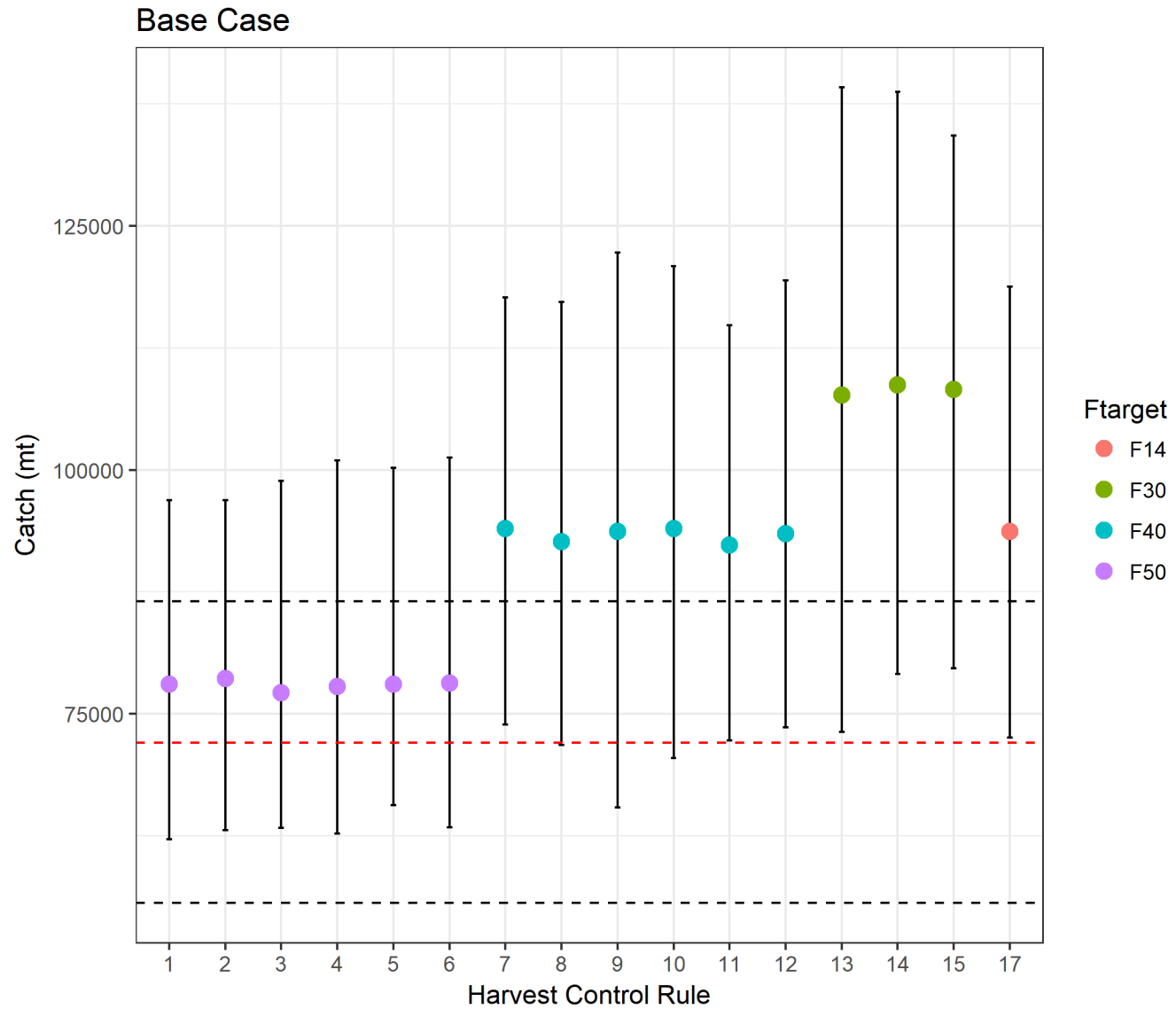
- Trade-off between depletion and catch

- p(no drastic management action) = Probability of SSB being > LRP
- p(depletion) = Probability of depletion being > minimum historical depletion
- TAC stability = 1 - % absolute difference in TAC between years
- p(catch) = Probability of catch being > average historical catch

# Impact of $F_{\text{target}}$ on depletion



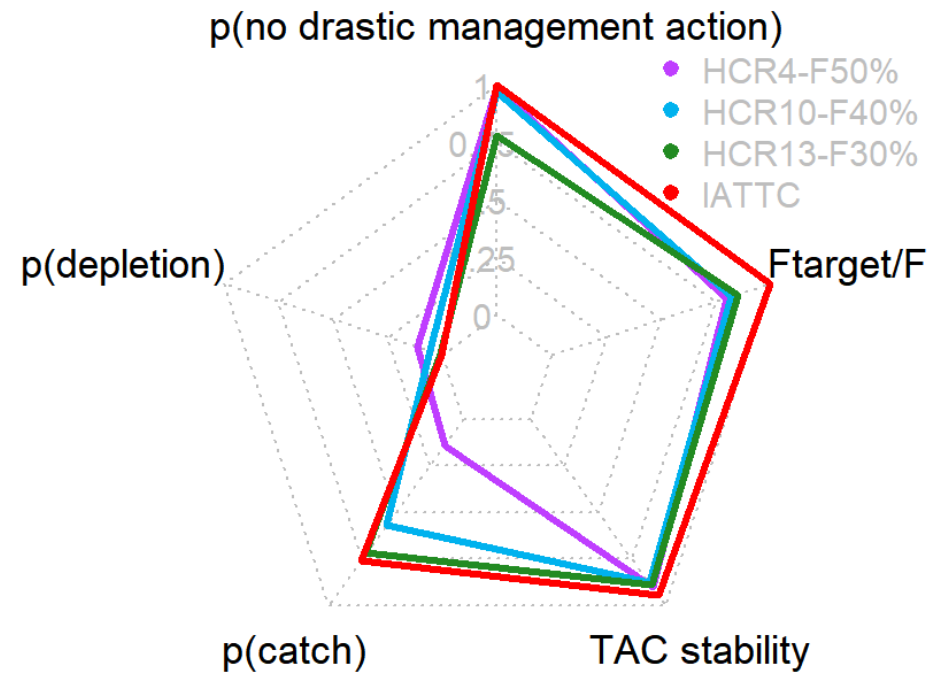
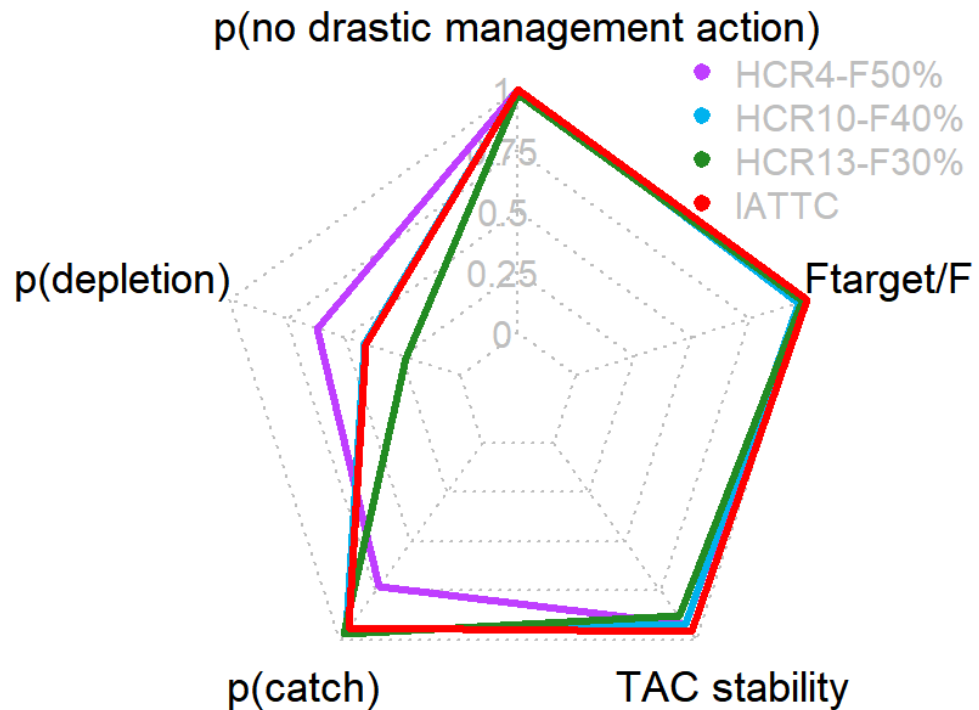
# Impact of $F_{\text{target}}$ on catch



# Impact of $F_{\text{target}}$ on performance metrics

## Base Case

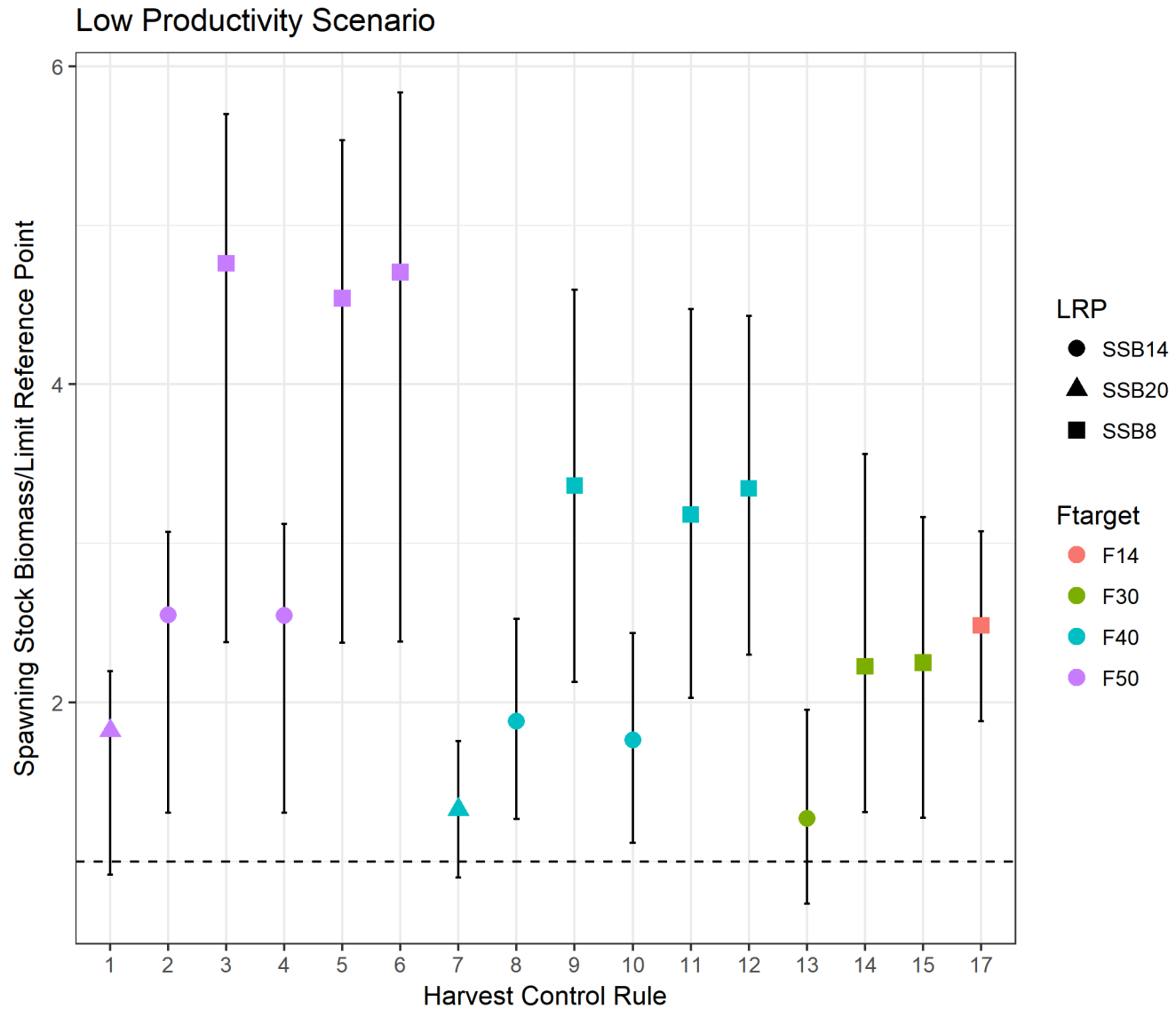
## Low Productivity Scenario



- Increased risk of drastic management action with HCR13 at low productivity

- p(no drastic management action) = Probability of SSB being > LRP
- p(depletion) = Probability of depletion being > minimum historical depletion
- TAC stability = 1 - % absolute difference in TAC between years
- p(catch) = Probability of catch being > average historical catch

# Impact of $F_{\text{target}}$ and LRP on $p(\text{drastic mgmt action})$



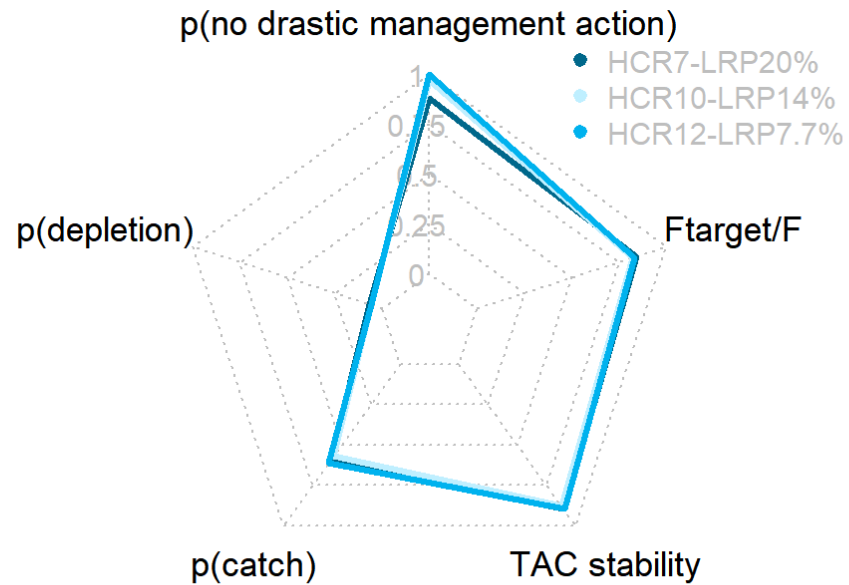
# 15 different Harvest Control Rules

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1	11	40	40	20	0.5r0
1	12	40	40	14	0.5r0
1	13	30	30	20	14
1	14	30	30	20	0.5r0
1	15	30	30	14	0.5r0

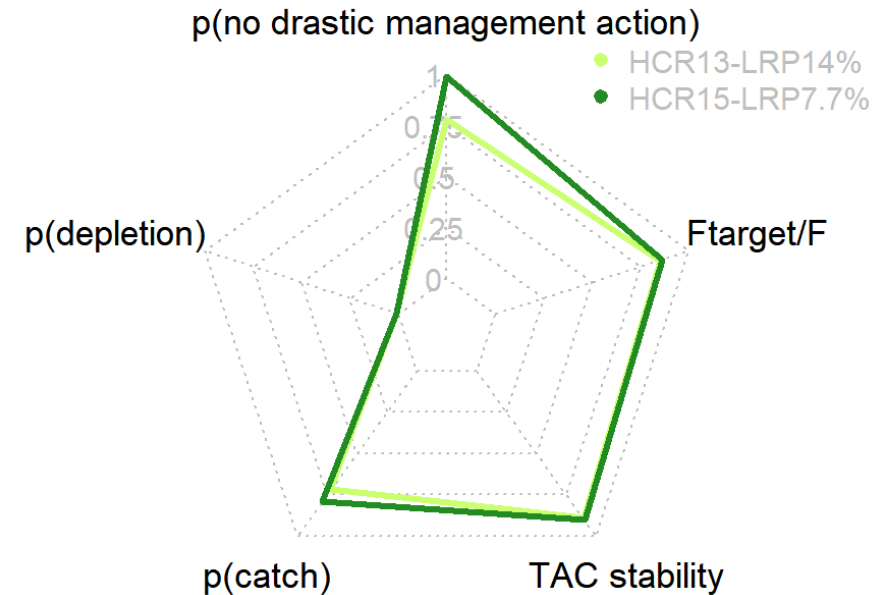


# Impact of LRP on performance metrics

## Low Productivity Scenario - F40%



## Low Productivity Scenario - F30%

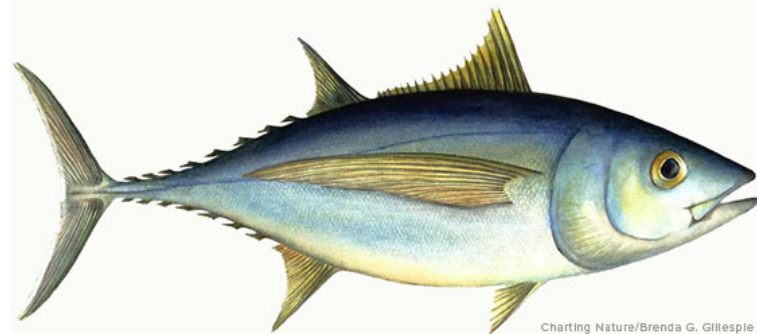


- p(no drastic management action) = Probability of SSB being > LRP
- p(depletion) = Probability of depletion being > minimum historical depletion
- TAC stability = 1 - % absolute difference in TAC between years
- p(catch) = Probability of catch being > average historical catch

Higher LRP, higher probability of drastic management action

# Future work

- Finish first round of results by stakeholders meeting in early spring 2019
- Explore methods to reduce run time (analyze trends in estimation error, explore use of ASPM as assessment model)
- Test robustness to decadal recruitment cycles
- Develop economics informed performance metrics



# Thank you

